

BEFORE THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF CALIFORNIA



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Application of California-American Water Company (U210W) for Authorization to Increase its Revenues for Water Service by \$18,473,900 or 9.55% in the year 2015, by \$8,264,700 or 3.90% in the year 2016, and by \$6,654,700 or 3.02% in the year 2017.

A.13-07-002
(Filed July 1, 2013)

**MOTION OF THE OFFICE OF RATEPAYER ADVOCATES
FOR A COMPANION ORDER INSTITUTING AN INVESTIGATION
REGARDING CALIFORNIA-AMERICAN WATER COMPANY'S
RESPONSES TO MINIMUM DATA REQUIREMENTS
AND WHETHER THE COMPANY VIOLATED RULE 1.1**

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November 12, 2013

I. INTRODUCTION

On July 1, 2013, California American Water Company (“Cal-Am”) filed its General Rate Case (“GRC”) Application (“A.”) 13-07-002 with the California Public Utilities Commission (“Commission”). Pursuant to the Revised Rate Case Plan for Class A water utilities adopted in Decision (“D.”) 07-05-062, Cal-Am also submitted its responses to the required Minimum Data Requirements (“MDRs”).¹

The MDRs include a requirement that the utility provide “a list of the plant improvements authorized in the test years but not built.”² In response to this MDR, Cal-Am stated that five plant improvement projects authorized in the last GRC were not built, and identified the five projects.³

Subsequently, the Office of Ratepayer Advocates (“ORA”) conducted field visits to Cal-Am’s various service territories, offices, and plants. During these visits, it came to ORA’s attention that Cal-Am had failed to complete a significant number of authorized projects – far more than the five identified in Cal-Am’s MDR response. Subsequently ORA submitted a data request asking the same question as set forth in the MDR, i.e., identify all plant improvement projects that were authorized in the last GRC but not built.⁴

In response to this data request, Cal-Am submitted a spreadsheet identifying **sixty-two** projects authorized in the previous rate case decision that had not yet been built, including four of the five projects that it had previously identified in its MDR submittal.⁵

ORA brings this motion because Cal-Am’s initial MDR response blatantly misrepresented the scope and extent of its construction activities in the prior rate case cycle and only accurately reported about the actual status of its construction program

¹ Final Application, A.13-07-002, Exhibit B: Minimum Data Requirements.

² D.07-05-062, APPENDIX A: Rate Case Plan and Minimum Data Requirements, at p. A-27.

³ Final Application, A.13-07-002, Exhibit B: Minimum Data Requirement II.D.5.

⁴ See Attachment A, Cal-Am’s Response to data request RRA-001, question 1, at 1, attachment 1.

⁵ Attachment A, Cal-Am’s Response to data request RRA-001, question 1, at 1, attachment 1; *see also* Attachment B (Comparison of MDR Response and Data Request Response Regarding Projects Authorized But Not Built).

when it responded to an ORA data request. For regulation to function effectively, it is essential that Commission staff be able to rely on the accuracy and veracity of information that is submitted in applications, data requests, and MDRs. It cannot tolerate the type of willful inaccuracy (and possibly deliberate deception) manifest in Cal-Am's response to the MDRs. Thus, it is incumbent on the Commission to open a companion investigation as part of A.13-07-002, into whether 1) Cal-Am violated Rule 1.1 of the Commission's Rules of Practice and Procedure by providing inaccurate information to the Commission and ORA about projects previously authorized but not built and if so, whether the Commission should impose a fine or other penalty, including potentially refunding ratepayer money for projects that have not been built; and 2) whether Cal-Am has submitted other inaccurate, misleading, or incomplete information to the Commission and ORA in this proceeding.

ORA also requests that the Assigned Commissioner and Assigned Administrative Law Judge modify the previously agreed to schedule to give ORA additional discovery time to ensure that the record in this GRC includes adequate and accurate information.

II. ANALYSIS

A. The Commission Should Open an Investigation Into Whether Cal-Am's Inaccurate Response to the MDR Warrants a Penalty, and Is Part of a Larger Practice of Presenting Inaccurate Information.

Cal-Am's response to ORA's follow-up data request presented substantially different information than what Cal-Am submitted to ORA and the Commission in its MDR response. In its MDR response Cal-Am only identified five projects that had been authorized in the last GRC but not built, but Cal-Am's data request response to the same question identified an additional fifty-seven projects that Cal-Am failed to previously disclose to the Commission. While the MDR response identified only \$3,649,000 in funds authorized for projects that were not built, Cal Am's data request response to the same question demonstrated that over \$79 million in authorized projects were not constructed during the test years. Part of the Commission's investigation should examine how much Cal-Am has collected in rates but not built and what adjustments to future

rates would be appropriate. In its current application A.13-07-002, Cal-Am is requesting an increase in revenues of \$18,473,900 in 2015, followed by increases of \$8,264,700 and \$6,654,700 in 2016 and 2017, respectively.

Whether deliberate or simply a result of abysmal record-keeping, Cal-Am's woefully inaccurate response to the MDRs is a breach of its duty not only to this Commission but also to its customers who have been billed for projects (ostensibly designed to improve customer service) that have never been constructed. Cal-Am's MDR responses are not only a serious violation of the Rate Case Plan requirements but they appear to violate both the spirit and letter of Rule 1.1 of the Commission's Rules of Practice and Procedure.

The discrepancy between information initially provided and information provided in response to a follow up data request is so severe that it warrants a full investigation by this Commission.

The Commission may wish to determine whether Cal-Am omitted information about projects authorized but not built from its MDR response intentionally, although the partial disclosure of this required information is misleading and unacceptable in either case. The Commission should determine whether Cal-Am's provision of inaccurate information about un-built projects is part of a larger pattern in this GRC and impacts other aspects of the rate case, and whether Cal-Am's actions warrant a fine or other penalties. Finally, the Commission should consider other remedies necessary to ensure that in the future Cal-Am meets its obligations to provide accurate information to the Commission and to ORA.

B. Cal-Am Should Be Sanctioned Under Rule 1.1. of the Commission's Rules of Practice & Procedure For Presenting False and Misleading Statements of Fact to the Commission.

Rule 1.1 of the Commission's Rules of Practice & Procedure provides in pertinent part that:

Any person who signs a pleading or brief, enters an appearance, offers testimony at a hearing, or transacts business with the Commission . . . [is required] to maintain the respect due to the Commission, members of the Commission and its Administrative Law Judges; and *never to mislead the Commission or its staff by an artifice or false statement of fact or law.* (emphasis added).

Here, Cal-Am provided statements of fact that proved to be entirely false and were materially misleading. Further, it is unclear whether the information Cal-Am has provided in response to the data request at issue here is entirely accurate. For instance, in its response to the MDR, Cal-Am includes the New ASR Well project as one of the five projects it listed as not yet completed. However, this project was not included in the follow-up data response listing the additional sixty projects. It is unclear what explains the discrepancy but it raises a question about whether the response to the data request is also inaccurate and whether there are additional un-built projects omitted from this response as well. In addition, the authorized amounts listed for three of the projects in the MDR response do not match the authorized amounts provided in response to ORA's data request.

Whether Cal-Am's response was the result of extreme negligence or whether it was a deliberate attempt to mislead the Commission may affect the amount of a Rule 1.1 sanction.⁶ Regardless, Cal-Am provided extremely inaccurate information that significantly underestimates the amount of authorized funds allocated to projects that were not built. Even if Cal-Am's MDR response was a result of woeful incompetence, Cal-Am should still be fined under Rule 1.1 for providing statements of fact that misled the Commission and its staff.

⁶ See e.g., D.01-08-019 at p. 9 ("the question of intent to deceive merely goes to the question of how much weight to assign to any penalty that may be assessed. The lack of direct intent to deceive does not necessarily, however, avoid a Rule 1 violation."); D.09-06-013 at p. 9 (Company admitted a Rule 1.1 violation and paid a fine for its failure "even if inadvertent" to disclose certain information in an application); Alternate Proposed Decision of Commissioner Ferron, R.11-02-019 at p. (rejecting PG&E's argument that it should not be fined under Rule 1.1 because it did not have any specific intent to mislead the Commission).

The Commission needs to reiterate its long-standing position that utilities cannot be allowed to present inaccurate information in the applications and other filings they submit to the Commission.⁷ Utilities are the source of most data essential to regulation and have a responsibility to take care in presenting accurate information.⁸ “Making the new [Rate Case Plan] process work requires that the Commission and its staff have early access to complete information.”⁹

Imposing fines or penalties for misleading the Commission and ORA staff will also help deter such conduct.

C. Cal-Am’s Significant Lapse in Providing Complete and Accurate Information Warrants Additional Time for ORA to Fully Analyze Cal-Am’s Remaining Information.

ORA and Cal-Am agreed to a proposed schedule for this proceeding. This proposed schedule was based on an assumption that Cal-Am’s initial filing contained accurate and complete information. Discovery in this proceeding has demonstrated that at least one portion of Cal-Am’s application is substantially flawed. This also draws into question the accuracy of the rest of the information Cal-Am has provided.

ORA has previously requested additional time in this proceeding in order to respond to Cal-Am’s late filed rate design.¹⁰ Getting accurate information about the actual scope of Cal-Am’s construction program during the last rate case cycle will take a substantial amount of time that normally could be devoted to the preparation of testimony or additional discovery efforts. Because the information provided in Cal-Am’s MDRs

⁷ See e.g., D.98-12-075 (“The purpose of a fine is to go beyond restitution to the victim and to effectively deter further violations by this perpetrator or others. For this reason, fines are paid to the State of California, rather than to victims. Effective deterrence creates an incentive for public utilities to avoid violations.”).

⁸ See e.g., Alternate Proposed Decision of Commissioner Ferron, R.11-02-019 at p. 19, FOF 11 (fining PG&E over \$17 million for “not fully and correctly disclos[ing] information regarding errors in pipeline specifications.”).

⁹ D.04-06-018 at p. 17; see also D.08-04-035 (fining Golden State Water Company under Rule 1.1 for not timely disclosing relevant information during its GRC).

¹⁰ See Pre-hearing Conference Transcript at pp. 21-22 (Sept. 17, 2013).

has proven to be inaccurate, additional time is justified to conduct discovery to ensure the accuracy of all of the information in the MDRs and the application as a whole.

III. CONCLUSION

ORA respectfully requests that the Administrative Law Judge and Assigned Commissioner order a companion investigation as part of this proceeding into the accuracy of the information provided by Cal-Am in its application, data responses, and MDRs to the Commission. The investigation should consider whether Cal-Am should be sanctioned, the extent of the appropriate penalty, what steps can be taken to prevent similar occurrences in the future, and the review schedule of this case should be reset to allow ORA to conduct additional discovery in this proceeding.

Respectfully submitted,

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November 12, 2013

ATTACHMENT A

MINIMUM DATA REQUIREMENT

General Rate Case Application – Testimony/Results of Operation Requirements

II.D.5 – Plant Improvements Authorized but Not Built

The Results of Operation Report must contain a list of the plant improvements authorized in the test years but not built.

Response:

Northern Division

Elverta Road Bridge Water Main (05600713)- this project is contingent on Sacramento County building Elverta Road Bridge. (Approved GRC Budget: \$348,000)

Additional Pump Equipment (Mather) (IP-560-71) - this project is delayed to conduct a hydraulic investigation that will assist with identifying a technically sound and economical solution to the pressure issue this project will address. The Folsom Pump Station is now operational and the Suburban/Rosemont systems were combined leading us to consider whether there may be more feasible solution for this project. (Approved GRC Budget: \$200,000)

Southern Division

Olympiad Booster Station Upgrade (IP-550-124) – this project was designed in 2011, the View Park Preservation Society filed a complaint against the project and the resolution is pending a hearing decision from the LA County Planning Department. (Approved GRC Budget: \$2,365,000)

Lemon Domestic Reservoir Improvement (IP-0550-35) – this project was not completed as a result of insufficient budget to complete construction based on engineering evaluation of the proposed project. (Approved GRC Budget: \$240,000)

Central Division

New ASR Well (IP-0540-282)- The ASR well at the Seaside Middle School site was not built using this funding approval, rather CAW coordinated with the MPWMD on completing the ASR site as requested in the MPWMD user fee application. (Approved GRC Budget (\$496,000)

California-American Water Company

Statewide GRC Test Year 2015

APPLICATION NO. A.13-07-002

DATA REQUEST RESPONSE

Response Provided By: F. Mark Schubert, P.E.
Title: Manager - Capital Assets and Planning
Address: 1033 B Street, Suite 200,
Coronado, CA 92118

DRA Request: RRA-001
Company Number: CAW-DRA-A.13-07-002.RRA-001 Q001
Date Received: October 1, 2013
Date Response Due: October 10, 2013
Subject Area: Authorized Plant Projects

DRA QUESTION:

1. During DRA's recent Field Investigations (Sept 18 – 27), numerous projects authorized in A.10-07-007 were identified that Cal Am has delayed, determined unnecessary, postponed indefinitely, **but not built.**

In the format provided below, please provide the Project Identification Number, Name, Authorized Amount, Ratemaking District, and current status (as of July 1, 2013) for **all** plant improvement projects authorized in A.10-07-007 test year(s) but not built.

Project ID	Project Name	Authorized Amount	Ratemaking District	Current Status

COMPANY RESPONSE:

The requested information is provided in the Excel file included with this data request response as Attachment 1_CAW_DRA-RRA-001_Q1.

1. During DRA's recent Field Investigations (Sept 18 - 27), numerous projects authorized in A.10-07-007 were identified that Cal Am has delayed, determined unnecessary, postponed indefinitely, but not built. In the format provided below, please provide the Project Identification Number, Name, Authorized Amount, Rate-making District, and current status (as of July 1, 2013) for all plant improvement projects authorized in A.10-07-007 test year(s) but not built.

Project ID (former ID)	SAP Project ID	Adv. Ltr	Project Name	Authorized Amount	Rate-making District	Current Status
IP-0250-35	115-500028		Lennon Domestic Reservoir Improvements	\$480,000	Los Angeles	Project has been deferred until after completion of the separately proposed project to combine the domestic and irrigation systems in Duarte.
IP-0250-112	115-500034		LA-Retall Richardson Well	\$158,000	Los Angeles	Project is in progress, and completion is planned for 2015.
IP-0250-113	115-500005		LA-Retall Oak Knoll Crt Well	\$2,747,000	Los Angeles	Project has been deferred at this time. Funds were allocated to main relocation projects mandated by local light rail agencies in Duarte and San Marino service areas.
IP-0250-124	115-500010		Olympiad Booster Station Replacement	\$2,265,000	Los Angeles	Project is in progress, and completion is planned for 2015.
IP-0250-140	115-500015		Install 2700-ft of Main at Grand and Bonita	\$754,802	Los Angeles	Project is in construction, and completion is expected in 4th quarter of 2013.
IP-0250-157	115-400017	Adv. Ltr	Withers 100K Tank Replacement	\$203,500	Monterey	Project has been cancelled, and tank is now planned for retirement.
IP-0250-88	115-600057	Adv. Ltr	Crowder Land Controls	\$54,849	Sacramento	Project is on hold. Actual start date is dependent on completion of Walerga Tank/BPS project, which is planned for 2015.
05600713	115-600007		Everata Road Bridge Water Main	\$346,000	Sacramento	Project is on hold. Project is a carry-over from the 2010 GRC. Actual start date is dependent on when Sacramento County plans to build the bridge. At this time, completion date is tentatively in 2014.
IP-0250-42	115-600040		Walnut Grove Permanent Sanitary Sewer Connection	\$348,000	Sacramento	Project is in progress, and completion is expected in 4th quarter of 2013.
IP-0250-53	115-600051		Arden Inter tie, BPS and Pipeline	\$2,277,325	Sacramento	Project is in progress, and completion is expected in 4th quarter of 2013.
IP-0250-71	115-600054		SAC-Add'l Pump Equipment (Mather)	\$250,000	Sacramento	Completion is planned for 2015.
IP-0250-100	115-600008	Adv. Ltr	Walnut Grove System Improvements	\$100,000	Sacramento	Project is in progress, and completion is planned for 2014.
IP-0250-100	115-600008		Walnut Grove System Improvements	\$610,000	Sacramento	Project is in progress, and completion is planned for 2014.
IP-0250-38	115-600049	Adv. Ltr	Walnut Grove - 120,000 Gallon Tank and Booster Station	\$280,000	Sacramento	Project is in progress, and completion is planned for 2014.
IP-0250-74	115-600055		Lincoln Oaks 1.5MG Tank and Booster Station	\$695,000	Sacramento	Project is in progress, and completion is planned for 2015.
05600304	115-600002	Adv. Ltr	Walerga Road Tank and Booster Station	Special Facilities Fee	Sacramento	Project approved by the Commission on 10/03/13. Construction to commence later in 2013, with completion planned for 2015.
05510205	115-510001		Improvements to Gas Posa #1 (Reservoir)	\$697,279	Ventura	Project is in construction, and completion is expected in 4th quarter of 2013.
IP-0251-93	115-510019		Willowood Reservoir Tank Rehab	\$136,000	Ventura	Project is in construction, and completion is planned for 2014.
IP-0251-18	115-510006		Moortpark Reservoir Rehab	\$2,141,800	Ventura	Project is in progress, and completion is planned for 2014.
IP-0251-88	115-510017		Connect 12" Main Between Hillcrest and Lawrence Dr	\$160,000	Ventura	Project is in progress, and completion is expected in 4th quarter of 2013.
IP-0251-7	115-610007		Well Rehabilitation (LA, S & 3A)	\$296,400	Linfield	Project is in progress, and completion is planned in 2014.
IP-0250-38	115-500030		Osswego Well Replacement	\$1,246,400	Los Angeles	Project is in progress, and completion is planned for 2015.
IP-0250-51	115-500032		Winston Well Replacement	\$3,566,000	Los Angeles	Project is in progress, and completion is planned for 2015.
IP-0250-114	115-500006		Replace Lamanda Well	\$200,000	Los Angeles	Project is in progress. Project is a carry-over from the 2010 GRC, where construction dollars were slated to be requested in 2013 GRC. Completion is planned for 2015.
IP-0250-118	115-500039		Replace Santa Fe Well	\$1,164,000	Los Angeles	Project is on hold. Actual start date is dependent on regional recycle water project. Completion is now planned for 2016.
IP-0250-138	115-500014		2,700 Feet of 8-inch Main in Treefern	\$868,410	Los Angeles	Project is in construction, and completion is expected in 4th quarter of 2013.
IP-0250-152	115-500019		2,800 Feet of 8-inch Main in Armiño	\$919,000	Los Angeles	Project is in progress, and completion is planned for 2nd quarter of 2014.
IP-0250-158	115-500020		Syniks Reservoir Booster Station Improvements	\$532,000	Los Angeles	Project is in progress, and completion is planned for 2014.
IP-0250-164	115-500021		Rosemead Tank Reconstruction	\$147,250	Los Angeles	Project is in progress. Project is a carry-over from the 2010 GRC, where construction dollars were slated to be requested in 2013 GRC. Design work begins in 2014, construction starts in 2015, and completion is planned in 2016.
IP-0250-170	115-500022		Duane Water Supply Improvements	\$3,212,250	Los Angeles	Project is in progress. Construction is planned to begin in 2014, and completion is planned for early 2015.
IP-0250-235	115-400043		Mainline Distribution Valve Replacement 2014	\$350,000	Monterey	Project will start in 2014, and completion is planned in 2014.

IP-0560-240	115-400044		Booster Station Rehab 2014	\$231,000	Monterey	Project will start in 2014 and completion is planned in 2014.
IP-0560-201	115-400037		Polk/Wyline Service Replacements 2012-2014	\$1,946,000	Monterey	Project is in construction, and completion is expected in 4th quarter of 2013.
IP-0560-249	115-400046		Seaside Main Replacement Phase II	\$3,408,000	Monterey	Project is in construction, and completion is expected in 4th quarter of 2013.
IP-0560-250	115-400049	Adv Ltr	Los Padres Dam Fish Passage	\$2,342,000	Monterey	Project is in progress, and completion is planned for 2015.
IP-0560-277, 278, 280	115-400057		PRV Stations and Diaphragm Valve Replacement	\$150,000	Monterey	Project is in construction, and completion is expected in 4th quarter of 2013.
IP-0560-10	115-480001		Hydropneumatic Tank Replacement	\$118,000	Toro	Project is in construction, and completion is expected in 4th quarter of 2013.
IP-0560-11	115-480002		PRV Stations Improvement	\$150,000	Toro	Project is in progress, and completion is planned for 2014.
IP-0560-102	115-600009		Meter Conversion 2012-2013	\$12,557,000	Sacramento	Project is complete relative to the physical installation of meters. Currently conducting field verification of all meters, and also insuring all meters are in the billing system. This work will continue into the first half of 2014.
IP-0560-109, 132	(109) 115-600011		Well Rehabilitation 2012-2013	\$2,043,521	Sacramento	Project is in progress, multi-year program, completion planned in 2014.
IP-0560-127	115-600021	Adv Ltr	Security Park Interconnection Phase II	\$500,000	Sacramento	Project is in construction, and completion is expected in 4th quarter of 2013.
IP-0560-199	115-600025		Antelope Road Interconnection with SSWD	\$300,000	Sacramento	Project will start in 2014, and completion is planned in 2014.
IP-0560-144, 188	(188) 115-600041		Parkway Emergency Generators	\$723,000	Sacramento	Equipment purchase underway, completion expected in 4th quarter of 2013.
IP-0560-160	115-600032	Adv Ltr	Watergra Road Bridge Pipeline Relocation	\$803,000	Sacramento	Project is on hold. Actual start date is dependent on when Placer County plans to build the bridge. Date is unknown at this time.
IP-0560-165, 166, 133	(165) 115-600033		Water Treatment Plant Improvements	\$3,266,000	Sacramento	Project is in progress, multi-year program, completion planned in 2014.
IP-0560-170	115-600035		Lincoln Oaks PCE/VOC Study	\$38,000	Sacramento	Project is in progress, and completion is planned for early 2014.
IP-0560-176	115-600037		Mapping Improvement Project	\$250,000	Sacramento	Project will start in 2014, and completion is planned in 2014.
IP-0560-179	115-600038		SCADA Upgrades 2012-2014	\$1,633,000	Sacramento	Project is in progress, multi-year program, completion planned in 2014.
IP-0560-190	115-600043		Sewer Connection Fee (SRCD)	\$76,000	Sacramento	Project will start in 2014, and completion is planned in 2014.
IP-0560-1	115-300002		Small Main Replacement Program	\$712,500	San Diego	Project is in progress, multi-year program, completion planned in 2014.
IP-0560-6	115-300007		Hollister Street Main Replacement Phase 2	\$1,864,485	San Diego	Project is in progress, and completion is expected in 2014.
IP-0560-29	115-300004		Hollister Street Main Replacement Phase 3	\$2,759,750	San Diego	Project is in progress, and completion is expected in 2014.
IP-0561-79	115-510014		Improvements to CMMW Interchange	\$567,150	Ventura	Project is in progress, currently negotiating agreement with City of Thousand Oaks, completion is planned in 2014.
IP-0561-84	115-510015		Upsize White Stallion Transmission BPS	\$599,750	Ventura	Project is in progress, currently in bidding phase, and completion is planned for 2nd quarter of 2014.
IP-0561-86	115-510016		Pace Reservoir Rehab	\$1,140,000	Ventura	Project is in progress. Currently between 60% and 90% design. Construction is planned to begin in 2014, and completion is planned in 2015.
IP-0561-92	115-510018		Calte Yucca Turnout 14" Main Improvements	\$475,000	Ventura	Project is on hold, due to inability to obtain easement. Now evaluating other zone redundancy alternatives. Completion date is unknown at this time.
IP-0561-94	115-510020		Portero Tank Rehab	\$2,500,000	Ventura	Project is in progress. Currently between 60% and 90% design. Construction is planned to begin in 2014, and completion is planned in 2015.
IP-0561-96	115-510021		1200' of 8-inch Main at Rolling Oaks Dr & Los Padres Dr.	\$70,000	Ventura	Project is in progress. Project is a carry-over from the 2010 GRC, where construction dollars were stated to be requested in 2013 GRC. Design will begin in 2014, and completion is planned for 2015.
IP-0561-98	115-510023		Construct Portero Tank #13 (1.0MG) & Upsize Dewey BPS	\$3,797,150	Ventura	Project scope has changed based upon new distribution storage analysis performed in 2012 CPS. The 2012 CPS concluded that the amount of additional distribution storage previously recommended was no longer required. Therefore, a new Portero Tank is no longer necessary. However, the planned upsizing of Dewey BPS is still required. The funds from the tank component portion of this project have been dedicated to the replacement of the Moorpark Booster Station, which is currently under design and completion is planned for 2014.
IP-0561-100	115-510002		Improve Low Pressure in Gainsborough Zone	\$1,530,000	Ventura	Project is in progress. Project is a carry-over from the 2010 GRC, where construction dollars were stated to be requested in 2013 GRC. The developer is funding the booster and pipeline portion of project, and additional pipeline improvements will occur after 2014. Completion is planned in 2015.
IP-0561-101	115-510003		Replace Los Robles Tank #1 - 400K Gal	\$1,282,500	Ventura	Project is in progress. Construction is planned to begin November 2013, and completion is planned for the 2nd quarter of 2014.
IP-0561-102	115-510004		Install 2300' of 12" Main in Borchard Road	\$760,000	Ventura	Project completed in 2013.

\$66,343,695

ATTACHMENT B

TABLE: Comparison of MDR Response and Data Request Response Regarding Projects Authorized But Not Built

Projects Provided in Response to MDR	Authorized Amount	Projects Provides in Response to Data Request	Authorized Amount
Elverta Road Bridge Water Main	\$348,000	Elverta Road Bridge Water Main	\$348,000
Additional Pump Equipment	\$200,000	SAC-Add'l Pump Equipment (Mather)	\$250,000
Olympiad Booster Station Upgrade	\$2,365,000	Olympiad Booster Station Replacement	\$2,265,000
Lemon Domestic Reservoir Improvement	\$240,000	Lemon Domestic Reservoir Improvements	\$480,000
New ASR Well	\$496,000	NOT INCLUDED	
Total Authorized Amount =	\$3,649,000	LA-Rehab Oak Knoll Cir Well	\$2,747,000
		LA-Redrill Richardson Well	\$1,584,000
		Withers 100K Tank Replacement	\$203,500
		Crowder Land Controls	\$54,849
		Install 2700-ft of Main at Grand and Bonita	\$754,802
		Walnut Grove Permanent Sanitary Sewer Connection	\$348,000
		Arden Intertie, BPS and Pipeline	\$2,272,325
		Walnut Grove System Improvements	\$100,000
		Walnut Grove System Improvements	\$610,000
		Walnut Grove - 120,000 Gallon Tank and Booster Station	\$280,000

Lincoln Oaks 1.5MG Tank and Booster Station	\$695,000
Walerga Road Tank and Booster Station	Special Facilitites Fee
Improvements to Las Posas #1 (Reservoir)	\$697,379
Wildwood Reservoir Tank Rehab	\$136,000
Moorpark Reservoir Rehab	\$2,141,800
Connect 12" Main Between Hillcrest and Lawrence Dr	\$169,000
Well Rehabilitation (1A, 5 & 3A)	\$296,400
Oswego Well Replacement	\$1,246,400
Winston Well Replacement	\$3,566,000
Replace Lamanda Well	\$200,000
Replace Santa Fe Well	\$1,164,000
2,700 Feet of 8-Inch Main in Treefern	\$868,410
2,800 Feet of 8-Inch Main in Armijo	\$915,000
Spinks Reservoir Booster Station Improvements	\$532,000
Rosemead Tank Reconstruction	\$147,250
Duarte Water Supply Improvements	\$3,719,250
Mainline Distribution Valve Replacement 2014	\$150,000
Booster Station Rehab 2014	\$231,000
Polybutylene Service Replacements 2012-2014	\$1,944,000

	Seaside Mains Replacement Phase II	\$5,406,000
	Los Padres Dam Fish Passage	\$2,342,000
	PRV Stations and Diaphragm Valve Replacement	\$150,000
	Hydropneumatic Tank Replacement	\$118,000
	PRV Stations Improvement	\$159,000
	Meter Conversion 2012-2013	\$12,557,000
	Well Rehabiliations 2012-2013	\$2,043,521
	Security Park Interconnection	\$500,000
	Antelope Road Interconnection with SSWD	\$300,000
	Parkway Emergency Generators	\$725,000
	Walerga Road Bridge Pipeline Relocation	\$803,000
	Water Treatment Plant Improvements	\$3,266,000
	Lincoln Oaks PCE/VOC Study	\$350,000
	Mapping Improvement Project	\$250,000
	SCADA Upgrades 2012-2014	\$1,632,000
	Sewer Connection Fee (SRCSD)	\$76,000
	Small Main Replacement Program	\$712,500
	Hollister Steet Main Replacement Phase 2	\$1,364,485
	Hollister Steet Main	\$2,759,750

	Replacement Phase 3	
	Improvements to CMWD Interchange	\$567,150
	Upsize White Stallion Transmission BPS	\$593,750
	Pace Reservoir Rehab	\$1,140,000
	Calle Yucca Turnout 14" Main Improvements	\$475,000
	Potrero Tank Rehab	\$2,500,000
	1200' of 8-Inch Main at Rolling Oaks Dr & Los Padres Dr.	\$70,000
	Construct Potrero Tank #3 (1.0MG) & Upsize Dewey BPS	\$3,797,150
	Improve Low Pressure in Gainsborough Zone	\$1,520,000
	Replace Los Robles Tank #1 - 400K Gal	\$1,282,500
	Install 2300 ft of 12" Main in Borchard Road	\$760,000
	Total Authorized Amount =	\$79,336,171