Attachment B

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STATE OF CALIFORNIA ARNOLD SCHWARZENEGGER, *Governor*

PUBLIC UTILITIES COMMISSION
505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298

November 8, 2007

Brian Cherry
Vice President, Regulatory Relations
Pacific Gas and Electric Company
77 Beale St., Room 1087
San Francisco, CA 94105

Re: A.06-11-005 – Compliance with Assigned Commissioner 8/8/07 Ruling

Dear Brian:

I have reviewed PG&E's internal practices for ensuring compliance with the Commission's ex parte rules and believe that the improved practices focused on (1) internal training, (2) tracking of ex parte communications and (3) more comprehensive PG&E employee performance review will better ensure compliance with the Commission's rules. These improved practices are described in your letter to me dated October 31, 2007 which is attached.

While we did reach agreement on several significant modifications to PG&E's actual ex parte practices, the ALJ Division prefers that these changes occur through rule modifications for all entities rather than by an individual utility. For this reason, these modifications are not included in your revised internal practices. Nonetheless, I believe that you and your staff have complied with the intent of the Assigned Commissioner's ruling and have made a good faith effort to develop best practices in this area.

Very truly yours,

Randolph L. Wu
General Counsel

Attachment

c: Assigned Commissioner John Bohn
Administrative Law Judge Doug Long

October 31, 2007

Mr. Randy Wu
General Counsel
California Public Utilities Commission
505 Van Ness Avenue, Room 2001
San Francisco, CA 94102

Re: A.06-11-005 - Assigned Commissioner and Administrative Law Judge's Ruling dated August 8, 2007

Dear Randy:

In response to the Assigned Commissioner and ALJ Ruling in A.06-11-005, PG&E respectfully submits an updated draft of its revised compliance procedure for ex parte contacts as a model of best practices. The revised procedure reflects comments provided by yourself and Hallie Yacknin on the initial draft. Specifically, we have deleted those sections of the document that summarize the ex parte rules to avoid any confusion, misinterpretation, or potential inconsistency with the rules. Per your guidance, our latest draft focuses on procedures for capturing, controlling and reporting ex parte contacts.

In conjunction with developing these written best practices, PG&E reviewed its internal processes for specific and potential sources of errors related to the reporting of ex parte contacts. As a result of that review, we identified some key areas that I wanted to address:

- First and most important is training. I have taken steps to accelerate training for new employees and to provide refresher training for existing ones. This includes training for new lobbyists and related support personnel on ex parte procedures immediately upon hire or transfer. Training material will also be updated periodically to ensure consistency with these procedures and the latest CPUC Rules of Practice and Procedure.

- Second, I have reorganized my staff to place increased emphasis on tracking and reporting of ex parte communications. This includes realigning the administrative staff to segregate important duties and to capture any issues raised by the staff on ex parte reporting requirements that need further clarification. Periodic meetings will be held by the staff to review issues identified and escalate those requiring further attention by myself or other management.

- Third, I want to assure that PG&E is totally committed to maintaining the highest ethical standard regarding ex parte contacts and their reporting. Our current employee performance review process is designed to assess and reinforce appropriate ethical behavior by all employees, including appropriate and timely feedback.

In conclusion, I want to reiterate that PG&E views these ex parte infractions very seriously and is committed to making all requisite changes to remediate and prevent their reoccurrence going forward. Moreover, we believe that PG&E's enhanced ex parte procedure together with the steps I have outlined in this letter will substantially enhance compliance with the Commission's ex parte processes.

Please let me know if you have any questions.

Respectfully,

Enclosures

cc: Ann Kim, PG&E
Robert McLennan, PG&E
Ed Moldavsky
Hallie Yacknin

EX PARTE CONTACTS PROCEDURE AND POLICY

Issued: 10/31/07
Next Review: 12/31/08

Objective:

To describe:

- *a best practice procedure and policy for ex parte contacts and reporting at the California Public Utilities Commission (CPUC); that*
- *defines the major responsibilities of PG&E employees and agents who engage or support ex parte contacts; and*
- *complies with applicable sections of the Public Utilities Code and CPUC Rules of Practice and Procedure.*

Originator:

Vice President, Regulatory Relations

Applicability:

All ex parte contacts with the California Public Utilities Commission by employees and agents of PG&E as well as any individual that is asked by PG&E, its agents, employees or paid representatives to engage in an ex parte contact with a Decisionmaker.

Responsibilities:

VP Regulatory Relations has primary responsibility for implementing and ensuring effectiveness of ex parte procedures and policies. This includes: assigning roles & responsibilities; communicating procedures and policies; training for skills & knowledge; establishing appropriate safeguards to ensure compliance; approving changes to procedures and policies; and validating compliance with procedures and policies.

Under direction of the VP Regulatory Relations:

PG&E employees and agents who engage in or support ex parte contacts are responsible for: understanding the ex parte rules; knowing when and how CPUC rules apply; ensuring proper pre and post meeting noticing of ex parte communications; and validating timeliness, accuracy and completeness of submitted ex parte reports.

Regulatory Relations administrative staff is responsible for providing administrative support for these ex parte

procedures. Such support includes: scheduling meetings with CPUC Decisionmakers and Commissioners' personal advisors; serving 3-day advance notice of ex parte equal time meetings and certificates of its service to Commissioner's offices; providing same-day service to parties of written ex parte contacts; filing ex parte reports with the CPUC docket office and serving appropriate parties; recordkeeping; and maintaining current documentation of these procedures.

VP and Managing Director Law is responsible for monitoring the Public Utilities Code; the CPUC Rules of Practice and Procedure and any related decisions on ex parte contacts; and reviewing this procedure and policy for compliance with such laws, rules and decisions.

General Rules:

Ex Parte Rules and Restrictions

The rules governing ex parte communications are covered by Public Utilities Code Section 1701.1-1701.4; and Article 8 of the CPUC Rules of Practices and Procedures, (also refer to Rules 1.9 and 1.10 covering Service) and related decisions.

Article 8. Communications with Decisionmakers

Article 8 of the CPUC Rules of Practice and Procedures provides specific rules and restrictions on communications with decisionmakers and their advisors. In general, these rules cover who is affected, what types of communications are restricted (and allowed) under the rules, when restrictions on communications are in effect, and specific reporting requirements on ex parte contacts. Article 8 is further broken down into the following sections:

- 8.1 (Rule 8.1) Definitions
- 8.2 (Rule 8.2) Ex Parte Requirements
- 8.3 (Rule 8.3) Reporting Ex Parte Communications
- 8.4 (Rule 8.4) Ex Parte Requirements Prior to Final Categorization
- 8.5 (Rule 8.5) Communications with Advisors
- 8.6 (Rule 8.6) Requirements in Proceedings Filed Before January 1, 1998

Special Note on Additional Restriction on Ex Parte Contacts

If a petition for writ of review is filed with the Court of Appeal or the California Supreme Court, an Interested Person seeking an ex parte contact with a Decisionmaker must obtain the prior approval of the Commission's General Counsel.

PG&E employees and agents who engage in ex parte contacts are expected to be knowledgeable of these rules and to refer to them for specific guidance on ex parte restrictions as appropriate.

VP Regulatory Relations

Roles & Responsibilities

Assign respective roles and responsibilities regarding ex parte procedures. Ensure all parties are clear as to assigned requirements. Liaise with Law to ensure these procedures are up-to-date and compliant with current policies, laws and regulations.

Communications

Responsible for communicating this procedure and any subsequent changes to appropriate personnel within PG&E.

Training

Provide training to advocates, administrative staff and other appropriate company personnel annually (and immediately upon any significant change in rules) and to new employees immediately upon employment on:

1) Ex parte requirements of Public Utilities Code Sections 1701.1-1701.4; the Commission's Rules of Practice and Procedure, Article 8 – Communications with Decisionmakers and Advisors (Rules 8.1-8.6); and related sections of Public Utilities Code and/ or Rules of Practice and Procedure.
2) Specific internal PG&E and department procedures as relates to ex parte communications and reporting.
3) Other pertinent information to the conduct of ex parte communications in compliance with company legal and ethical guidelines.

Approve Changes

Direct changes to PG&E ex parte procedures when required to conform to new legislation and/ or CPUC rules or internal company policy. Approve all proposed changes to internal PG&E ex parte process prior to their adoption.

Compliance with procedures

Ensure compliance with these procedures through monitoring of ex parte contacts and providing signature approval on ex parte reports. Initiate changes to procedures and enhance training materials as appropriate.

PG&E Employees and

Understand when ex parte rules apply

Agents Who Engage in Ex Parte Contacts

Understand the rules and restrictions on ex parte communications under Public Utilities Code Section 1701.1-1701.4; Rules of Practices and Procedures, Article 8 covering Communications with Decisionmakers and Advisors; and Rules of Practices and Procedures, Rules 1.9 and 1.10 covering Service, and related decisions.

Know what ex parte restrictions apply for assigned cases, based on categorization, and whether Ratesetting Deliberative Meeting or other restrictions are in effect.

Scheduling Meetings

1) Coordinate and report all ex parte communications with Decisionmakers and advisors in their assigned area of responsibility.
2) Inform Administration in writing of ex parte meetings with Decisionmakers or personal advisors in advance of planned meeting date.
3) If the meeting is the first of a series of equal time meetings with a Decisionmaker, ensure that at least three days advance notice is given all parties.
4) Use CPUC Meeting Request Form for assistance in scheduling meetings.
5) Obtain copy of Certificate of Service of that notice from Administration prior to meeting.
6) Validate that 3-day advance notice has been provided to all parties to the proceeding.

Conducting Meetings

Confirm that no restrictions are in effect that preclude the planned communication. This would include prohibition on communications prior to a Rate Setting Deliberative Meeting.

Individual Oral Communications: Bring copy of Certificate of Service of the 3-day advance notice to the meeting with Decisionmaker. Fax copy of certificate of service to Decisionmaker prior to initiating ex parte communication by telephone.

Written Communications: Provide Administration with a copy of any written ex parte communications with Decisionmakers in advance whenever possible. Verify that Administration is aware and been able to respond to same day service requirement.

Reporting

All oral and written ex parte communications with a Decisionmaker or personal advisor must be reported within 3 working days of the communication. The report must include a summary of the pertinent aspect of PG&E's communication and copies of any written or other media materials used or provided, (but not the Decisionmaker's or Commissioner's personal advisor's, communication and its content). It also must include the date, time and location of communication and whether it was oral, written or mixed; identities of each Decisionmaker or Commissioner's personal advisor involved, the person initiating the communication, and any persons present during the communication:

1) Use template to provide Administration with information to prepare a draft Notice of Ex Parte Communication.
2) Edit draft and approve final version of Notice of Ex Parte Communication prepared by Administration.
3) Validate accuracy, completeness and timeliness of ex parte report including distribution. Make sure any handouts or other materials used are complete and attached.
4) In absence of VP Regulatory Relations, sign on his behalf the Notice of Ex Parte Communication submitted to Docket Office.

Administration

Scheduling Meetings

Coordinate scheduling of meetings with Decisionmakers and advisors where ex parte rules apply.

1) Collect pertinent meeting information from PG&E employees who engage in ex parte contacts making sure CPUC Meeting Request form is complete.
2) Check whether the meeting is a request for a first equal time meeting with a Decisionmaker in a ratesetting proceeding.
3) Initiate meeting request with appropriate CPUC office(s).
4) Inform CPUC office of pertinent meeting information including specific nature of request and whether it is a request for an equal time meeting in a ratesetting proceeding.
5) Confirm time and location with all parties: internal, external, and appropriate CPUC offices.
6) Track meeting in Outlook in group calendar of "CPUC Meetings"; highlight if meeting requires a 3-Day Advance Notice.

3-Day Advance Notice of First Equal Time Meeting in a Ratesetting Proceeding

Inform all parties of any planned individual oral communication with a Decisionmaker at least 3 days in advance.

1) Notify all meeting participants, the assigned Commissioner, assigned Administrative Law Judge, and all parties on the current official service lists via e-mail.
2) Include: date and time of meeting, duration of meeting, location of meeting, Commissioner who is granting the meeting request.
3) Include names, addresses, and e-mail addresses (if applies) on notice.
4) Complete Certificate of Service using the appropriate template and attach copy to notice.
5) Furnish Certificate of Service to Decisionmaker's office prior to meeting.

Reporting

Written Ex Parte Communication

A copy of the written communication must be served on all parties the **same day** of any written ex parte communication with a Decisionmaker or personal advisor. Within 3 working days thereafter, a Notice of Ex Parte Communication must be filed with the Docket Office and served on all parties. Follow procedure below for filing of the Notice.

Oral Ex Parte Communication

Must be filed with the Docket Office and served on all parties within 3 working days after an oral communication with a Decisionmaker or personal advisor. Follow procedure below for filing of the notice.

Notice of Ex Parte Communication

Upon notification of a reportable ex parte communication Administration shall:

1) Prepare draft Notice of Ex Parte Communication using appropriate template and information obtained from CPUC Advocate:
   a) proceeding number
   b) assigned commissioner
   c) assigned ALJ
   d) date and time of ex parte contact
   e) oral, written or mixed
   f) meeting location or if by phone, email or other method

g) whether handouts were used (if so provide copies)
h) Decisionmaker(s) and advisor(s) present
i) person initiating meeting
j) names and titles of all other parties present
k) a summary describing PG&E's communication, but not the Decisionmaker's or Commissioner's personal advisor's, communication and its content
l) copies of any written or other media materials used or provided

2) Pull current service list. Split distributions to long service lists (more than approximately 50 recipients) to avoid e-mail rejection from CPUC.
3) Submit draft for review and approval by CPUC Advocate
4) Obtain signature of VP Regulatory Relations on the Notice of Ex Parte Communication and letter of transmittal to Docket office. In the absence of the VP Regulatory Relations, the responsible CPUC Advocate contacts signs on his behalf.
5) Sign and attach an original Certificate of Service
6) File with the Docket Office and serve all parties. Refer to requirements for Service in the CPUC Rules of Practice and Procedures. Rules 1.9 and Rule 1.10

Record Retention

Retain records on all active proceedings and for 2 years thereafter.

1) Make and file 2 hard copies of all documents.
2) Print confirmation to each notice sent via e-mail.
3) Store electronic copies of all documents.
4) Store copy of all confirmations.

Updating Procedures

Maintain current documentation of company ex parte procedures. Incorporate updates to ex parte process subject to approval of the Vice President, Regulatory Relations and Law.

Law Department

Compliance with Legal and Regulatory Requirements

Ensure ongoing compliance of this procedure with applicable laws and regulations. Reviews this procedure annually or as required by changes in legislation, CPUC decisions and/ or regulatory practices.

Attachments:

- Ex Parte Process (Flowchart)
- CPUC Meeting Request (Form)

- Notice of Grant of Request for Ex Parte Meeting (Template)
- Filing Notice of Ex Parte Communication - Check List (Form)
- Letter of Transmittal to Docket Office for Ex Parte Communication (Template)
- Notice of Ex Parte Communication (Template)
- Certificate of Service (Template)

References:

- Public Utilities Code, Sections 1701.1-1701.4
- Rules of Practice and Procedure. Article 8 – Communications with Decisionmakers and Advisors
- Rules of Practice and Procedures. Rules 1.9 and Rule 1.10

Issued by:

_____

Thomas E. Bottorff
Senior Vice President, Regulatory Relations

Article 8. Communications with Decisionmakers and Advisors

8.1. (Rule 8.1) Definitions

For purposes of this Article, the following definitions apply:

(a) "Commission staff of record" includes staff from the Division of Ratepayer Advocates assigned to the proceeding, staff from the Consumer Protection and Safety Division assigned to an adjudicatory proceeding or to a ratesetting proceeding initiated by complaint, and any other staff assigned to an adjudicatory proceeding in an advocacy capacity.

"Commission staff of record" does not include the following staff when and to the extent they are acting in an advisory capacity to the Commission with respect to a formal proceeding: (1) staff from any of the industry divisions; or (2) staff from the Consumer Protection and Safety Division in a quasi-legislative proceeding, or in a ratesetting proceeding not initiated by complaint.

(b) "Decisionmaker" means any Commissioner, the Chief Administrative Law Judge, any Assistant Chief Administrative Law Judge, the assigned Administrative Law Judge, or the Law and Motion Administrative Law Judge.

(c) "Ex parte communication" means a written communication (including a communication by letter or electronic medium) or oral communication (including a communication by telephone or in person) that:

(1) concerns any substantive issue in a formal proceeding, including categorization of a proceeding, or assignment or reassignment of a proceeding to an Administrative Law Judge,

(2) takes place between an interested person and a decisionmaker, and

(3) does not occur in a public hearing, workshop, or other public forum established in the proceeding, or on the record of the proceeding.

Communications regarding the schedule, location, or format for hearings, filing dates, identity of parties, and other such nonsubstantive information are procedural inquiries, not ex parte communications.

(d) "Interested person" means any of the following:

(1) any applicant, protestant, respondent, petitioner, complainant, defendant, interested party who has made a formal appearance, Commission staff of record, or the agents or employees of any of them, including persons receiving consideration to represent any of them;

(2) any person with a financial interest, as described in Article I (commencing with Section 87100) of Chapter 7 of Title 9 of the Government Code, in a matter at issue before the Commission, or such person's agents or employees, including persons receiving consideration to represent such a person; or

(3) a representative acting on behalf of any formally organized civic, environmental, neighborhood, business, labor, trade, or similar association who intends to influence the decision of a Commission member on a matter before the Commission, even if that association is not a party to the proceeding.

8.2. (Rule 8.2) Ex Parte Requirements

(a) In any quasi-legislative proceeding, ex parte communications are allowed without restriction or reporting requirement.

(b) In any adjudicatory proceeding, ex parte communications are prohibited.

(c) In any ratesetting proceeding, ex parte communications are subject to the reporting requirements set forth in Rule 8.3. In addition, the following restrictions apply:

(1) All-party meetings: Oral ex parte communications are permitted at any time with a Commissioner provided that the Commissioner involved (i) invites all parties to attend the meeting or sets up a conference call in which all parties may participate, and (ii) gives notice of this meeting or call as soon as possible, but no less than three days before the meeting or call.

(2) Individual oral communications: If a decisionmaker grants an ex parte communication meeting or call to any party individually, all other parties shall be granted an individual meeting of a substantially equal period of time with that decisionmaker. The party requesting the initial individual meeting shall notify the other parties that its request has been granted, at least three days before the meeting or call. At the meeting, that party shall produce a certificate of service of this notification on all other parties. If the communication is by telephone, that party shall provide the decisionmaker with the certificate of service before the start of the call. The certificate may be provided by facsimile transmission or electronic mail.

(3) Written ex parte communications are permitted at any time provided that the party making the communication serves copies of the communication on all other parties on the same day the communication is sent to a decisionmaker.

(4) Ratesetting Deliberative Meetings and Ex Parte Prohibitions:

(A) The Commission may prohibit ex parte communications for a period beginning not more than 14 days before the day of the Commission Business Meeting at which the decision in the proceeding is scheduled for Commission action, during which period the Commission may hold a Ratesetting Deliberative Meeting. If the decision is held, the Commission may permit such communications for the first half of the hold period, and may prohibit such communications for the second half of the period, provided that the period of prohibition shall begin not more than 14 days before the day of the Business Meeting to which the decision is held.

(B) In proceedings in which a Ratesetting Deliberative Meeting has been scheduled, ex parte communications are prohibited from the day of the Ratesetting Deliberative Meeting at which the decision in the proceeding is scheduled to be discussed through the conclusion of the Business Meeting at which the decision is scheduled for Commission action.

(d) Unless otherwise directed by the assigned Administrative Law Judge with the approval of the assigned Commissioner, the provisions of subsections (b) and (c) of this rule, and any reporting requirements under Rule 8.3, shall cease to apply, and ex parte communications shall be permitted, in any proceeding in which (1) no timely answer, response, protest, or request for hearing is filed, (2) all such responsive pleadings are withdrawn, or (3) a scoping memo has issued determining that a hearing is not needed in the proceeding.

(e) Ex parte communications concerning categorization of a given proceeding are permitted, but must be reported pursuant to Rule 8.3.

(f) Ex parte communications regarding the assignment of a proceeding to a particular Administrative Law Judge, or reassignment of a proceeding to another Administrative Law Judge, are prohibited. For purposes of this rule, "ex parte communications" include communications between an Administrative Law Judge and other decisionmakers about a motion for reassignment of a proceeding assigned to that Administrative Law Judge.

(g) The requirements of this rule, and any reporting requirements under Rule 8.3, shall apply until (1) the date when the Commission serves the decision finally resolving any application for rehearing, or (2) where the period to apply for rehearing has expired and no application for rehearing has been filed.

(h) Upon the filing of a petition for modification, the requirements of this rule, and any reporting requirements under Rule 8.3, that applied to the proceeding in which the decision that would be modified was issued shall apply until and unless (1) no timely response, protest or request for hearing is filed, (2) all such responsive pleadings are withdrawn, or (3) a scoping memo has issued determining that a hearing is not needed in the proceeding or that a different category shall apply.

(i) Where a proceeding is remanded to the Commission by a court or where the Commission re-opens a proceeding, the requirements of this rule and any reporting requirements under Rule 8.3 that previously applied to the proceeding shall apply until and unless a Commission order or a scoping memo has issued determining that a hearing is not needed in the proceeding or that a different category shall apply.

(j) When the Commission determines that there has been a violation of this rule or of Rule 8.3, the Commission may impose penalties and sanctions, or make any other order, as it deems appropriate to ensure the integrity of the record and to protect the public interest.

(k) The Commission shall render its decision based on the evidence of record. Ex parte communications, and any notice filed pursuant to Rule 8.3, are not a part of the record of the proceeding.

Note: Authority cited: Section 1701, Public Utilities Code. Reference: Sections 1701.1(a), 1701.2(b), 1701.3(c) and 1701.4(b), Public Utilities Code.

8.3. (Rule 8.3) Reporting Ex Parte Communications

(a) Ex parte communications that are subject to these reporting requirements shall be reported by the interested person, regardless of whether the communication was initiated by the interested person. A "Notice of Ex Parte Communication" (Notice) shall be filed with the Commission's San Francisco Docket Office within three working days of the communication. The Notice shall include the following information:

(1) The date, time, and location of the communication, and whether it was oral, written, or a combination;

(2) The identities of each decisionmaker (or Commissioner's personal advisor) involved, the person initiating the communication, and any persons present during such communication;

(3) A description of the interested person's, but not the decisionmaker's (or the Commissioner's personal advisor's), communication and its content, to which description shall be attached a copy of any written, audiovisual, or other material used for or during the communication.

(b) Any party who has consented to e-mail service pursuant to Rule 1.10(d) shall, on the same day that it files the Notice, electronically serve it pursuant to Rule 1.10.

(c) The filing of a Notice will be reported promptly thereafter in the Commission's Daily Calendar.

(d) Parties may obtain a copy of the Notice from the Commission's Central File room or from the filing party, who must provide it to the requesting party without delay.

Note: Authority cited: Section 1701, Public Utilities Code. Reference: Section 1701.1(c)(4)(C)(i)-(iii), Public Utilities Code.

8.4. (Rule 8.4) Ex Parte Requirements Prior to Final Categorization

(a) Applications.

(1) The ex parte requirements applicable to ratesetting proceedings shall apply from the date the application is filed through the date of the Commission's preliminary determination of category pursuant to Rule 7.1(a).

(2) The ex parte requirements applicable to the category preliminarily determined by the Commission pursuant to Rule 7.1(a) shall apply until the date of the assigned Commissioner's scoping memo finalizing the determination of categorization pursuant to Rule 7.3.

(b) Rulemakings. The ex parte requirements applicable to the category preliminarily determined by the Commission pursuant to Rule 7.1(d) shall apply until the date of the assigned Commissioner's ruling on scoping memo finalizing the determination of category pursuant to Rule 7.3.

(c) Complaints. The ex parte requirements applicable to adjudicatory proceedings shall apply until the date of service of the instructions to answer finalizing the determination of category pursuant to Rule 7.1(b).

Note: Authority cited: Section 1701, Public Utilities Code. Reference: Section 1701.1(c)(4), Public Utilities Code.

8.5 (Rule 8.5) Communications with Advisors

Communications with Commissioners' personal advisors are subject to all of the restrictions on, and reporting requirements applicable to, ex parte communications, except that oral communications in ratesetting proceedings are permitted without the restrictions of Rule 8.2(c)(1) and (2).

Note: Authority cited: Section 1701, Public Utilities Code. Reference: Section 1701.1(c)(4), Public Utilities Code.

8.6 (Rule 8.6) Requirements in Proceedings Filed Before January 1, 1998

The following requirements apply to proceedings filed before January 1, 1998:

(a) In any investigation or complaint where the order instituting investigation or complaint raises the alleged violation of any provision of law or Commission order or rule, ex parte communications and communications with Commissioners' personal advisors are prohibited after the proceeding has been submitted to the Commission.

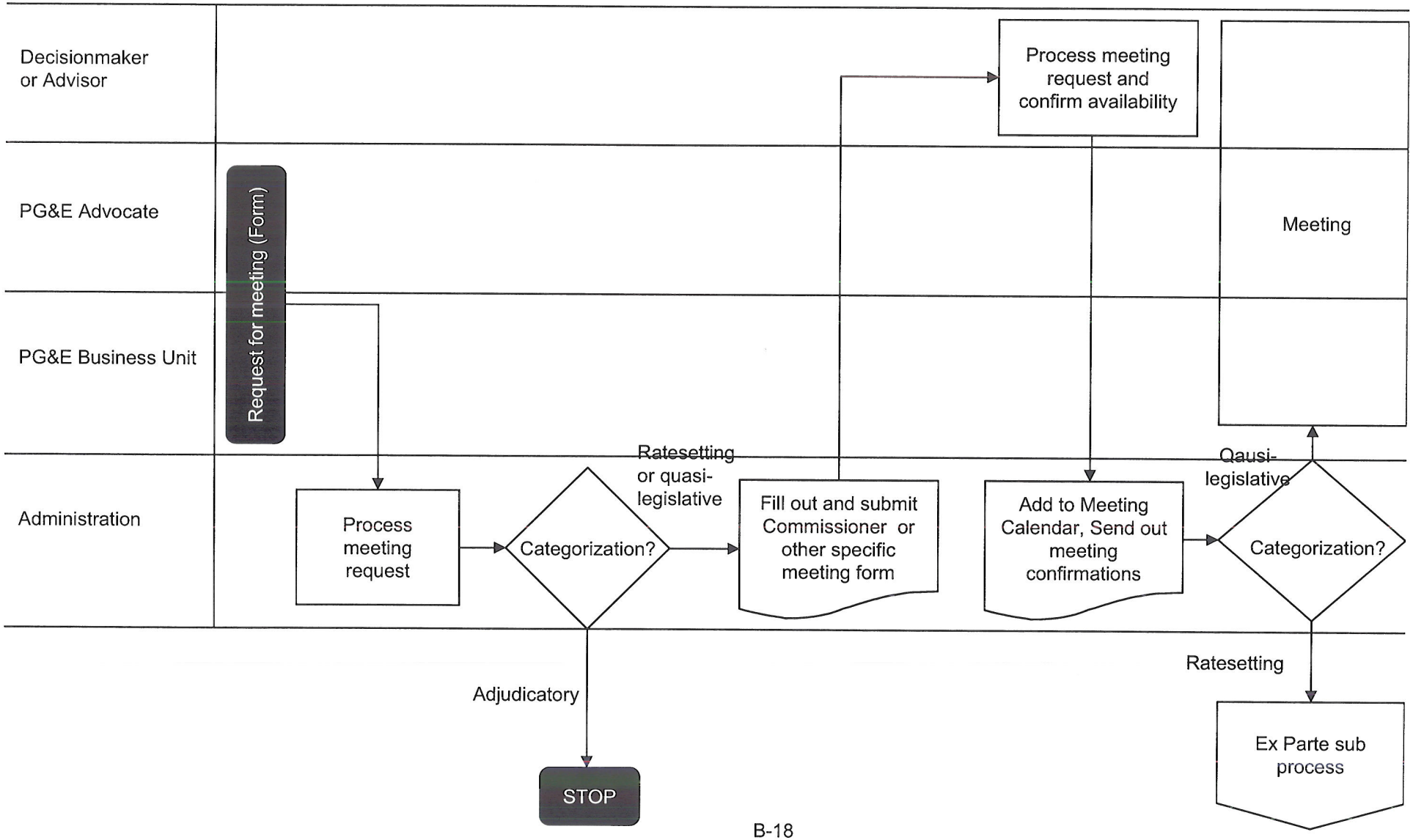
(b) Ex parte communications and communications with Commissioners' personal advisors are permitted, and shall not be reported, in rulemakings and in investigations consolidated with rulemakings to the extent that the investigation raises the identical issues raised in the rulemaking.

(c) All other ex parte communications and communications with Commissioners' personal advisors are permitted, and are subject to the reporting requirements of Rule 8.3.

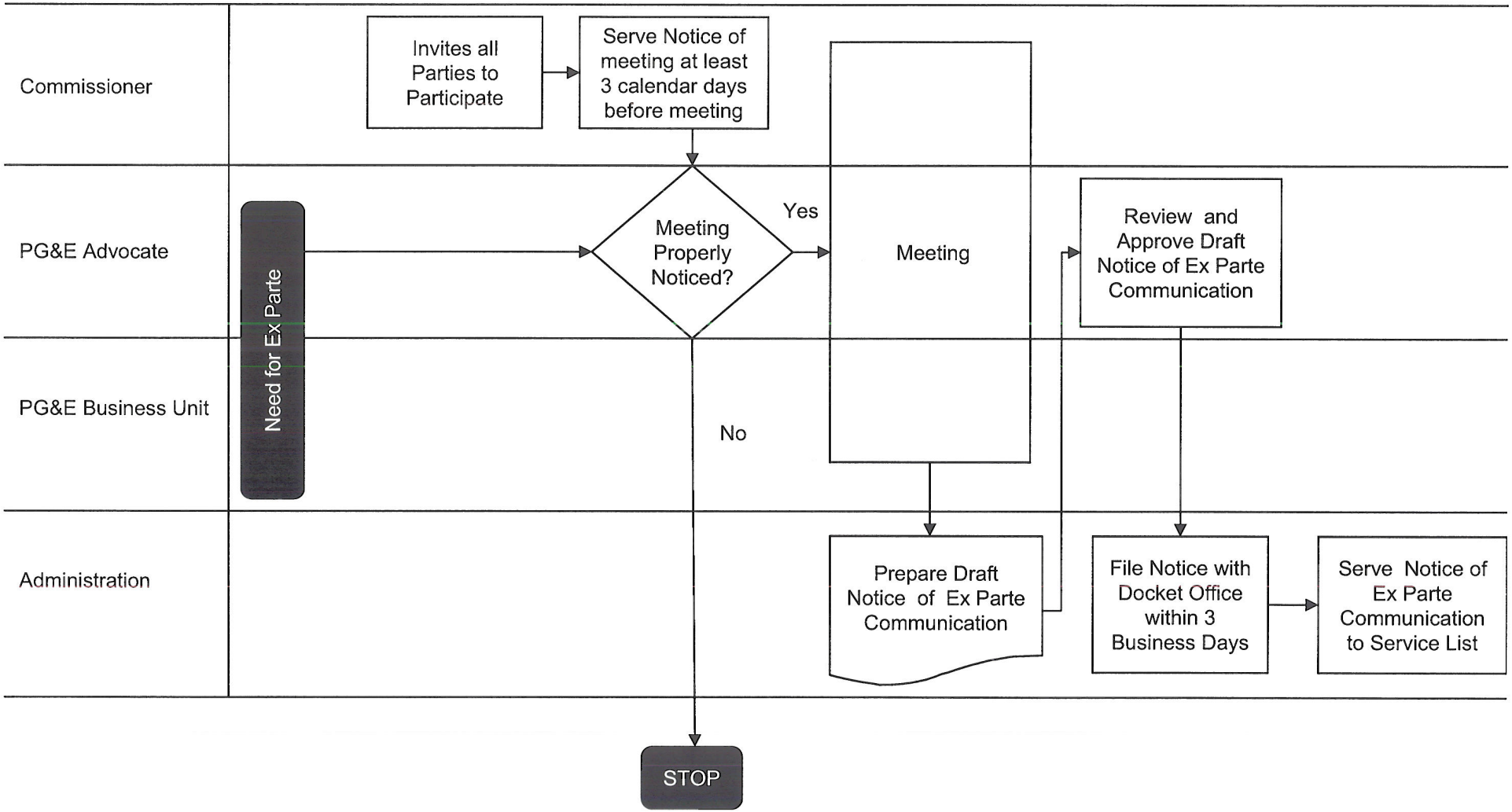
(d) The Commission, or the assigned Administrative Law Judge with the approval of the assigned Commissioner, may issue a ruling tailoring these requirements to the needs of any specific proceeding.

Note: Authority cited: Section 1701, Public Utilities Code.

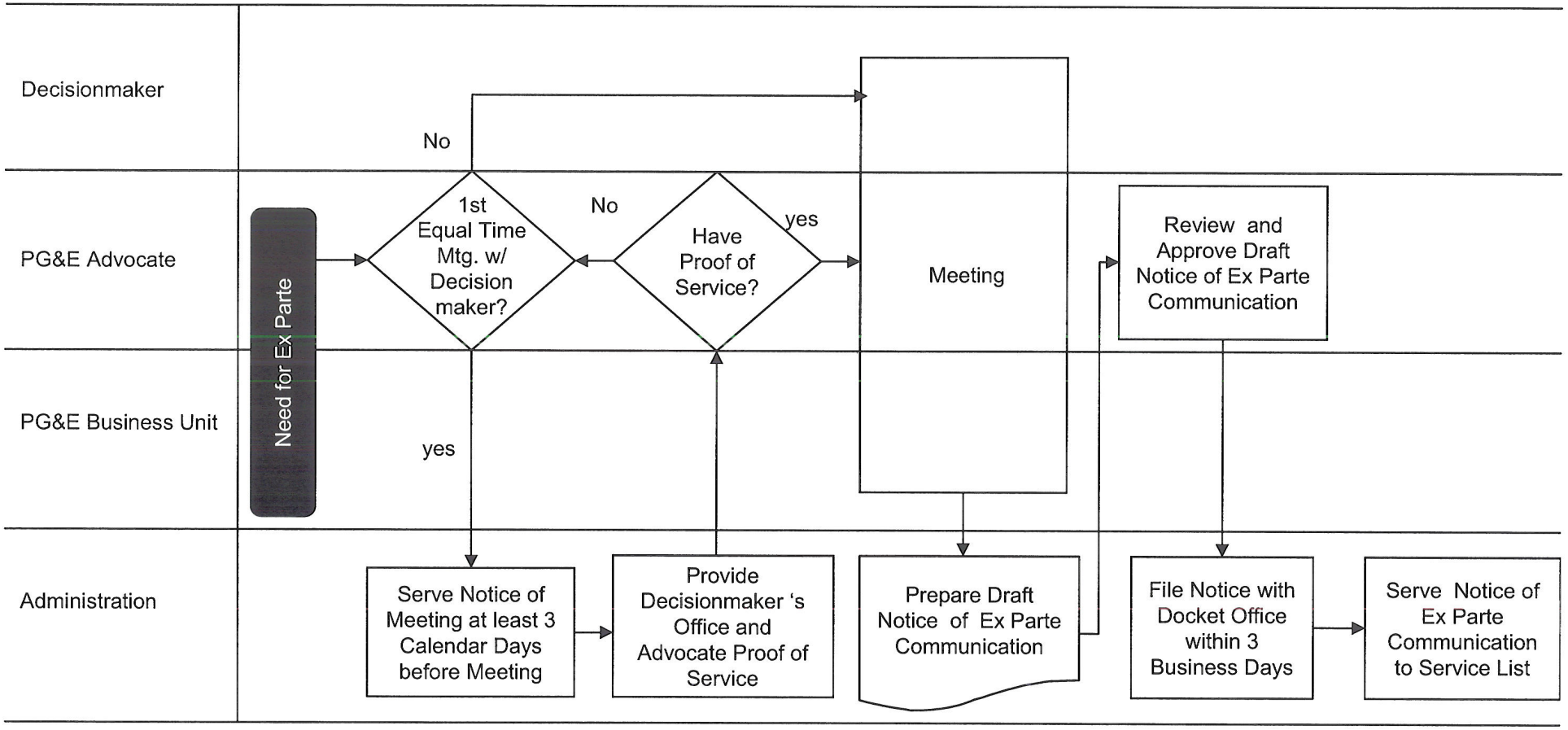
Ex Parte Process
Meeting Requests In Proceedings with Hearings



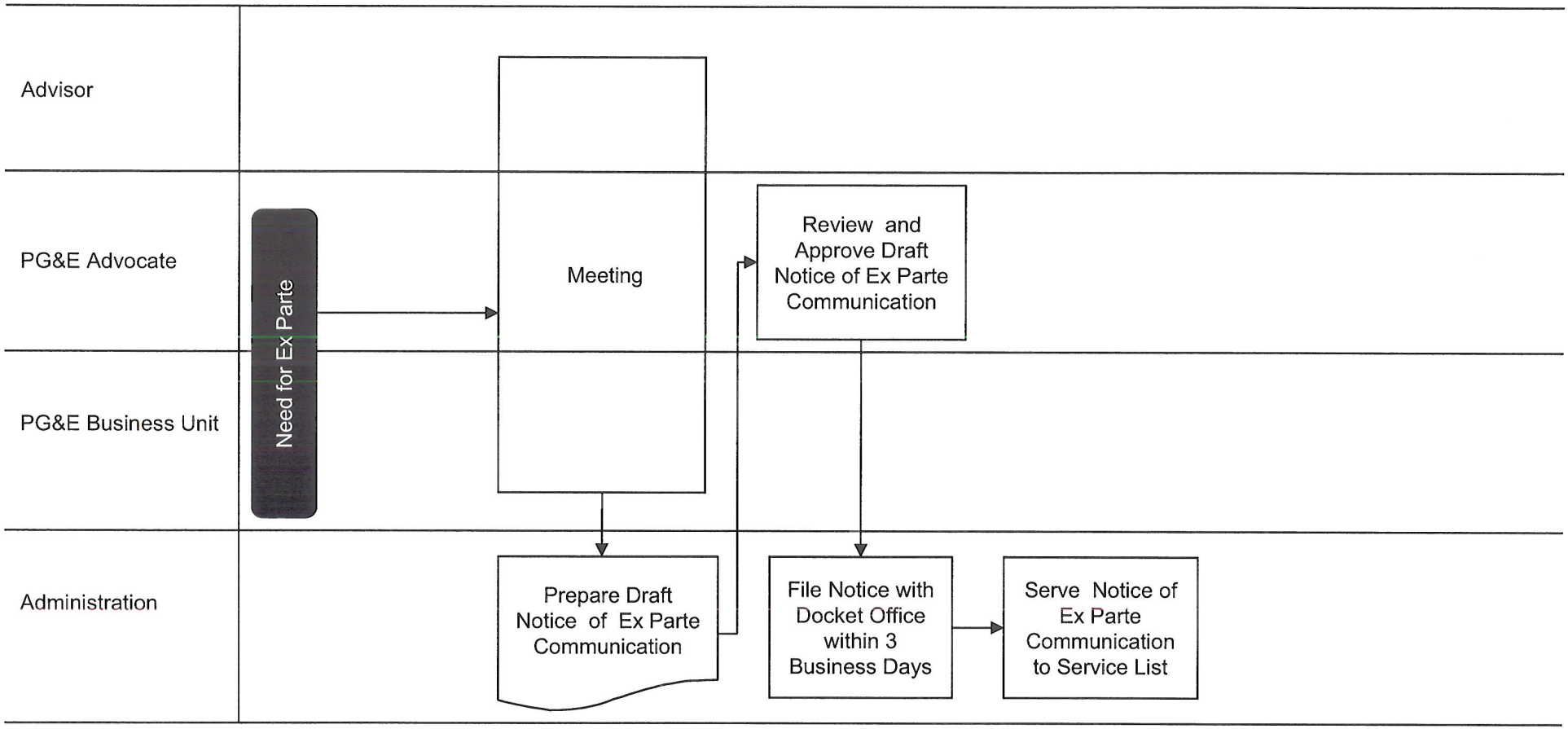
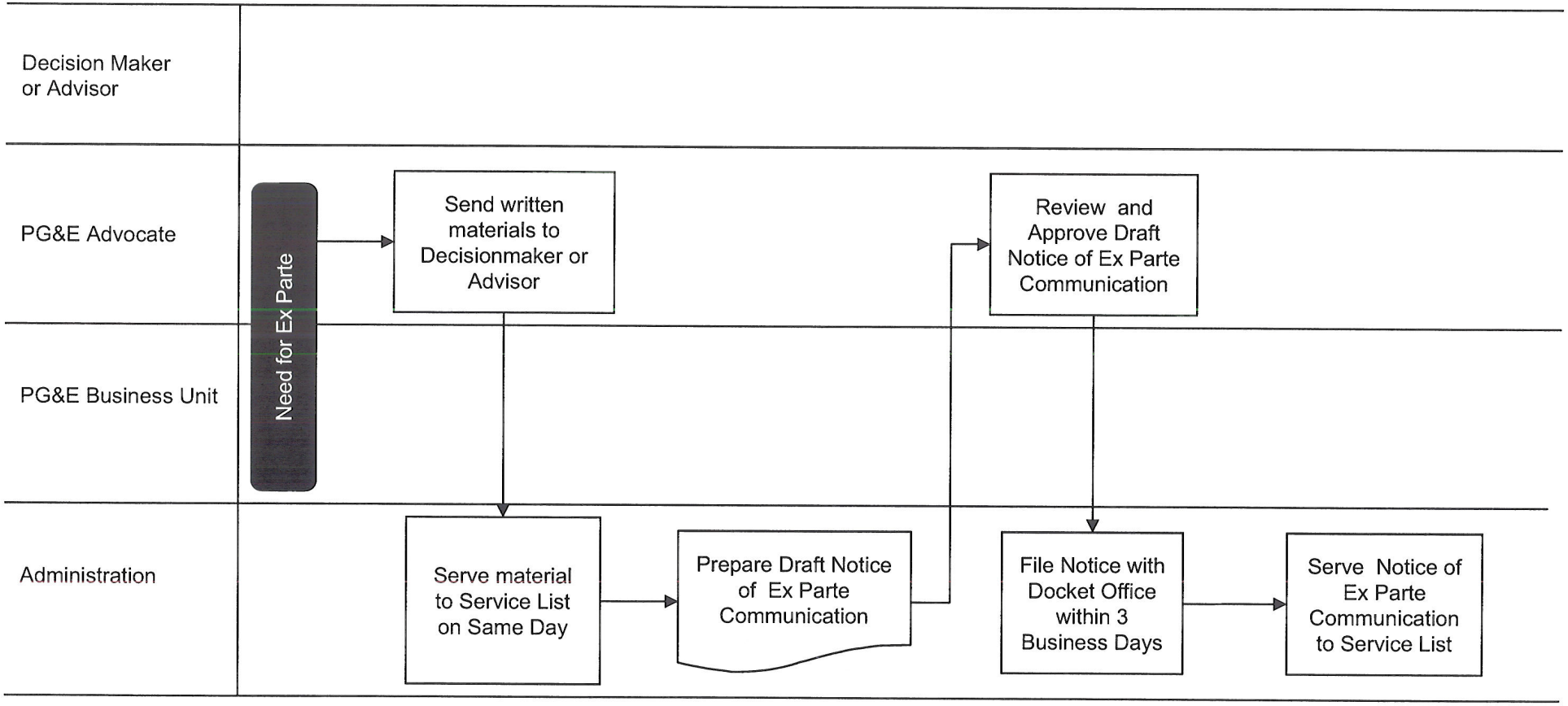
All Party Meeting with Commissioner In Ratesetting Proceeding



Individual Oral Communications with Decisionmaker In Ratesetting Proceedings with Hearings



Individual Oral Communications with Personal Advisor In Ratesetting Proceedings with Hearings



Written Communications with any Decisionmaker or Personal Advisor in Ratesetting Proceedings with Hearings