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**BEFORE THE PUBLIC UTILITIES COMMISSION  
OF THE STATE OF CALIFORNIA**

Order Instituting Investigation and Ordering Pacific Gas and Electric Company to Appear and Show Cause Why It Should Not Be Sanctioned for Violations of Article 8 and Rule 1.1 of the Rules of Practice and Procedure and Public Utilities Code Sections 1701.2 and 1701.3.

Investigation 15-11-015  
(Filed November 23, 2015)

**STIPULATIONS OF PACIFIC GAS AND ELECTRIC COMPANY, THE CITY OF SAN BRUNO, THE CITY OF SAN CARLOS, THE OFFICE OF RATEPAYER ADVOCATES, THE SAFETY AND ENFORCEMENT DIVISION, AND THE UTILITY REFORM NETWORK REGARDING THE CATEGORY 1 COMMUNICATIONS**

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Dated: September 1, 2016

Attorneys for  
PACIFIC GAS AND ELECTRIC COMPANY

**BEFORE THE PUBLIC UTILITIES COMMISSION  
OF THE STATE OF CALIFORNIA**

Order Instituting Investigation and Ordering Pacific Gas and Electric Company to Appear and Show Cause Why It Should Not Be Sanctioned for Violations of Article 8 and Rule 1.1 of the Rules of Practice and Procedure and Public Utilities Code Sections 1701.2 and 1701.3.

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**STIPULATIONS OF PACIFIC GAS AND ELECTRIC COMPANY, THE CITY OF SAN BRUNO, THE CITY OF SAN CARLOS, THE OFFICE OF RATEPAYER ADVOCATES, THE SAFETY AND ENFORCEMENT DIVISION, AND THE UTILITY REFORM NETWORK REGARDING THE CATEGORY 1 COMMUNICATIONS**

Pursuant to the Joint Ruling Revising Preliminary Scoping Memorandum, dated July 12, 2016, and Administrative Law Judge Bushey’s August 11, 2016 Extension of Time to Submit Stipulations for Category 1, Pacific Gas and Electric Company (“PG&E”) the City of San Bruno, the City of San Carlos, the Office of Ratepayer Advocates, the Safety and Enforcement Division, and The Utility Reform Network (collectively, the “Parties”) file the stipulations regarding the Category 1 communications, attached hereto as Exhibit 1. After meeting and conferring regarding these Stipulations, the Parties agree that any factual or evidentiary issues concerning the Category 1 communications can be resolved by the Stipulations attached as Exhibit 1, so that any legal or policy issues can be resolved by the Commission without further discovery.

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# **EXHIBIT 1**

**I.15-11-015**

**Stipulations for Category 1 Communications**

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-1 (Tab 1)	03/16/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on March 16, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-2 (Tab 2)	03/16/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on March 16, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</li> </ol>

<sup>1</sup> One or more of the Non-PG&E Parties has alleged that the Tabbed Communication is related to the Proceeding(s) Alleged listed for this Tab. By including the "Proceedings Alleged" column, PG&E is not agreeing that the Tabbed Communication is a substantive communication about the Proceeding(s) Alleged.

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-3 (Tab 3)	03/16/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on March 16, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-4 (Tab 4)	03/18/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on March 18, 2011.</p> <p>2. The communication took place as</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-5 (Tab 5)	04/12/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on April 12, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-6 (Tab 6)	04/19/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on April 19, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-7 (Tab 7)	05/09/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on May 9, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			Peevey was a decisionmaker at the Commission.	
1-8 (Tab 8)	05/23/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on May 23, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-9 (Tab 9)	06/01/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on June 1, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>8.3(b).</p> <p>4. Meredith Allen and Brian Cherry were representatives of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>should be imposed on PG&amp;E?</p>
1-10 (Tab 10)	06/02/11	1. I.11-02-016 (Record-keeping OII)	<p>2. The communication took place on June 2, 2011.</p> <p>3. The communication took place as reflected in the email.</p> <p>4. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>5. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-11 (Tab 11)	06/09/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on June 9, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-12 (Tab 12)	06/10/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on June 10, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-13 (Tab 13)	06/24/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on June 24, 2011.</p> <p>2. The communication took place as</p>	<p>1. Was this a substantive communication about an open proceeding?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-14 (Tab 14)	07/06/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on July 6, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-15 (Tab 15)	07/26/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on July 26, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-16 (Tab 16)	07/28/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on July 28, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			Peevey was a decisionmaker at the Commission.	
1-17 (Tab 17)	08/09/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on August 9, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-18 (Tab 18)	08/30/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on August 30, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>should be imposed on PG&amp;E?</p>
1-19 (Tab 19)	09/19/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on September 19, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-20 (Tab 20)	11/04/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on November 4, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-21 (Tab 21)	12/08/11	<p>1. I.11-02-016 (Record-keeping OII)</p> <p>2. I.11-11-009 (Class Location OII)</p>	<p>1. The communication took place on December 8, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.11-02-016, I.11-11-009) were adjudicatory proceedings, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-22 (Tab 22)	12/13/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on December 13, 2011.</p> <p>2. The communication took place as</p>	1. Was this a substantive communication about an open proceeding?

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-11-009 (Class Location OII)	<p>reflected in the email.</p> <p>3. The proceedings (I.11-02-016, I.11-11-009) were adjudicatory proceedings, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-23 (Tab 23)	12/14/11	<p>1. I.11-02-016 (Record-keeping OII)</p> <p>2. I.11-11-009 (Class Location OII)</p>	<p>1. The communication took place on December 14, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.11-02-016, I.11-11-009) were adjudicatory proceedings, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-24 (Tab 24)	12/19/11	<ol style="list-style-type: none"> <li>1. I.11-02-016 (Record-keeping OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on December 19, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.11-02-016, I.11-11-009) were adjudicatory proceedings, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-25 (Tab 25)	01/31/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on January 31, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			Peevey was a decisionmaker at the Commission.	
1-26 (Tab 26)	05/14/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on May 14, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-27 (Tab 27)	07/20/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on July 20, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-28 (Tab 28)	02/21/13	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on February 21, 2013.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		4. A.12-11-009 (TY 2014 GRC)	<p>8.3(c) and 8.4.</p> <p>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</p> <p>6. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	
1-29 (Tab 32)	05/24/13	<p>1. I.12-01-007 (San Bruno OII)</p> <p>2. I.11-11-009 (Class Location OII)</p> <p>3. I.11-02-016 (Record-keeping OII)</p>	<p>1. The communication took place on May 24, 2013.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Carol Brown was an advisor to Michael Peevey.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-30 (Tab 33)	12/06/13	1. I.12-01-007 (San Bruno OII)	<p>1. The communication took place on December 6, 2013.</p> <p>2. The communication took place as</p>	1. Was this a substantive communication about an open proceeding?

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII) 4. A.12-11-009 (TY 2014 GRC)	reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4. 5. PG&E did not serve the written communication on the parties to the proceeding. 6. Brian Cherry was a representative of PG&E, an interested party. Michael Peevey was a decisionmaker at the Commission.	2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-31 (Tab 36)	03/27/14	1. I.12-01-007 (San Bruno OII) 2. I.11-11-009 (Class Location OII)	1. The communication took place on March 27, 2014. 2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		3. I.11-02-016 (Record-keeping OII)	<p>prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p> <p>5. Laura Doll was a representative of PG&amp;E, an interested party. Paul Clanon, whose email address was pac@cpuc.ca.gov, was the Commission's Executive Director, and not a decisionmaker at the Commission.</p>	occurred and what sanctions should be imposed on PG&E?
1-32 (Tab 37)	03/27/14	<p>1. I.12-01-007 (San Bruno OII)</p> <p>2. I.11-11-009 (Class Location OII)</p> <p>3. I.11-02-016 (Record-keeping OII)</p>	<p>1. The communication took place on March 27, 2014.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-33 (Tab 38)	04/01/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on April 1, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-34 (Tab 39)	04/01/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on April 1, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		4. A.12-11-009 (TY 2014 GRC)	<p>8.3(c) and 8.4.</p> <p>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</p> <p>6. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	
1-35 (Tab 40)	04/02/14	<p>1. I.12-01-007 (San Bruno OII)</p> <p>2. I.11-11-009 (Class Location OII)</p> <p>3. I.11-02-016 (Record-keeping OII)</p>	<p>1. The communication took place on April 2, 2014.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Peevey was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-36 (Tab 41)	04/02/14	1. I.11-02-016 (Record-keeping OII)	1. The communication took place on April 2, 2014.	1. Was this a substantive communication about an open

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Carol Brown was an advisor to Michael Peevey.	proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-37 (Tab 80)	04/06/11	1. I.11-02-016 (Record-keeping OII)	1. The communication took place on April 6, 2011. 2. The communication took place as reflected in the email. 3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Mark Ferron was a decisionmaker at the Commission.	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-38 (Tab 81)	04/12/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on April 12, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-39 (Tab 82)	04/14/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on April 14, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			was a decisionmaker at the Commission.	
1-40 (Tab 83)	04/19/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on April 19, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-41 (Tab 84)	04/25/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on April 25, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			4. Brian Cherry was a representative of PG&E, an interested party. Mark Ferron was a decisionmaker at the Commission.	should be imposed on PG&E?
1-42 (Tab 85)	05/05/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on May 5, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-43 (Tab 86)	05/09/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on May 9, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>should be imposed on PG&amp;E?</p>
1-44 (Tab 87)	05/23/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on May 23, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-45 (Tab 88)	06/02/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on June 2, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-46 (Tab 89)	06/09/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on June 9, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-47 (Tab 90)	06/10/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on June 10, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-48 (Tab 91)	06/24/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on June 24, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-49 (Tab 92)	06/27/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on June 27, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-50 (Tab 93)	07/06/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on July 6, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-51 (Tab 94)	07/12/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on July 12, 2011.</p> <p>2. The communication took place as reflected in the email.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<ol style="list-style-type: none"> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<p>Public Utilities Code Section 1701.2(c)?</p> <ol style="list-style-type: none"> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-52 (Tab 95)	07/26/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on July 26, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-53 (Tab 96)	07/28/11	1. I.11-02-016 (Record-keeping OII)	<ol style="list-style-type: none"> <li>1. The communication took place on July 28, 2011.</li> <li>2. The communication took place as</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-54 (Tab 98)	08/09/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on August 9, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-55 (Tab 99)	08/15/11	1. I.11-02-016 (Record-keeping OII)	1. The communication took place on August 15, 2011.	1. Was this a substantive communication about an open

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<ol style="list-style-type: none"> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<p>proceeding?</p> <ol style="list-style-type: none"> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-56 (Tab 101)	08/30/11	<ol style="list-style-type: none"> <li>1. I.11-02-016 (Record-keeping OII)</li> <li>2. R.11-02-019 (Gas Pipeline Safety OIR)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on August 30, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</li> <li>4. The proceeding (R.11-02-019) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</li> <li>5. PG&amp;E did not serve the written communication on the parties to the</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Sections 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>proceeding.</p> <p>6. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	
1-57 (Tab 102)	08/31/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on August 13, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-58 (Tab 103)	09/13/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on September 13, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-59 (Tab 104)	09/19/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on September 19, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-60 (Tab 105)	10/18/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on October 18, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-61 (Tab 106)	11/03/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on November 3, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-62 (Tab 107)	11/04/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on November 4, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-63 (Tab 139)	11/04/11	1. I.11-02-016 (Record-keeping OII)	<p>1. The communication took place on November 4, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (I.11-02-016) was an adjudicatory proceeding, so ex parte communications concerning the proceeding were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-64 (Tab 108)	11/21/11	1. I.11-11-009 (Class Location OII)	<p>1. The communication took place on November 21, 2011.</p> <p>2. The communication took place as</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-02-016 (Record-keeping OII)	<p>reflected in the email.</p> <p>3. The proceedings (I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-65 (Tab 140)	11/21/11	<p>1. I.11-11-009 (Class Location OII)</p> <p>2. I.11-02-016 (Record-keeping OII)</p>	<p>1. The communication took place on November 21, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-66 (Tab 109)	11/29/11	<ol style="list-style-type: none"> <li>1. I.11-11-009 (Class Location OII)</li> <li>2. I.11-02-016 (Record-keeping OII)</li> <li>3. R.11-02-019 (Gas Pipeline Safety OIR)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on November 29, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceeding (R.11-02-019) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</li> <li>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</li> <li>6. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Sections 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-67 (Tab 110)	12/08/11	<ol style="list-style-type: none"> <li>1. I.11-11-009 (Class Location OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on December 8, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.11-11-009, I.11-02-</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-02-016 (Record-keeping OII)	<p>016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-68 (Tab 141)	12/08/11	<p>1. I.11-11-009 (Class Location OII)</p> <p>2. I.11-02-016 (Record-keeping OII)</p>	<p>1. The communication took place on December 8, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-69 (Tab 142)	12/13/11	1. I.11-11-009 (Class Location OII)	<p>1. The communication took place on December 13, 2011.</p> <p>2. The communication took place as</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-02-016 (Record-keeping OII)	<p>reflected in the email.</p> <p>3. The proceedings (I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</p>	<p>the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-70 (Tab 112)	12/14/11	<p>1. I.11-11-009 (Class Location OII)</p> <p>2. I.11-02-016 (Record-keeping OII)</p>	<p>1. The communication took place on December 14, 2011.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-71 (Tab 143)	12/14/11	<ol style="list-style-type: none"> <li>1. I.11-11-009 (Class Location OII)</li> <li>2. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on December 14, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-72 (Tab 113)	12/19/11	<ol style="list-style-type: none"> <li>1. I.11-11-009 (Class Location OII)</li> <li>2. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on December 19, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			was a decisionmaker at the Commission.	
1-73 (Tab 144)	12/19/11	<ol style="list-style-type: none"> <li>1. I.11-11-009 (Class Location OII)</li> <li>2. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on December 19, 2011.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-74 (Tab 145)	01/06/12	<ol style="list-style-type: none"> <li>1. I.11-11-009 (Class Location OII)</li> <li>2. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communications took place on January 6, 2012.</li> <li>2. The communications took place as reflected in the email.</li> <li>3. The proceedings (I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule</li> </ol>	<ol style="list-style-type: none"> <li>1. Were these substantive communications about an open proceeding?</li> <li>2. Did these communications violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission. Ditas Katague, Stephen St. Marie, and Colette Kersten were advisors to Catherine Sandoval.</p>	<p>should be imposed on PG&amp;E?</p>
1-75 (Tab 114)	01/30/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on January 30, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-76 (Tab 115)	02/07/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on February 7, 2012.</li> <li>2. The communication took place as reflected in the email.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Mark Ferron was a decisionmaker at the Commission.	Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-77 (Tab 116)	03/13/12	1. I.12-01-007 (San Bruno OII) 2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	1. The communication took place on March 13, 2012. 2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Mark Ferron was a decisionmaker at the Commission.	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-78 (Tab 147)	03/13/12	1. I.12-01-007 (San Bruno OII)	1. The communication took place on March 13, 2012. 2. The communication took place as	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.	the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-79 (Tab 185)	03/13/12	1. I.12-01-007 (San Bruno OII) 2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	1. The communication took place on March 13, 2012. 2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Timothy Simon was a decisionmaker at the Commission.	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-80 (Tab 117)	03/29/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on March 29, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-81 (Tab 118)	04/25/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on April 25, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			was a decisionmaker at the Commission.	
1-82 (Tab 149)	04/25/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on April 25, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-83 (Tab 186)	04/25/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on April 25, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		3. I.11-02-016 (Record-keeping OII)	<p>prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Timothy Simon was a decisionmaker at the Commission.</p>	should be imposed on PG&E?
1-84 (Tab 150)	04/26/12	<p>1. I.12-01-007 (San Bruno OII)</p> <p>2. I.11-11-009 (Class Location OII)</p> <p>3. I.11-02-016 (Record-keeping OII)</p>	<p>1. The communication took place on April 26, 2012.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</p>	<p>4. Was this a substantive communication about an open proceeding?</p> <p>5. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>6. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-85 (Tab 119)	05/12/12	1. I.12-01-007 (San Bruno OII)	<p>1. The communication took place on May 12, 2012.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Mark Ferron was a decisionmaker at the Commission.	1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-86 (Tab 151)	05/12/12	1. I.12-01-007 (San Bruno OII) 2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	1. The communication took place on May 12, 2012. 2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-87 (Tab 187)	05/12/12	1. I.12-01-007 (San Bruno OII)	1. The communication took place on May 12, 2012. 2. The communication took place as	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Timothy Simon was a decisionmaker at the Commission.	the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-88 (Tab 120)	05/14/12	1. I.12-01-007 (San Bruno OII) 2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	1. The communication took place on May 14, 2012. 2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Mark Ferron was a decisionmaker at the Commission.	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-89 (Tab 188)	05/14/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on May 14, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Timothy Simon was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-90 (Tab 122)	07/09/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on July 9, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			was a decisionmaker at the Commission.	
1-91 (Tab 123)	07/20/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on July 20, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-92 (Tab 153)	07/20/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on July 20, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			4. Brian Cherry was a representative of PG&E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.	should be imposed on PG&E?
1-93 (Tab 125)	08/06/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on August 6, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-94 (Tab 154)	08/06/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on August 6, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		3. I.11-02-016 (Record-keeping OII)	<p>prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</p>	<p>occurred and what sanctions should be imposed on PG&amp;E?</p>
1-95 (Tab 155)	08/24/12	<p>1. I.12-01-007 (San Bruno OII)</p> <p>2. I.11-11-009 (Class Location OII)</p> <p>3. I.11-02-016 (Record-keeping OII)</p> <p>4. A.12-04-018 (Cost of Capital)</p>	<p>1. The communication took place on August 24, 2012.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. The proceeding (A.12-04-018) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</p> <p>5. PG&amp;E did not did not serve the written communication on the parties to the proceeding.</p> <p>6. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			Commission.	
1-96 (Tab 189)	08/24/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> <li>4. A.12-04-018 (Cost of Capital)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on August 24, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceeding (A.12-04-018) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</li> <li>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</li> <li>6. Brian Cherry was a representative of PG&amp;E, an interested party. Timothy Simon was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-97 (Tab 126)	09/11/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on September 11, 2012.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Mark Ferron was a decisionmaker at the Commission.	proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-98 (Tab 156)	09/11/12	1. I.12-01-007 (San Bruno OII) 2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	1. The communication took place on September 11, 2012. 2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-99 (Tab 190)	09/11/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on September 11, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Timothy Simon was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-100 (Tab 191)	09/11/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on September 11, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Timothy</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			Simon was a decisionmaker at the Commission.	
1-101 (Tab 192)	10/04/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on October 4, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Timothy Simon was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-102 (Tab 157)	10/22/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on October 22, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		3. I.11-02-016 (Record-keeping OII)	<p>prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</p>	should be imposed on PG&E?
1-103 (Tab 128)	11/01/12	<p>1. I.12-01-007 (San Bruno OII)</p> <p>2. I.11-11-009 (Class Location OII)</p> <p>3. I.11-02-016 (Record-keeping OII)</p>	<p>1. The communication took place on November 1, 2012.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-104 (Tab 193)	11/01/12	<p>1. I.12-01-007 (San Bruno OII)</p> <p>2. I.11-11-009 (Class Location OII)</p>	<p>1. The communication took place on November 1, 2012.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		3. I.11-02-016 (Record-keeping OII)	<p>proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Timothy Simon was a decisionmaker at the Commission.</p>	<p>1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-105 (Tab 130)	12/14/12	<p>1. I.12-01-007 (San Bruno OII)</p> <p>2. I.11-11-009 (Class Location OII)</p> <p>3. I.11-02-016 (Record-keeping OII)</p> <p>4. A.12-11-009 (TY 2014 GRC)</p>	<p>1. The communication took place on December 14, 2012.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</p> <p>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</p> <p>6. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			was a decisionmaker at the Commission.	
1-106 (Tab 159)	12/14/12	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> <li>4. A.12-11-009 (TY 2014 GRC)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on December 14, 2012.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</li> <li>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</li> <li>6. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-107 (Tab 131)	01/25/13	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on January 25, 2013.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		<ul style="list-style-type: none"> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> <li>4. A.12-11-009 (TY 2014 GRC)</li> </ul>	<ul style="list-style-type: none"> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</li> <li>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</li> <li>6. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ul>	<ul style="list-style-type: none"> <li>proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ul>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-108 (Tab 132)	02/22/13	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> <li>4. A.12-11-009 (TY 2014 GRC)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on February 22, 2013.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</li> <li>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</li> <li>6. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-109 (Tab 133)	04/18/13	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on April 18, 2013.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		3. I.11-02-016 (Record-keeping OII) 4. A.12-11-009 (TY 2014 GRC)	009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4. 5. PG&E did not serve the written communication on the parties to the proceeding. 6. Brian Cherry was a representative of PG&E, an interested party. Mark Ferron was a decisionmaker at the Commission.	1701.2(c) and/or 1701.3(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-110 (Tab 65)	10/15/13	1. I.12-01-007 (San Bruno OII) 2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	1. The communication took place shortly before October 15, 2013. 2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Michel Florio	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			was a decisionmaker at the Commission. Sepideh Khosrowjah was an advisor to Michel Florio.	
1-111 (Tab 160)	11/18/13	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on November 18, 2013.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-112 (Tab 135)	12/06/13	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on December 6, 2013</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		3. I.11-02-016 (Record-keeping OII) 4. A.12-11-009 (TY 2014 GRC)	prohibited under Rule 8.3(b). 4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4. 5. PG&E did not serve the written communication on the parties to the proceeding. 6. Brian Cherry was a representative of PG&E, an interested party. Mark Ferron was a decisionmaker at the Commission.	occurred and what sanctions should be imposed on PG&E?
1-113 (Tab 161)	12/06/13	1. I.12-01-007 (San Bruno OII) 2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII) 4. A.12-11-009 (TY 2014 GRC)	1. The communication took place on December 6, 2013. 2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4. 5. PG&E did not serve the written	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>communication on the parties to the proceeding.</p> <p>6. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</p>	
1-114 (Tab 136)	12/19/13	1. A.13-12-012 (2015 GTS)	<p>1. The communication took place on December 19, 2013.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceeding (A.13-12-012) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</p> <p>4. PG&amp;E did not serve the written communication on the parties to the proceeding.</p> <p>5. Brian Cherry was a representative of PG&amp;E, an interested party. Mark Ferron was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.3(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-115 (Tab 162)	12/19/13	1. A.13-12-012 (2015 GTS)	<p>1. The communication took place on December 19, 2013.</p> <p>2. The communication took place as</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<p>reflected in the email.</p> <p>3. The proceeding (A.13-12-012) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</p> <p>4. PG&amp;E did not serve the written communication on the parties to the proceeding.</p> <p>5. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</p>	<p>the Commission's Rules or Public Utilities Code Section 1701.3(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-116 (Tab 163)	02/03/14	<p>1. I.12-01-007 (San Bruno OII)</p> <p>2. I.11-11-009 (Class Location OII)</p> <p>3. I.11-02-016 (Record-keeping OII)</p> <p>4. A.13-12-012 (2015 GTS)</p>	<p>1. The communication took place on February 3, 2014.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. The proceeding (A13-12-012) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</p>	<p>4. Was this a substantive communication about an open proceeding?</p> <p>5. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</p> <p>6. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			<ol style="list-style-type: none"> <li>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</li> <li>6. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	
1-117 (Tab 165)	03/05/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on March 5, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-118 (Tab 76)	03/05/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on March 5, 2014.</li> <li>2. The communication took place as</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Michel Florio was a decisionmaker at the Commission.	the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-119 (Tab 166)	03/27/14	1. I.12-01-007 (San Bruno OII) 2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	1. The communication took place on March 27, 2014. 2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-120 (Tab 167)	03/27/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on March 27, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> <li>5. Laura Doll was a representative of PG&amp;E, an interested party. Paul Clanon, whose email address was <a href="mailto:pac@cpuc.ca.gov">pac@cpuc.ca.gov</a>, was the Commission's Executive Director, and not a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-121 (Tab 168)	03/27/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on March 27, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		3. I.11-02-016 (Record-keeping OII) 4. A.13-12-012 (2015 GTS) 5. A.12-11-009 (TY 2014 GRC)	proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. The proceedings (A.12-11-009, A.13-12-012) were ratesetting proceedings, subject to Rules 8.3(c) and 8.4. 5. PG&E did not serve the written communication on the parties to the proceeding. 6. Brian Cherry was a representative of PG&E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.	1701.2(c) and/or 1701.3(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-122 (Tab 169)	03/28/14	1. I.12-01-007 (San Bruno OII) 2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	1. The communication took place on March 27 and 28, 2014. 2. The communication took place as reflected in the email. 3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Catherine	1. Was this a substantive communication about an open proceeding? 2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			Sandoval was a decisionmaker at the Commission. Amy Baker and Allison Brown were advisors to Catherine Sandoval.	
1-123 (Tab 170)	03/28/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> <li>4. A.12-11-009 (TY 2014 GRC)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on March 28, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</li> <li>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</li> <li>6. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-124 (Tab 78)	04/01/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communications took place on April 1, 2014.</li> <li>2. The communications took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michel Florio was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Were these substantive communications about an open proceeding?</li> <li>2. Did these communications violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-125 (Tab 171)	04/01/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on April 1, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			Commission.	
1-126 (Tab 172)	04/01/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> <li>4. A.13-12-012 (2015 GTS)</li> <li>5. A.12-11-009 (TY 2014 GRC)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on April 1, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceedings (A.12-11-009, A.13-12-012) were ratesetting proceedings, subject to Rules 8.3(c) and 8.4.</li> <li>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</li> <li>6. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-127 (Tab 173)	04/01/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on April 1, 2014.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		<ul style="list-style-type: none"> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ul>	<ul style="list-style-type: none"> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ul>	<ul style="list-style-type: none"> <li>proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ul>
1-128 (Tab 174)	04/02/14	<ul style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ul>	<ul style="list-style-type: none"> <li>1. The communication took place on April 2, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ul>	<ul style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ul>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
1-129 (Tab 175)	05/07/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> <li>4. A.12-11-009 (TY 2014 GRC)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on May 7, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceeding (A.12-11-009) was a ratesetting proceeding, subject to Rules 8.3(c) and 8.4.</li> <li>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</li> <li>6. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-130 (Tab 176)	05/13/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on May 13, 2014.</li> <li>2. The communication took place as reflected in the email.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		<ul style="list-style-type: none"> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> <li>4. A.13-12-012 (2015 GTS)</li> <li>5. A.12-11-009 (TY 2014 GRC)</li> </ul>	<ul style="list-style-type: none"> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. The proceedings (A.12-11-009, A.13-12-012) were ratesetting proceedings, subject to Rules 8.3(c) and 8.4.</li> <li>5. PG&amp;E did not serve the written communication on the parties to the proceeding.</li> <li>6. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ul>	<p>Public Utilities Code Section 1701.2(c) and/or 1701.3(c)?</p> <ul style="list-style-type: none"> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ul>
1-131 (Tab 177)	05/21/14	<ul style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ul>	<ul style="list-style-type: none"> <li>1. The communication took place on May 21, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> </ul>	<ul style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions</li> </ul>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
			4. Brian Cherry was a representative of PG&E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.	should be imposed on PG&E?
1-132 (Tab 178)	06/03/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> <li>3. I.11-02-016 (Record-keeping OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on June 3, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</li> <li>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> <li>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</li> </ol>
1-133 (Tab 184)	06/05/14	<ol style="list-style-type: none"> <li>1. I.12-01-007 (San Bruno OII)</li> <li>2. I.11-11-009 (Class Location OII)</li> </ol>	<ol style="list-style-type: none"> <li>1. The communication took place on June 5, 2014.</li> <li>2. The communication took place as reflected in the email.</li> <li>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications</li> </ol>	<ol style="list-style-type: none"> <li>1. Was this a substantive communication about an open proceeding?</li> <li>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</li> </ol>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		3. I.11-02-016 (Record-keeping OII)	<p>concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Michael Picker was a decisionmaker at the Commission.</p>	3. If so, how many violations occurred and what sanctions should be imposed on PG&E?
1-134 (Tab 180)	07/17/14	<p>1. I.12-01-007 (San Bruno OII)</p> <p>2. I.11-11-009 (Class Location OII)</p> <p>3. I.11-02-016 (Record-keeping OII)</p>	<p>1. The communication took place on July 17, 2014.</p> <p>2. The communication took place as reflected in the email.</p> <p>3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b).</p> <p>4. Brian Cherry was a representative of PG&amp;E, an interested party. Catherine Sandoval was a decisionmaker at the Commission.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or Public Utilities Code Section 1701.2(c)?</p> <p>3. If so, how many violations occurred and what sanctions should be imposed on PG&amp;E?</p>
1-135 (Tab 77)	03/31/14	1. I.12-01-007 (San Bruno OII)	<p>1. The communication took place on March 31, 2014.</p> <p>2. The communication took place as reflected in the email.</p>	<p>1. Was this a substantive communication about an open proceeding?</p> <p>2. Did this communication violate the Commission's Rules or</p>

Tab No.	Date of Email	Proceeding(s) Alleged <sup>1</sup>	Factual Stipulations	Issues of Policy or Law
		2. I.11-11-009 (Class Location OII) 3. I.11-02-016 (Record-keeping OII)	3. The proceedings (I.12-01-007, I.11-11-009, I.11-02-016) were adjudicatory proceedings, so ex parte communications concerning the proceedings were prohibited under Rule 8.3(b). 4. Brian Cherry was a representative of PG&E, an interested party. Michel Florio was a decisionmaker at the Commission.	Public Utilities Code Section 1701.2(c)? 3. If so, how many violations occurred and what sanctions should be imposed on PG&E?