CPUC CHECKLIST FOR REVIEW OF SAFETY CERTIFICATION PLANS

Transit Agency: Sacramento Regional Transit District **Submittal Date:** June 24, 2010

Plan Title: Green Line to River District SCP **Plan Date:** June 8, 2010

No.	SC Plan Requirements Does the PLAN contain or provide for the following:	Included Y	Included N	Page Ref.	Comments
1	SC Plan Submittal				
	• A Project specific SC Plan is submitted to staff for review and Commission approval during the preliminary engineering phase.	X			
	• Any significant revisions to the SC Plan have been made since initial submission.	X			No revisions since submission
	• A revised SC Plan has been submitted.	X			No revisions yet
2	Safety Certification Management and Responsibilities Does the SC Plan identify the safety certification management and responsibilities including:				
	- Organizational authority and responsibilities	X			Section 1.1
	- Safety certification activities	X			Section 2.2
	- Processes	X			Section 2
	- Procedures	X			Section 2
	- Documentation requirements and responsibilities	X			
	- Reporting requirements	X			
3	Communication Control with CPUC Staff				
	• Controls and procedures used to maintain effective communications and liaison with staff throughout the life of the project	X			Throughout
	• Procedures to obtain and adequately address staff's written comments on safety and security design reviews conducted throughout Project development cycle	X			
4	Process for Verification and Documentation				
	• The process used to verify conformance with safety and security requirements during design, construction, testing and operational readiness	X			
	• The process used to document conformance with safety	X			Conformance

	and security requirements during design, construction, testing and operational readiness				Certificates
	• List of hazard analyses to be performed	X			Listed PHA, TVA
	• A list of all safety and security design criteria that will be used in the planning, design, and construction of Projects	X			
	• A list of Certifiable Elements and Sub-Elements	X			Appendix A
	• The process for conducting safety certification audits to verify compliance and judge the effectiveness of the SC Plan	X			Verification included, see step 4 in Section 2.3
	- The written checklists used for the safety certification audits	X			
	• A format of Conformance Checklists	X			
	- A list of the Conformance Checklist actually used	X			
	- The actual checklists shall be submitted upon staff's request	X			
	• Safety Certification milestones	X			Table 1
	• A procedure for updating the SC Plan	X			Section 1.8

The Safety Certification Plan is:

[X] **Acceptable**

[ ] **Unacceptable. Revise and Resubmit**

Reviewed by: Signature _____ **Date:** **October 12, 2010**
Raed Dwain

Approved by: Signature S.C. Artus **Date:** 11/01/2010
Name and Title STEVE ARTUS, PROGRAM & PROJECT SPVR.