

**PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

Consumer Protection and Safety Division  
Rail Transit Safety Section

Resolution ST-99  
September 18, 2008

**RESOLUTION**

RESOLUTION ST-99 GRANTING APPROVAL OF THE FINAL REPORT ON THE 2008 SAFETY & SECURITY REVIEW OF THE SACRAMENTO REGIONAL TRANSIT DISTRICT (SRTD)

**Summary**

This resolution approves the Consumer Protection and Safety Division (CPSD) final audit report titled, "Triennial On-Site Safety & Security Review of the Sacramento Regional Transit District," dated August 14, 2008. SRTD is ordered to implement the recommendations contained in the report and to provide monthly progress reports.

**Background**

Commission General Order No. 164-D, "Rules and Regulations Governing State Safety Oversight of Rail Fixed Guideway Systems" requires staff to conduct on-site safety reviews of transit agencies operating Rail Fixed Guideway Systems at least once every three years.

The Rail Transit Safety Section (RTSS) conducted an on-site safety and security review of SRTD beginning April 7 and concluding April 11, 2008. Staff conducted a post-audit exit conference with SRTD management on Monday, April 28, 2008.

The methods used to conduct the audit included:

- a. Interviews with SRTD employees at all levels from rank and file through SRTD management;
- b. Reviews of design, construction, procurement, testing, training, operation and maintenance programs;
- c. Reviews of records and procedures;
- d. Observation of operations and maintenance activities; and
- e. Inspections of equipment and facilities.

A full description of the audit, including the scope, results, and recommendations, is contained in the final audit report which is attached to this resolution as Attachment A.

The results of the audit show that SRTD is in compliance with its System Safety & Security Program. However, some program deficiencies were identified during the audit. These deficiencies, along with recommendations for corrective actions, are described, where applicable, in the Findings/Comments/Recommendations section of each checklist and in the body of the final report. A complete listing of recommendations for the correction of each identified deficiency is contained in Appendix B of the report.

### **Protests**

Staff provided a preliminary report of the audit to SRTD for a 30-day review and comment period on June 20, 2008. SRTD confirmed the factual accuracy of the report in a letter dated July 16, 2008.

### **Discussion**

The final report, "Triennial On-Site Safety & Security Review of the Sacramento Regional Transit District", dated August 14, 2008, is included as Attachment A. It is based on staff findings and includes staff recommendations.

SRTD has stated to staff that it is working to develop corrective action plans for the deficiencies identified in the report and has initiated actions on some plans. SRTD will provide regular monthly updates concerning the status of its corrective actions until they are completed.

CPSD recommends that the Commission approve the final audit report titled, "Triennial On-Site Safety & Security Review of the Sacramento Regional Transit District," dated August 14, 2008. CPSD also recommends that the Commission order SRTD to:

- Submit a report to the RTSS, which includes the status of the corrective actions for safety and security program deficiencies identified during the audit;
- Submit plans and schedules for implementing and completing the recommended corrective actions contained in the report;
- Implement the recommendations in accordance with the plans and schedules submitted; and
- Provide the RTSS with monthly reports on the status of the corrective actions until they are completed and implemented.

### **Comments**

Public Utilities Code Section 311(g) (1) provides that this resolution must be served on all parties and subject to at least 30 days public review and comment prior to a vote of the Commission.

Resolution ST-99 was mailed to SRTD on August 14, 2008. SRTD had no comments and waived the 30-day review and comment period as required in Public Utilities Code Section 311(g) (1).

### **Therefore, IT IS ORDERED that:**

1. The Consumer Protection and Safety Division's request for approval of the final audit report titled, "Triennial On-Site Safety & Security Review of the Sacramento Regional Transit District," dated August 14, 2008, is granted.
2. The Sacramento Regional Transit District (SRTD) shall submit to the Rail Transit Safety Section (RTSS) plans and schedules for implementing all recommended corrective actions contained in the final audit report. Those plans and schedules shall be submitted no later than 45 days from the effective date of this resolution.
3. SRTD shall complete and implement all recommended corrective actions contained in the report, in accordance with plans and schedules submitted to the RTSS.

4. SRTD shall prepare and provide monthly status reports, beginning on January 1, 2009, to the RTSS. The reports shall contain detailed information on the implementation of all remaining recommended corrective actions contained in the final audit report. Monthly status reports shall continue to be provided until all recommended corrective actions are completed and implemented.
5. This resolution is effective today.

I certify that this resolution was adopted by the Public Utilities Commission at its regular meeting held on September 18, 2008. The following Commissioners voting favorably thereon:

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PAUL CLANON  
Executive Director

# **ATTACHMENT A**