

**PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

Consumer Protection and Safety Division  
Rail Transit Safety Section

Resolution ST-126  
May 26, 2011

**REDACTED**

**RESOLUTION**

RESOLUTION ST-126 GRANTING APPROVAL OF THE FINAL REPORT ON 2010 TRIENNIAL ON-SITE SECURITY REVIEW OF LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY.

**Summary**

This resolution approves the California Public Utilities Commission Consumer Protection and Safety Division final report titled, "2010 Triennial On-Site Security Review of Los Angeles County Metropolitan Transportation Authority" dated September 27, 2010. The report compiles the results of Commission Staff review of the Los Angeles County Metropolitan Transportation Authority security program. Background information and review procedures only are included in the redacted report.

**Background**

Commission General Order No. 164-D, "Rules and Regulations Governing State Safety Oversight of Rail Fixed Guideway Systems" requires Staff to conduct on-site security reviews of transit agencies operating rail fixed guideway systems at least once every three years.

From 1996 to 2008, the Commission's Rail Transit Safety Section (RTSS) partnered with the Transportation Security Administration (TSA) in performing rail transit security reviews. However, in the later half of 2008,

RTSS took over the responsibility of security reviews from the TSA. Staff conducted an on-site security review of the Los Angeles County Metropolitan Transportation Authority (LACMTA) security program in June 2009.

RTSS had the responsibility of standardizing the format of the Rail Transit Agency's Triennial Security Reviews and looked towards the Federal Transit Administration (FTA) for guidance. The undertaking of the FTA was to define and guide Commission's RTSS to what they considered Security Sensitive Information and what was allowed for the public to view. The RTSS management worked with Commission's legal department and the FTA over the next two years to acceptable standard.

A full description of the security review, including the scope, results, and recommendations, is contained in the final security review report identified in this resolution as Attachment A.

The security review results show that is Los Angeles County Metropolitan Transportation Authority generally in compliance with its System Security Plan. However, Staff identified some program areas of non-compliance during the review. These non-compliant items along with recommendations for corrective actions are described in the Findings/Comments/Recommendations sections of each checklist and in the body of the final report. A summary of recommendations requiring corrective action is contained in Appendix C of the report.

Staff sent Los Angeles County Metropolitan Transportation Authority a copy of the draft security review report for a 30-day comment period on December 9, 2010. The Los Angeles County Metropolitan Transportation Authority Director of Security accepted the report's recommendations in a letter dated on February 9, 2011.

### **Discussion**

The final report, "2010 Triennial On-Site Security Review of Los Angeles County Metropolitan Transportation Authority," dated September 27, 2010 included as Attachment A, identifies Staff findings and recommendations.

May 26, 2011

Staff recommends the Commission approve the final security review report titled, "2010 Triennial On-Site Security Review of Los Angeles County Metropolitan Transportation Authority," dated September 27, 2010. Staff also recommends the Commission order Los Angeles County Metropolitan Transportation Authority to:

- Submit plan and schedule to Staff for implementing and completing the recommended corrective actions contained in the report;
- Implement the recommendations in accordance with the plan and schedule; and
- Provide Staff with monthly reports on the status of the corrective actions until they are implemented and completed.

### **Notice**

On April 22, 2011, this Resolution was published on the Commission's Daily Calendar.

### **Confidential Information**

Public Utilities Code Section 583 states: "No information furnished to the commission by a public utility, or any business which is a subsidiary or affiliate of a public utility, or corporation which holds a controlling interest in a public utility, except those matters specifically required to be open to public inspection by this part, shall be open to public inspection or made public except on order of the commission, or by the commission or a commissioner in the course of a hearing or proceeding. Any present or former officer or employee of the commission who divulges any such information is guilty of a misdemeanor."

The confidential appendices, marked "[CONFIDENTIAL]" in the public copy of this resolution should remain confidential at this time.

### **Comments**

The draft resolution of the Consumer Protection and Safety Division in this matter was mailed to the Parties of Interest on April 22, 2011 in accordance with Section 311 of the Public Utilities Code and Rule 14.2(c) of the

May 26, 2011

Commission's Rules of Practice and Procedure. Parties' comments will be due on May 16, 2011. As of May 17, 2011, no comments were filed.

**Therefore, IT IS ORDERED that:**

1. The Consumer Protection and Safety Division's request for approval of the final safety review report titled, "2010 Triennial On-Site Security Review of Los Angeles County Metropolitan Transportation Authority," dated September 27, 2010, is granted.
2. Los Angeles County Metropolitan Transportation Authority shall submit to Staff a plan and schedule for implementing all recommended corrective actions contained in the final security review report. Los Angeles County Metropolitan Transportation Authority shall submit the plan and schedule no later than 45 days from the effective date of this resolution.
3. Los Angeles County Metropolitan Transportation Authority shall implement and complete all recommended corrective actions contained in the report, in accordance with the plan and schedule submitted to Staff.
4. Los Angeles County Metropolitan Transportation Authority shall provide monthly status reports, following the effective date of this resolution, to Staff. The reports shall contain detailed information on the implementation of all remaining recommended corrective actions contained in the final security review report. Los Angeles County Metropolitan Transportation Authority shall provide monthly status reports until all recommended corrective actions are implemented and completed.
5. This resolution is effective today.

May 26, 2011

I certify that this resolution was adopted by the Public Utilities Commission at its regular meeting held on May 26, 2011. The following Commissioners voting favorably thereon:

/s/ Paul Clanon

PAUL CLANON  
Executive Director

MICHAEL R. PEEVEY  
President  
Timothy Alan Simon  
Michel Peter Florio  
Catherine J. K. Sandoval  
Mark J. Ferron  
Commissioners

**ATTACHMENT A**

[ST-126 Appendix A 2010 Triennial on-Site Security Review of lacmta](#)