

ATTACHMENTS A - D

Attachment A

Page 1

List of deficiencies in draft tariff submitted by DTS of CA, Inc., in A.09-03-028 to be corrected in its initial tariff compliance filing.

1. Tariff Sheet Format: CPUC assigned utility ID number (U#) should be included on each sheet in the upper left header along with Company name and address. (General Order 96B, Section 8.4.1)
2. Schedule 1-T, Sheet No. 50 et al, - Lifeline Service Special Terms and Conditions. Please update requirements with current provisions at

<http://www.cpuc.ca.gov/PUC/Telco/Public+Programs/lifelineDetails.htm#qualify>

3. Schedule 1-T, Sheet No. 54 - Directory Listings. Please clarify that residential customers receive free annual directory listing (D.96-10-066, App B).
4. Schedule 1-T, Sheet No 56 - 900/976 Blocking. Please clarify that residential customers receive one-time free blocking for information services and one time billing adjustment for charges incurred inadvertently, mistakenly, or that were unauthorized (D.96-10-066, App B).

(End of Attachment A)

ATTACHMENT B

REQUIREMENTS APPLICABLE TO INCUMBENT LOCAL EXCHANGE CARRIERS AND INTEREXCHANGE CARRIERS

1. Applicant shall file, in this docket with reference to this decision number,¹ a written acceptance of the certificate granted in this proceeding within 30 days of the effective date of this order.

2. Applicant is subject to the following fees and surcharges that must be regularly remitted. Per the instructions in Exhibit E to Decision (D.) 00-10-028, the Combined California PUC Telephone Surcharge Transmittal Form must be submitted even if the amount due is \$0.

- a. The current 1.150% surcharge applicable to all intrastate services except for those excluded by D.94-09-065, as modified by D.95-02-050, to fund the Universal Lifeline Telephone Service Trust Administrative Committee Fund (Pub. Util. Code § 879; Resolution T-17071, dated March 1, 2007, effective April 1, 2007);
- b. The current 0.200% surcharge applicable to all intrastate services except for those excluded by D.94-09-065, as modified by D.95-02-050, to fund the California Relay Service and Communications Devices Fund (Pub. Util. Code § 2881; D.98-12-073 and Resolution T-17127, dated December 20, 2007, effective January 1, 2008);
- c. The user fee provided in Pub. Util. Code §§ 431-435, which is 0.180% of gross intrastate revenue (Resolution M-4819), dated June 7, 2007, effective July 1, 2007;
- d. The current 0.110% surcharge applicable to all intrastate services except for those excluded by D.94-09-065, as modified by D.95-02-050, to fund the California High Cost

¹ Written acceptance filed in this docket does not reopen the proceeding.

- Fund-A (Pub. Util. Code § 739.3; D.96-10-066, at 3-4, App. B, Rule 1.C; Resolution T-17259, dated April 8, 2010, effective May 1, 2010);
- e. The current 0.450% surcharge (effective December 1, 2009) applicable to all intrastate services except for those excluded by D.94-09-065, as modified by D.95-02-050, to fund the California High Cost Fund-B (D.96-10-066, p. 191, App. B, Rule 6.F.; D.07-12-054, Resolution T-17215, dated October 15, 2009, effective December 1, 2009.);
 - f. The current 0.000% surcharge applicable to all intrastate services except for those excluded by D.94-09-065, as modified by D.95-02-050, to fund the California Advanced Services Fund (D.07-12-054); Resolution T-17248, dated December 17, 2009, effective January 1, 2010; and
 - g. The current 0.079% surcharge applicable to all intrastate services except for those excluded by D.94-09-065, as modified by D.95-02-050, to fund the California Teleconnect Fund (D.96-10-066, p. 88, App. B, Rule 8.G; Resolution T-17142, dated April 29, 2008, effective June 1, 2008).

Note: These fees change periodically. In compliance with Resolution T-16901, December 2, 2004, Applicant should check the joint tariff for surcharges and fees filed by Pacific Bell Telephone Company (dba AT&T California) and apply the current surcharge and fee amounts in that joint tariff on end-user bills until further revised.

- 3. Applicant is an incumbent local exchange carrier (ILEC). The effectiveness of its future tariffs is subject to the requirements of General Order (GO) 96-B and the Telecommunications Industry Rules (D.07-09-019).
- 4. Tariff filings shall reflect all fees and surcharges to which Applicant is subject, as reflected in 2 above.
- 5. Applicant shall file a service area map as part of its initial tariff.

6. Prior to initiating service, Applicant must provide the Commission's Consumer Affairs Branch with the name and address of its designated contact person(s) for purposes of resolving consumer complaints. This information shall be updated if the name or telephone number changes, or at least annually.

7. Applicant shall notify the Director of the Communications Division in writing of the date that local exchange service is first rendered to the public, no later than five days after service first begins.

8. Applicant shall keep its books and records in accordance with 47CFR Part 32 USOA.

9. In the event Applicant's books and records are required for inspection by the Commission or its staff, it must either produce such records at the Commission's offices or reimburse the Commission for the reasonable costs incurred in having Commission staff travel to its office.

10. Applicant shall file an annual report with the Director of the Communications Division, in compliance with GO 104-A, on a calendar-year basis with the information contained in Attachment C to this decision.

11. Applicant shall file an affiliate transaction report with the Director of the Communications Division, in compliance with D.93-02-019, on a calendar-year basis using the form contained in Attachment D.

12. Applicant shall ensure that its employees comply with the provisions of Pub. Util. Code § 2889.5 regarding solicitation of customers.

13. Within 60 days of the effective date of this order, Applicant shall comply with Pub. Util. Code § 708, Employee Identification Cards, and notify the Director of the Communications Division in writing of its compliance.

14. If Applicant is 90 days or more late in filing an annual report, or in remitting the surcharges and fees listed in 2 above, the Communications Division

shall prepare for Commission consideration a resolution that revokes Applicant's Certificate of Public Convenience and Necessity unless it has received written permission from the Communications Division to file or remit late..

15. Applicant shall file an annual report on operations using the FCC Form M format.

16. Applicant shall send a copy of this decision to concerned local permitting agencies no later than 30 days from the date of this order.

(END OF ATTACHMENT B)

ATTACHMENT C

Page 1 of 2 SELECTED FINANCIAL DATA – CLASS A, B, AND C TELEPHONE UTILITIES

NAME OF UTILITY _____ PHONE _____

PERSON RESPONSIBLE FOR THIS REPORT _____
 (Prepared from Information in the ____ Annual Report)

	Column 1	Column 2	(Column 1 + Column 2)/2
	1/1/_____	12/31/_____	Average

NET PLANT INVESTMENT (1)
 Telephone Plant in Service:

1. Intangible Plant	_____	_____	_____
2. Land and Land Rights	_____	_____	_____
3. Depreciable Telephone Plant	_____	_____	_____
4. Gross Telephone Plant in Service	_____	_____	_____
5. Telephone Plant Under Construction	_____	_____	_____
6. Plant Held for Future Telephone Use	_____	_____	_____
7. Telephone Plant Adjustment	_____	_____	_____
8. Less: Reserve for Depreciation	(_____)	(_____)	(_____)
9. Less Amortization Reserves	(_____)	(_____)	(_____)
10. Less: Accum. Def. Income Taxes & Credits	(_____)	(_____)	(_____)
11. Net Telephone Plant	_____	_____	_____
12. Materials & Supplies	_____	_____	_____
13. Net Telephone Plant	_____	_____	_____

(1) Includes Common Plant Allocations

CAPITALIZATION (TOTAL COMPANY)

14. Common Stock	_____	_____	_____
15. Proprietary Capital (Ind. Or Partner)	_____	_____	_____
16. Capital Stock (Premium, Discount and Expense) – Net	_____	_____	_____
17. Other Paid-in Capital	_____	_____	_____
18. Retained Earnings	_____	_____	_____
19. Common Equity (lines 14 thru 18)	_____	_____	_____
20. Preferred Stock	_____	_____	_____
21. Long- Term Debt & Notes Payable	_____	_____	_____
22. Total Capitalization (Lines 19 through 21)	_____	_____	_____

(Revised 6/95)

NAME OF UTILITY _____ PHONE: _____

INCOME STATEMENT DATA	Annual Amount
23. Local Service Revenue (California Telephone Only)	_____
24. Toll Service Revenue (California Telephone Only)	_____
25. Miscellaneous Revenue (California Telephone Only)	_____
26. Subtotal (California Telephone Only)	_____
27. Less: Uncollectible Revenue (California Telephone Only)	_____
28. Total Operating Revenue (California Telephone Only)	_____
29. Total Operating Expense (Less: Dep. & Amortiz.) (California Telephone Only)	_____
30. Depreciation Expense	_____
31. Amortization Expense	_____
32. Rent- Lease of Operating Property – Net	_____
33. Property Taxes (Ad Valorem)	_____
34. Other Operating Taxes – Excluding Taxes or Income	_____
35. Total Operating Revenue Deductions (Before income Tax)	_____
36. Federal and State Income Taxes	_____
37. Deferred Income Tax and Investment Credits	_____
38. Total Operating Revenue Deductions	_____
39. Operating Income (Loss)	_____
OTHER INCOME AND EXPENSE	
40. Net Operating Income from Other Utility Operations (Total)	_____
41. Net Other Non-Utility Income and Expense Deductions	_____
42. Income Available for Fixed Charges Before Interest Charges	_____
43. Fixed Charges	_____
44. Income before Extraordinary and Delayed Items	_____
45. Extraordinary and Delayed Items – Net of Income Tax	_____
46. Net Income (Loss)	_____
47. Preferred Stock Dividends	_____
48. Income Available for Common Stock	_____
49. Common Stock Dividends	_____
OTHER DATA (CALIFORNIA TELEPHONE UTILITIES)	
50. Amount of Compensation Charged to Operations Expense	_____
51. Payroll Capitalization to Utility Plant Telephone	_____
52. Total Compensation of Employees by Month	_____
53. Interest Charged to Construction	_____
54. Average Number of Company Telephone (Stations)	_____

(END OF ATTACHMENT C)

ATTACHMENT D

CALENDAR YEAR AFFILIATE TRANSACTION REPORT

An original and a machine readable, copy using Microsoft Word and Excel, or compatible format must be filed with the California Public Utilities Commission, State Office Building, 505 Van Ness Avenue, Room 3107, San Francisco, CA 94102-3298, no later than March 31st of the year following the calendar year for which the annual report is submitted.

1. Each utility shall list and provide the following information for each affiliated entity and regulated subsidiary that the utility had during the period covered by the Annual Affiliate Transaction Report.

- Form of organization (*e.g.*, corporation, partnership, joint venture, strategic alliance, etc.);
- Brief description of business activities engaged in;
- Relationship to the utility (*e.g.*, controlling corporation, subsidiary, regulated subsidiary, affiliate);
- Ownership of the utility (including type and percent ownership)
- Voting rights held by the utility and percent; and
- Corporate officers.

2. The utility shall prepare and submit a corporate organization chart showing any and all corporate relationships between the utility and its affiliated entities and regulated subsidiaries in #1 above. The chart should have the controlling corporation (if any) at the top of the chart, the utility and any subsidiaries and/or affiliates of the controlling corporation in the middle levels of the chart, and all secondary subsidiaries and affiliates (*e.g.*, a subsidiary that in turn is owned by another subsidiary and/or affiliate) in the lower levels. Any regulated subsidiary should be clearly noted.

3. For a utility that has individuals who are classified as “controlling corporations” of the competitive utility, the utility must only report under the requirements of #1 and #2 above any affiliated entity that either (a) is a public utility or (b) transacts any business with the utility filing the annual report excluding the provision of tariff services.

4. Each annual report must be signed by a corporate officer of the utility stating under penalty of perjury under the laws of the State of California (CCP 2015.5) that the annual report is complete and accurate with no material omissions.

5. Any required material that a utility is unable to provide must be reasonably described and the reasons the data cannot be obtained, as well as the efforts expended to obtain the information, must be set forth in the utility’s Annual Affiliate Transaction Report and verified in accordance with Section I-F of Decision 93-02-019.

6. Utilities that do not have affiliated entities must file, in lieu of the annual transaction report, an annual statement to the Commission stating that the utility had no affiliated entities during the report period. This statement must be signed by a corporate officer of the utility, stating under penalty of perjury under the laws of the State of California (CCP 2015.5) that the annual report is complete and accurate with no material omissions.

(END OF ATTACHMENT D)