



**FILED**

08-27-10

01:52 PM

**BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

In the Matter of the Updated and Corrected Application of GREAT OAKS WATER CO. (U162W) for an Order Authorizing an Increase in Rates Charged for Water Service, increasing the revenue requirement by \$1,846,100 or 14.94% in 2010, by \$254,425 or 1.79% in 2011 and by \$165,822 or 1.14% in 2012.

Application 09-09-001  
(Filed September 3, 2009)

**ASSIGNED COMMISSIONER AND ADMINISTRATIVE LAW JUDGE'S  
RULING SETTING AN EX PARTE COMMUNICATION PROHIBITION ON  
ISSUES ADDRESSED IN THE DIVISION OF WATER AND  
AUDITS' AUGUST 20, 2010 FINANCIAL AND  
COMPLIANCE VERIFICATION REPORT**

In compliance with the June 21, 2010 Assigned Commissioner and Administrative Law Judge's Ruling Addressing the Motion of the Division of Ratepayer Advocates to Reopen the Record and Issue an Order to Show Cause (June 21 Ruling), the Commission's Division of Water and Audits (DWA) submitted its Financial and Compliance Verification Report (DWA Report) on August 20, 2010.

In its report, DWA finds that Great Oaks Water Company (Great Oaks) was not in compliance with Public Utilities Code Sections 451 and 794, the Uniform System of Accounts (USOA) for Class A Water Utilities, and Decision (D.) 04-06-018. The findings in DWA's Report go beyond the ratesetting issues normally addressed in a general rate case and, therefore, ex parte communications should be prohibited on the issues addressed in DWA's Report.

As provided in the June 21 Ruling, parties may file comments on DWA's report by August 30, 2010 and reply comments by September 7, 2010.

Therefore, **IT IS RULED**, that:

1. Ex parte communications on all issues addressed in Division of Water and Audits' August 20, 2010 Financial and Compliance Verification Report are prohibited.

2. The individuals covered under this ex parte communications prohibition are defined in Rule 8.1(d) of the Commission's Rules of Practice and Procedure.

Dated August 27, 2010, at San Francisco.

          /s/ JOHN A. BOHN            
John A. Bohn  
Assigned Commissioner

          /s/ CHRISTINE M. WALWYN            
Christine M. Walwyn  
Administrative Law Judge

**INFORMATION REGARDING SERVICE**

I have provided notification of filing to the electronic mail addresses on the attached service list.

Upon confirmation of this document's acceptance for filing, I will cause a hard copy of the filed document to be served upon the service list to this proceeding by U.S. mail. The service list I will use to serve the hard copy of the filed document is current as of today's date.

Dated August 27, 2010, at San Francisco, California.

/s/ GLADYS M. DINGLASAN  
Gladys M. Dinglasan

**N O T I C E**

Parties should notify the Process Office, Public Utilities Commission, 505 Van Ness Avenue, Room 2000, San Francisco, CA 94102, of any change of address to ensure that they continue to receive documents. You must indicate the proceeding number on the service list on which your name appears.

\*\*\*\*\*

The Commission's policy is to schedule hearings (meetings, workshops, etc.) in locations that are accessible to people with disabilities. To verify that a particular location is accessible, call: Calendar Clerk (415) 703-1203.

If specialized accommodations for the disabled are needed, e.g., sign language interpreters, those making the arrangements must call the Public Advisor at (415) 703-2074 or TDD# (415) 703-2032 five working days in advance of the event.