

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Safety and Enforcement Division
Rail Transit Safety Branch

Resolution ST-167
December 1, 2016

R E S O L U T I O N

RESOLUTION ST-167 GRANTING APPROVAL OF FINAL
REPORT ON THE 2014 TRIENNIAL SAFETY REVIEW OF
SACRAMENTO REGIONAL TRANSIT DISTRICT.

SUMMARY

This Resolution grants the Safety and Enforcement Division final report titled, "2014 Triennial Safety Review of Sacramento Regional Transit District," dated November 3, 2015. The Sacramento Regional Transit District is ordered to implement the recommendations contained in the report and to provide monthly progress reports.

BACKGROUND

Commission General Order No. 164-D, "Rules and Regulations Governing State Safety Oversight of Rail Fixed Guideway Systems" requires Commission staff (staff) to conduct on-site safety reviews of transit agencies operating Rail Fixed Guideway Systems at least once every three years.

The Rail Transit Safety Branch (RTSB) staff conducted an on-site safety review of the Sacramento Regional Transit District (SRTD) beginning May 27, 2014 and concluding June 6, 2014. Staff conducted a post-review exit conference with SRTD management on July 8, 2014.

The methods used to conduct the safety review included:

- a. Interviews with SRTD employees at all levels from rank and file through management;
- b. Reviews of design, construction, procurement, testing, training, operation and maintenance programs;

- c. Reviews of records and procedures;
- d. Observation of operations and maintenance activities; and
- e. Inspections of equipment and facilities.

A full description of the safety review, including the scope, results, and recommendations, is contained in the final safety review report identified in this resolution as Attachment A.

The safety review results show SRTD is generally in compliance with its System Safety Program Plan; however, some program areas of non-compliance were identified during the review. These non-compliant items along with recommendations for corrective actions are described, where applicable, in the Findings/Comments/Recommendations section of each checklist and in the body of the final report. A summary of recommendations requiring corrective action is contained in Appendix C of the report.

PROTESTS

Staff sent SRTD a draft safety review report for a 30-day review and comment period on September 4, 2015. SRTD submitted comments to staff on September 28, 2015, requested revisions, confirmed the safety report's factual accuracy, and accepted the remaining recommendations.

DISCUSSION

The final report, "2014 Triennial Safety Review of Sacramento Regional Transit District," dated November 3, 2015, includes Attachment A which identifies staff findings and recommendations.

SRTD has stated to staff that they have developed and implemented corrective action plans for the majority of findings and recommendations identified in the report. SRTD will provide updates to all identified findings and recommendations and then provide regular monthly status updates of any remaining corrective actions until completion.

The Commission Safety and Enforcement Division (SED) recommends that the Commission approve the final safety review report titled, "2014 Triennial Safety

Review of Sacramento Regional Transit District," dated November 3, 2015. SED also recommends the Commission order SRTD to:

- Submit a report to the RTSB, which identifies the corrective action status for safety program areas of non-compliance identified by staff;
- Submit plans and schedules for implementing and completing any remaining recommended corrective actions contained in the report;
- Implement the recommendations in accordance with the plans and schedules submitted; and
- Provide the RTSB with monthly progress reports on the status of the corrective actions until they are completed and implemented.

NOTICE

On November 1, 2016, staff's request for approval of the final safety review report titled, "2014 Triennial Safety Review of Sacramento Regional Transit District," dated November 3, 2015, was published on the Commission's Daily Calendar.

COMMENTS

The draft resolution of the SED in this matter was mailed in accordance with Section 311 of the Public Utilities Code and Rule 14.2(c) of the Commission's Rules of Practice and Procedure. _____ comments were received.

FINDINGS

1. The RTSB staff conducted an on-site safety review of VTA beginning May 27, 2014 and concluding June 6, 2014. Staff conducted a post-review exit conference with SRTD management on July 8, 2014.
2. The safety review results show SRTD is generally in compliance with its System Safety Program Plan; however, some program areas of non-compliance were identified during the review. These non-compliant items along with recommendations for corrective actions are described, where applicable, in the Findings/Comments/Recommendations section of each checklist and in the body of the final report.

3. SRTD has stated to staff they have developed and implemented corrective action plans for the majority of findings and recommendations identified in the report.

THEREFORE, IT IS ORDERED THAT:

1. The Safety and Enforcement Division's request for approval of the final safety review report titled, "2014 Triennial Safety Review of Sacramento Regional Transit District," dated November 3, 2015, is granted.
2. The Sacramento Regional Transit District shall submit to the Rail Transit Safety Branch plans and schedules for implementing all recommended corrective actions contained in the final safety review report. Those plans and schedules shall be submitted no later than 45 days from the effective date of this resolution.
3. The Sacramento Regional Transit District shall complete and implement all recommended corrective actions contained in the report, in accordance with the plans and schedules submitted to the Rail Transit Safety Branch.
4. The Sacramento Regional Transit District shall prepare and provide monthly status reports, beginning on January 15, 2017, to the Rail Transit Safety Branch. The reports shall contain detailed information on the implementation of all remaining recommended corrective actions contained in the final safety review report. Monthly status reports shall be provided until all recommended corrective actions are implemented and completed.
5. This Resolution is effective today.

I certify that the foregoing resolution was duly introduced, passed and adopted at a conference of the Public Utilities Commission of the State of California held on December 1, 2016; the following Commissioners voting favorably thereon:

TIMOTHY J. SULLIVAN
Executive Director

ATTACHMENT A