

CPUC CHECKLIST FOR REVIEW OF SAFETY CERTIFICATION PLANS

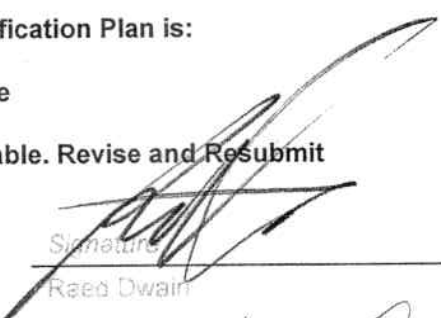

Transit Agency: Sacramento Regional Transit District

Submittal Date: June 24, 2010

Plan Title: Green Line to River District SCP

Plan Date: June 8, 2010

No.	SC Plan Requirements Does the PLAN contain or provide for the following:	Included		Page Ref.	Comments
		Y	N		
1	<u>SC Plan Submittal</u>				
	• A Project specific SC Plan is submitted to staff for review and Commission approval during the preliminary engineering phase.	X			
	• Any significant revisions to the SC Plan have been made since initial submission.	X			No revisions since submission
	• A revised SC Plan has been submitted.	X			No revisions yet
2	<u>Safety Certification Management and Responsibilities</u>				
	Does the SC Plan identify the safety certification management and responsibilities including:				
	- Organizational authority and responsibilities	X			Section 1.1
	- Safety certification activities	X			Section 2.2
	- Processes	X			Section 2
	- Procedures	X			Section 2
	- Documentation requirements and responsibilities	X			
	- Reporting requirements	X			
3	<u>Communication Control with CPUC Staff</u>				
	• Controls and procedures used to maintain effective communications and liaison with staff throughout the life of the project	X			Throughout
	• Procedures to obtain and adequately address staff's written comments on safety and security design reviews conducted throughout Project development cycle	X			
4	<u>Process for Verification and Documentation</u>				
	• The process used to verify conformance with safety and security requirements during design, construction, testing and operational readiness	X			
	• The process used to document conformance with safety	X			Conformance

	and security requirements during design, construction, testing and operational readiness			Certificates
	• List of hazard analyses to be performed	X		Listed PHA, TVA
	• A list of all safety and security design criteria that will be used in the planning, design, and construction of Projects	X		
	• A list of Certifiable Elements and Sub-Elements	X		Appendix A
	• The process for conducting safety certification audits to verify compliance and judge the effectiveness of the SC Plan	X		Verification included, see step 4 in Section 2.3
	- The written checklists used for the safety certification audits	X		
	• A format of Conformance Checklists	X		
	- A list of the Conformance Checklist actually used	X		
	- The actual checklists shall be submitted upon staff's request	X		
	• Safety Certification milestones	X		Table 1
	• A procedure for updating the SC Plan	X		Section 1.8
<p>The Safety Certification Plan is:</p> <p><input checked="" type="checkbox"/> Acceptable</p> <p><input type="checkbox"/> Unacceptable. Revise and Resubmit</p> <p>Reviewed by: <u></u> Date: <u>October 12, 2010</u></p> <p>Approved by: <u></u> Date: <u>11/01/2010</u></p> <p>Name and Title: <u>STEVE ARTUS, PROGRAM & PROJECT SPUR.</u></p>				