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**BEFORE THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF CALIFORNIA**

Order Instituting Rulemaking
Regarding Emergency Disaster Relief
Program.

R. 18-03-011
(March 22, 2018)

COMMENTS

**OF
CALAVERAS TELEPHONE COMPANY (U 1004 C)
CAL-ORE TELEPHONE CO. (U 1006 C)
DUCOR TELEPHONE COMPANY (U 1007 C)
FORESTHILL TELEPHONE CO. (U 1009 C)
HAPPY VALLEY TELEPHONE COMPANY (U 1010 C)
HORNITOS TELEPHONE COMPANY (U 1011 C)
KERMAN TELEPHONE CO. (U 1012 C)
PINNACLES TELEPHONE CO. (U 1013 C)
THE PONDEROSA TELEPHONE CO. (U 1014 C)
SIERRA TELEPHONE COMPANY, INC. (U 1016 C)
THE SISKIYOU TELEPHONE COMPANY (U 1017 C)
VOLCANO TELEPHONE COMPANY (U 1019 C)
WINTERHAVEN TELEPHONE COMPANY (U 1021 C)
(the "SMALL LECs")**

**ON
ASSIGNED COMMISSIONER'S RULING AND PROPOSAL
FOR MAINTAINING RESILIENT AND DEPENDABLE
COMMUNICATIONS NETWORKS**

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1 **I. INTRODUCTION.**

2 Calaveras Telephone Company (U 1004 C), Cal-Ore Telephone Co. (U 1006 C), Ducor
3 Telephone Company (U 1007 C), Foresthill Telephone Co. (U 1009 C), Happy Valley Telephone
4 Company (U 1010 C), Hornitos Telephone Company (U 1011 C), Kerman Telephone Co. (U
5 1012 C), Pinnacles Telephone Co. (U 1013 C), The Ponderosa Telephone Co. (U 1014 C), Sierra
6 Telephone Company, Inc. (U 1016 C), The Siskiyou Telephone Company (U 1017 C), Volcano
7 Telephone Company (U 1019 C) and Winterhaven Telephone Company (U 1021 C) (the "Small
8 LECs"), hereby submit their comments on the Assigned Commissioner’s Ruling and Proposal
9 For Maintaining Resilient and Dependable Communications Networks, issued March 6, 2020
10 (the "Ruling"). The Ruling solicits responses from communications service providers to a series
11 of questions relating to the resiliency and dependability of communications networks during
12 emergency events such as wildfires and public safety power shutoffs (“PSPS”) initiated by
13 electric utilities and includes a Proposal for addressing the issues raised in the Ruling.

14 **II. RESPONSES TO TOPICS REGARDING COMMUNICATIONS NETWORK
15 RESILIENCY DURING CATASTROPHIC EVENTS.**

16 The Small LECs hereby respond to the specific questions raised in the Ruling on pages 3
17 through 7.

- 18 **1. Applicability of Requirements: The Proposal states that the requirements shall be**
19 **applicable to all companies owning, operating, or otherwise responsible for**
20 **infrastructure that provides or otherwise carries 9-1-1, voice, text messages, or data.**
21 (a) **Is this definition of applicability reasonably tailored to ensure regulatory**
22 **compliance over all communications service providers? Why or why not?**
23 (b) **Which types of providers, if any, should be excluded from these**
24 **requirements because their services are not essential to reliable access to 9-1-**
25 **1 and the distribution of essential emergency information?**
- 26 **2. Alternatively, D.19-08-025 defined communications service providers into the**
27 **following categories: (1) facilities-based and non-facilities-based landline providers**
28 **include 9-1-1/E 9-1-1 providers, LifeLine providers, providers of Voice Over**
Internet Protocol [VoIP], Carriers of Last Resort [COLRs], and other landline
providers that do not fall into the aforementioned groups; (2) wireless providers
include those that provide access to E 9-1-1 and/or LifeLine services; (2A) facilities-
based wireless providers; and (2B) non-facilities-based wireless providers, include
resellers and mobile virtual network operators [MVNOs].
(a) **For purposes of Phase II, should the Commission apply the definition from**
D.19-08-025, instead of the proposed definition in the Proposal?

1 The Small LECs generally support the definition set forth in the Proposal as described in
2 question 1 above, with the understanding that there will need to be exemptions from specific
3 requirements in the case of smaller providers. For example, real-time web-based access to
4 outage information may be feasible for and have been implemented by large electric utilities who
5 have the economies of scale and scope to be able to efficiently provide such a service. However,
6 these services are not presently practicable for companies such as the Small LECs who would
7 need to make significant capital investments to be able to provide such a service. This is not to
8 say that the Small LECs should be exempted from the general definition of applicability being
9 proposed, but rather that the Commission should evaluate the reasonableness and feasibility of
10 the requirements it establishes based on the circumstances specific to each communications
11 provider.

12 The definition of applicability must also necessarily align with the jurisdiction of the
13 Commission over intrastate public utilities, the regulated services they provide, and the
14 infrastructure used for those services. The applicability statement would, therefore, necessarily
15 not include matters beyond the Commission’s jurisdiction such as interstate non-regulated
16 services, as designated by the Federal Communications Commission,¹ such as broadband data.
17 Consequently, the Small LECs’ internet affiliates would not fall under the “applicability” of
18 these requirements.

19 **3. Definition of Resiliency: The Proposal defines resiliency as the ability to recover
20 from or adjust easily to adversity or change and is achieved by Providers through
utilizing a variety of strategies. The proposal lists an array of strategies and
provides definitions for each one.**

- 21 (a) **Please provide comments on the definition of resiliency in the context of
communications service resiliency strategies and their definitions.**
- 22 (b) **Please comment on any recommendations or modifications that should be
23 considered to the proposed resiliency definition and the resiliency strategies.
Please provide a complete discussion for any proposed recommendations or
24 modifications.**

25 ¹ See *In the Matter of Restoring Internet Freedom*, WC Docket No. 17-108, *Declaratory Ruling, Report*
26 *and Order, and Order (“Restoring Internet Freedom Order”)*, FCC 17-166 (rel. Jan. 4, 2018) at ¶ 20
27 (classifying “broadband Internet access service” as an “information service”), *petition for review granted*
in part on other grounds and denied in part by Mozilla Corp. v. FCC, 940 F.3d 1, 3535 (D.C. Cir. 2019)
(upholding the FCC’s classification of broadband Internet access as an “information service”).

1 The Small LECs find the definition of resiliency set forth in the Proposal to be reasonable
2 and agree that resiliency is enhanced through the use of a variety of strategies. Indeed, the Small
3 LECs have been continuously improving the resiliency of their networks to facilitate the
4 provision of service when a portion of their network is damaged, through various means, such as
5 the deployment of redundant and geographically diverse routes, deploying optical fiber ring
6 topologies, and employing multiple technologies in some cases to increase network redundancy,
7 such as copper and microwave facilities. It is important to note, however, that any definition of
8 resiliency needs to be flexible, because not all resiliency strategies will be available in all areas.
9 For example, due to the unique geography in many of the Small LEC service territories network
10 redundancy is not always possible in these areas.

- 11 **4. Backup Power Requirement: The Proposal recommends that all Providers have:**
12 **on-site emergency backup power to support all essential communications equipment**
13 **including but not limited to, switching centers, central offices, wire centers, head**
14 **ends, network nodes, field cabinets, remote terminals, and cellular sites (or their**
15 **functional equivalents) necessary to maintain service for a minimum of 72 hours**
16 **immediately following a power outage. Service must be sufficient to maintain access**
17 **for all customers to 9-1-1 service, to receive emergency notifications, and to access**
18 **web browsing for emergency notices.**
19 (a) Please provide comments on the proposed backup power requirement.
20 (b) How should “outage” be defined?
21 (c) Should the length of the 72 hour backup power requirement be shorter,
22 longer or indefinite? Please provide an analysis to support your
23 recommendation.
24 (d) What other backup power requirements or components should the
25 Commission consider? Please provide an analysis to support your discussion
26 of any additional requirements or components.
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20 The Small LECs agree with the need for backup power as part of their networks and have
21 implemented it to maintain service during interruptions in commercial power; however, they do
22 not find that it is yet technologically or economically feasible to have a 72-hour requirement for
23 all essential communications equipment throughout the network, especially at certain remote
24 facilities. This is particularly relevant to the Small LECs given the rural, sparsely populated, and
25 geographically challenging terrain that comprises many of the Small LECs’ service territories.
26 Additionally, the terms of existing rights-of-way and easements may limit a provider’s ability to
27 augment their backup power capabilities in some cases. The Small LECs are hopeful that, as
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1 battery backup power technologies evolve, it will be possible to extend the availability of longer-
2 term backup power capabilities throughout their networks, particularly in the difficult-to-serve
3 remote areas of their territories. To the extent it becomes feasible to deploy 72-hour backup
4 power solutions in all parts of rural networks, the cost of doing so based on available
5 technologies should be evaluated before such a requirement is mandated.

6 Today, the Small LECs employ multiple power sources to maintain the functionality of
7 their networks including commercial power where available, supplemented by battery backup
8 equipment, and generators employing a variety of fuel sources depending on where they are
9 located and whether they are the primary or backup power source. These alternatives to
10 commercial power employ gas, propane, and/or diesel generators during emergency power
11 situations. Additionally, some Small LECs employ solar backup power and hydrogen fuel cell
12 technologies when appropriate. All of these backup power sources are tested regularly to ensure
13 functionality. However, none of these technologies can assure the availability of 72-hour backup
14 power throughout the network depending on the specific locations and practical limitations of the
15 facilities involved.

16 5. **Backup Power Plans:** The Proposal recommends that Providers file a Backup
17 **Power Plan with the Commission six months from the effective date of an adopted**
18 **Commission decision with an array of requirements that illustrate the Provider’s**
19 **preparedness to ensure 9-1-1 access, ability to receive emergency notifications, and**
20 **access web browsing for 100 percent of customers in the event of a commercial**
21 **power outage. Please provide comments and analysis on this compliance**
22 **requirement.**

23 (a) **Clean Energy Generation:** The Proposal directs Providers to utilize clean
24 **energy backup power options (e.g., solar, etc.) as reasonable before using**
25 **diesel generators to meet the backup power requirement, among other**
26 **provisions. Please provide comments and analysis on this issue, and**
27 **specifically address the following:**
28 i. How should “clean energy backup” be defined?
ii. Provide specific information on barriers to procuring specific types of
clean energy backup power (e.g., cost, permitting, etc.).

(b) **Waivers:** The Proposal directs Providers to submit waivers if they qualify for
any of the exemptions enumerated in the Proposal. Please provide comments
and analysis on this issue.

(c) **Critical Facility Location Information Sharing:** The Proposal directs
Providers to share critical facility location information to emergency
responders to enhance the ability to defend vital facilities against wildfire
damage and ensure facility redundancy. Please provide comments and
analysis on this issue.

1 (d) **Critical Infrastructure Resiliency, Hardening and Location Information**
2 **Sharing: The Proposal directs Providers to annually submit geographic**
3 **information system (GIS) information with the specific location of network**
4 **facilities and backhaul routes to the Commission. The Proposal directs**
5 **Commission staff to analyze and process this information, so it is accessible**
6 **to state and local emergency responders, subject to confidentiality**
7 **requirements. Please provide comments and analysis on these proposed**
8 **directives.**

9 The Small LECs do not object to informing the Commission about their emergency
10 preparedness plans. In addition to informing the Commission of specific carrier backup power
11 plans and capabilities, such plans could be helpful in developing innovative strategies for future
12 backup power deployments using new technologies, such as microgrid technologies, as they
13 evolve. As it evaluates these issues, the Commission should be mindful of the fact that it is
14 impossible to build a communications network that will be able to function 100% of the time
15 under any and all circumstances. Even with highly resilient facilities, their functionality will
16 depend on the nature and duration of the natural disaster or catastrophic event. There are
17 numerous reasons why a disaster could affect a communications provider's network that go
18 beyond the need for backup power, such as damage to the networks of interconnecting carriers
19 that does not otherwise impact the communications provider's network or an event so extreme
20 that the communications providers' network is unable to withstand obliteration despite all
21 reasonable efforts at hardening. Further, even routine problems can cause short interruptions in
22 service at times. Accordingly, a standard requiring network functionality 100% of the time
23 seems simply not possible. As noted above, the Commission should also recognize the
24 interconnected nature of the communications network. Multiple carriers are almost always
25 involved in the transmission of every 9-1-1 call and damage to one carrier may impact the ability
26 to complete 9-1-1 calls even when there is no damage to the originating carrier's network.
27 Backup power capabilities will not solve these problems. In addition, the capacity of each
28 carrier's 9-1-1 trunks is governed by standards administered for each route by the E-9-1-1
29 Branch of the California Office of Emergency Services ("Cal OES") and these capacity
30 limitations, which are outside the carrier's control, may in some cases prevent all 9-1-1 calls

1 from being completed in the event of an unusually large call volume, regardless of whether the
2 network is functioning. In addition, there may be limitations related to considerations such as
3 environmental issues, permitting issues, unique geography, and cost.

4 The Small LECs support the Commission in its goals to use clean energy and several of
5 the Small LECs have some limited deployments of solar and hydrogen fuel cells as backup
6 power sources. However, these solutions are not suitable in all locations. Solar may not work
7 well in shaded areas (e.g., shaded by trees), and permitting in some areas may be problematic,
8 due to environmental or other regulations. Additionally, the Small LECs believe it would be
9 premature to require clean energy requirements for backup power at this time given the short
10 window of time and potential severity of the upcoming fire season, and the need to focus
11 resources on network resiliency in high-risk areas rather than on the carbon footprint of areas
12 that already have high-levels of resiliency. There are also some practical considerations to take
13 into account regarding whether to implement clean energy sources for backup power such as:
14 (1) solar energy is likely not a good choice for backup power during wildfire events since the
15 smoke and ash from the wildfires interferes with the solar panel's ability to produce energy; (2)
16 hydrogen cell fuel tanks store both hydrogen and oxygen and could pose an even greater fire
17 danger during wildfires than diesel; and (3) methanol is not readily available, burns at 800
18 degrees, is more dangerous to transport and refuel, and ages faster than diesel requiring more
19 frequent refueling.

20 Finally, the Small LECs emphasize the importance of protecting all critical infrastructure
21 information submitted to the Commission from public disclosure because of its ability to be
22 weaponized if it fell into the wrong hands.

- 23 **6. Emergency Operations Plans: The Proposal directs Providers to file emergency**
24 **operations plans with the Commission, discussing how their operations are**
25 **prepared to respond to emergencies. Please provide comments and analysis on this**
26 **issue.**
27 **(a) Additionally, the Proposal itemizes required content that the Providers must**
28 **submit to the Commission. Please provide comments and analysis on this**
issue.
(b) Should the proposed rule for Emergency Operations Plans include any other
information that the Proposal does not address? Please explain why any
additional information is legitimate and necessary for adoption.

1 The Small LECs do not object to the Commission’s proposal for providers to file
2 emergency operations plans with the Commission. However, the Small LECs would again like
3 to emphasize that a one-size fits all approach, while convenient, is not viable in all
4 circumstances. For example, requiring the Small LECs to post real-time outage maps on their
5 websites, as suggested in this proposal, is not economically feasible for companies of their size
6 and resources. That being said, a number of the Small LECs have already implemented
7 emergency operations plans reflecting proactive work with state and local authorities throughout
8 the emergency response processes. Most of the Small LECs are also members of the California
9 Utilities Emergency Association (“CUEA”), an organization that serves as a point-of-contact for
10 critical infrastructure utilities and responding government agencies, including Cal OES. CUEA
11 and its team work collaboratively during emergencies to provide emergency response support
12 and coordination with government agencies and other disaster relief organizations. CUEA also
13 provides support with emergency planning, mitigation, training, and exercises. Similarly, the
14 Small LECs participate in internal meetings with Incident Management Teams to determine what
15 additional facilities may be needed to address disaster situations and to coordinate access to
16 disaster areas so that damage to utility facilities can be assessed. Additionally, the Small LECs
17 coordinate to the extent they are able with the electric utilities during power outages to arrange
18 for additional backup power if it is anticipated to be needed. The Small LECs would also like to
19 again emphasize the necessity of protecting all confidential critical infrastructure information,
20 the importance of which has been recognized under federal law and regulation as critical to
21 national security.

- 22 **7. Current Mitigation Efforts: in response to this ruling, all respondent**
23 **communications service providers shall provide a discussion of what current**
24 **mitigation efforts they are undertaking to ensure continuity of service in**
25 **preparation and in advance of the upcoming 2020 wildfire and grid outage season.**
26 **This should include, but is not limited to, the following topics:**
27 (a) **Number of additional generators acquired (both fixed and mobile);**
28 (b) **Number of additional temporary facilities acquired (e.g., COWs, COLTs,**
etc.);
(c) **Additional network redundancy built into network (e.g., logical and**
physical);
(d) **Provide details on plans in the near, intermediate and long term to further**
harden facilities;

- 1 (e) Identify barriers to building resiliency into your networks;
- 2 (f) Identify any other investments or cooperative agreements that will be made
to build in more backup generation or minimize the need for backup
generation; and
- 3 (g) Identify if communications service outages as a result of future public safety
power shutoff events are expected. Identify specific locations and reasons
4 where network outages are expected.

5 **To the extent practicable, communication service providers are directed to submit
as much of this information as possible without assertion of confidentiality.**

6 The Commission temporarily suspended all confidential filings in light of the COVID-19
7 pandemic.² Therefore, the Small LECs will respond to this question to the best of their abilities,³
8 in the limited time provided for responses, without revealing confidential infrastructure
9 information.

10 The Small LECs continue to invest in their networks to install new and upgraded
11 telecommunications plant to increase reliability during emergency situations. The specific
12 amounts of these investments, and the specific information about the projects undertaken, are set
13 forth in each of the Small LECs annual Eligible Telecommunications Carrier ("ETC") filings
14 submitted to the Commission each September. When possible, new outside plant is located
15 underground for additional protection from fire and wind. Additionally, copper facilities and
16 fiber optic trunks are also placed in underground conduit where possible to provide additional
17 protection against water damage, earthquake, and physical intrusion. Central office facilities are
18 typically located in reinforced concrete or similarly sturdy buildings. All facilities are regularly
19 inspected to identify potential future problems and thereby enhance reliability.

20 As described above, the Small LECs have deployed backup power solutions throughout
21 their networks, through the use of batteries, emergency generators, solar, and hydrogen fuel cells.
22 All of these backup power sources are consistently tested for performance. Where possible, the
23 Small LECs have also created redundant and geographically diverse routes capable of connecting
24 their networks to 9-1-1 systems, in accordance with guidelines from Bellcore, industry standards,

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² <https://www.cpuc.ca.gov/COVID19practitioneralert/>

26 ³ The Small LECs have committed significant resources to network reliability, customer protection, and
27 employee safety as a result of the COVID-19 pandemic. The Small LECs reserve the right to supplement
28 this submission after this crisis has passed.

1 and Cal OES requirements. The Small LECs have deployed fiber-optic ring topologies and
2 redundant routes for copper and microwave facilities to provide uninterrupted service when a
3 network is damaged. These redundant network topologies improve the reliability of all services,
4 particularly at points where fiber and copper facilities are connected, such as central offices and
5 remote switching facilities. However, in some cases, geographic limitations presented by some
6 of the areas served by the Small LECs do not permit the deployment of redundant network
7 topologies, such as deep box canyons or similar geographic features which do not provide more
8 than one route over which communications services can be provided.

9 Further the Small LECs also assist wireless providers during emergencies by providing
10 the necessary backhaul facilities to help create temporary cell sites. Additionally, the Small
11 LECs provide voice and data services to CalFire and other first responders in disaster areas to
12 assist their work. The Small LECs also coordinate donations to disaster victims in their areas by
13 working with various community organizations.

14 **8. Other Topics for Commission Consideration: Parties may identify issues in addition**
15 **to the proposed rules and discussion in the Proposal.**

16 The Small LECs have no additional issues to identify at this time.
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1 **III. CONCLUSION**

2 The Small LECs are committed to the Commission's vision for emergency preparation
3 and preparedness and ensuring that the State of California is better prepared for this coming fire
4 season than those of the last few years. The Small LECs support all reasonable infrastructure
5 improvements to strengthen network resiliency during catastrophic circumstances, while being
6 mindful of the resources necessary to accomplish these goals.

7 Dated this 3rd day of April, 2020, at San Francisco, California.

8 Respectfully submitted,
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