Agenda ID#: 19681

## PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

Communications Division Broadband, Video and Market Branch RESOLUTION T- 17738 August 19, 2021

## RESOLUTION

RESOLUTION T-17738: Approves the Rural and Urban Regional Broadband Consortia grant application for the Los Angeles Digital Equity Action League Consortium in the amount of \$300,000.

#### I. SUMMARY

This Resolution approves grant funding in the amount of \$300,000 from the California Advanced Services Fund (CASF) Rural and Urban Regional Broadband Consortia Grant Account (Consortia Account) for the grant application of the Los Angeles Digital Equity Action League Consortium (LA-DEAL Consortium). This project will facilitate the deployment of broadband services by assisting infrastructure applicants in the project development or grant application process in Los Angeles County.

#### II. BACKGROUND

On October 15, 2017, Governor Brown signed Assembly Bill (AB) 1665 (Garcia, Chapter 851, Statutes of 2017) into law, which among other things, established continuation of the Consortia Account with an additional \$10 million in available funds.

On October 25, 2018, the California Public Utilities Commission (Commission) adopted Decision (D.) 18-10-032, implementing programmatic changes to the Consortia Account as required by AB 1665,<sup>3</sup> that funds be used to "facilitate the deployment of broadband"

<sup>&</sup>lt;sup>1</sup> AB 1665 is codified at Public Utilities Code, § 281.

 $<sup>^2</sup>$  AB 1665 supplements \$15 million already authorized for the Consortia Grants with an additional \$10 million.

<sup>&</sup>lt;sup>3</sup> Decision Implementing the CASF Rural and Urban Broadband Consortia Grant Account [D.18-10-032] (2018) Application Requirements and Guidelines, Appendix 1.

services by assisting infrastructure grant applicants in the project development or grant application process." $^4$ 

Pursuant to the CASF rules adopted in D.18-10-032, Consortia activities that achieve the following objectives may be eligible for funding:

- Objective 1: Collaborating with the Commission to engage regional Consortia, local officials, internet service providers (ISPs), stakeholders, and consumers regarding priority areas and cost-effective strategies to achieve the broadband access goal.
- <u>Objective 2</u>: Identifying potential CASF infrastructure projects, along with other opportunities, where ISPs can expand and improve their infrastructure and service offerings to achieve the goal of reaching 98% broadband deployment in each Consortia region.
- <u>Objective 3</u>: Assisting infrastructure applicants in the project development or grant application process.
- <u>Objective 4</u>: Conducting activities leading to infrastructure applications.
- <u>Objective 5</u>: Assisting the Commission in publicizing requests for wireline testing volunteers in areas, as needed.

To implement AB 1665, the Commission previously held two rounds of solicitation for Consortia grant applications, respectively, on March 29, 2019 and April 23, 2020. Subsequently, the Commission approved nine Consortia grants with Resolution T-17669 on October 24, 2019, two Consortia grants with Resolution T-17708 on October 22, 2020, and one Consortia grant with Resolution T-17726 on April 15, 2021. <sup>5</sup>

On December 18, 2020, the Commission opened the third round of solicitation for Consortia grant applications. Prospective applicants were invited to submit new proposals by February 12, 2021.<sup>6</sup>

## IV. APPLICANT REQUESTS AND NOTICE

Four applicants, including the LA-DEAL Consortium, Fort Independence Indian Community of Paiute Indians (Fort Independence), Central Coast Broadband

<sup>5</sup> Resolution T-17669: https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M318/K898/318898413.PDF. Resolution T-17708: https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M349/K351/349351527.PDF. Resolution T-17726: https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M366/K787/366787146.PDF.

<sup>&</sup>lt;sup>4</sup> Public Utilities Code, § 281 (g)(1).

<sup>&</sup>lt;sup>6</sup> The application due date was originally set as February 1, 2021. Due to the COVID-19 public health emergency's impact, the solicitation for CASF Consortia Account grant applications was extended for all applicants to February 12, 2021.

Consortium (CCBC), and BizFed Institute, submitted grant applications for CASF Consortia grant funding by the deadline.<sup>7</sup> On March 2, 2021, Communications Division (CD) staff (Staff) posted applications received on the Commission's CASF Consortia Account webpage.<sup>8</sup>

#### V. APPLICATION REVIEW AND EVALUATION

Staff reviewed all applications according to the guidelines, requirements, and evaluation adopted in D.18-10-032, as follows:

- 1. Regional Consortium Representation and Endorsements;
- 2. Regional Consortium / Members' Experience;
- 3. Work Plan and Performance Metrics Plan; and
- 4. Budget.

Applications from previously funded regional Consortia were also considered in the context of past performance in implementing their Action Plan and Work Plan.

Staff's review and evaluation of the LA-DEAL Consortium application is summarized below.

# A. Los Angeles Digital Equity Action League Consortium (LA-DEAL Consortium)

**Applicant** 

The LA-DEAL Consortium was created by the Los Angeles County Economic Development Corporation (LAEDC) and UNITE-LA in 2021 to facilitate greater broadband deployment to unserved and underserved areas in Los Angeles County that will promote economic growth, job creation, and social benefits of advanced information and technologies. The LA-DEAL Consortium represents Los Angeles County and consists of five sub-regions (e.g., San Gabriel Valley, South Bay Gateway Cities, Central/West Los Angeles, Santa Clarita Valley, and San Fernando Valley/ Antelope Valley). The LA-DEAL Consortium is a newly formed Consortia group, and it did not receive prior approval for Consortia Account grants. The LA-DEAL

<sup>7</sup> Fort Independence and CCBC subsequently withdrew their applications. BizFed Institute's application does not meet the CASF Consortia grant program rules and the minimum scoring requirement of 70

https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/california-advanced-services fund/casf-consortia-account.

points. These applications are not discussed in this Resolution.

8 https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/california-advanced-services-

Consortium is an eligible consortium.<sup>9</sup> The LA-DEAL Consortium's grant proposal does not duplicate funding and activities from its other funding sources.

## Regional Consortium Representation and Endorsements

The LA-DEAL Consortium's representation includes Los Angeles County government, non-profit organizations, education, economic development, and business. Additionally, the LA-DEAL Consortium partners with internet service providers (ISPs) and its existing networks of community stakeholders from health, housing, education, workforce, government, and the private sector to participate in the sub-regional committees and inform the Consortium's agenda through a community-led and equity-driven process. The LA-DEAL Consortium received a wide base of endorsement support from local counties and cities government, economic development corporations and workforce development boards, local educational agencies and institutions of higher education, a Senator member, and community-based and nonprofit organizations.

## Regional Consortium Members' Experience

The LA-DEAL Consortium's members have direct experience with broadband access, adoption, and infrastructure project facilitation. The LA-DEAL Consortium proposes to have a broadband infrastructure workgroup composed of stakeholders from each sector (i.e., municipal, education, healthcare, business, tech, ISP, and nonprofit). The LA-DEAL Consortium's members have the GIS mapping specialty. For example, it is partnering with the City of Los Angeles to expand the City's interactive GIS mapping system that utilizes the census's most recent American Community Survey (ACS) data to provide visualizations of broadband adoption and device access to cover the entire Los Angeles County. The LA-DEAL Consortium's members have prior broadband deployment experience in advising public agencies with the strategic planning and development of large-scale public infrastructure and public-private partnership, including public fiber and telecommunications infrastructure.<sup>10</sup>

## Work Plan and Performance Metrics Plan

The LA-DEAL Consortium proposes to serve as a liaison between communities, municipalities, and ISPs; audit existing fiber optic networks; conduct an inventory of publicly available data on municipal assets (e.g., rights-of-ways, publicly owned towers, public utility poles, etc.) and aggregate demand, including speed tests and the identification and updates of priority areas; provide support to the development of a regional GIS platform for managing regional fiber assets; identify and mobilize state,

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<sup>&</sup>lt;sup>9</sup> Pub. Util. Code, § 281(g)(1); D.18-10-032 pp. 5-6 and Appendix 1 p. 10.

<sup>&</sup>lt;sup>10</sup> These projects were not funded by CASF.

city, and private sector resources and initiatives to invest in and leverage towards new broadband infrastructure; and support CASF infrastructure applications in the project development or grant application process. Specifically, the LA-DEAL Consortium proposes to, 1) assist with identifying and writing CASF and other state and federal funding applications, 2) provide technical assistance for submitting grant applications, 3) facilitate relationships between ISPs and community stakeholders around infrastructure project development and grant application submission, and 4) provide guidance and advice to ISPs and community stakeholders on emerging and best methods for allocating and spending grant funding. The LA-DEAL Consortium's broadband infrastructure workgroup will focus on being the conduit between ISPs and communities, ISPs and infrastructure funding applications, and communities and funding applications. The LA-DEAL Consortium's Work Plan and Performance Metrics Plan are directly related to AB 1665 goals and objectives, and consistent with program requirements defined in D.18-10-032.<sup>11</sup> Its detailed Work Plan and Performance Metrics Plan are available at the Commission's webpage.<sup>12</sup>

## Budget

The LA-DEAL Consortium requests a total project budget of \$300,000 for a two-year grant. Of its total budget, the LA-DEAL Consortium allocates approximately 36.7% to conduct marketing/outreach and develop strategies (Objective 1), 61% to assist in developing CASF infrastructure applications (Objectives 2, 3, and 4), 2% to assist in publicizing wireline testing requests (Objective 5), and 0.3% to grant administration. The LA-DEAL Consortium's budget is cost-effective and consistent with budget requirements defined in D.18-10-032.

## VI. RECOMMENDATIONS FOR FUNDING

Staff has determined that the LA-DEAL Consortium's application qualifies for funding. The application scored at least 70 of 100 points with clear and detailed proposals and meets the minimum criteria established in D.18-10-032. Therefore, staff recommends approval of the LA-DEAL Consortium's application.

## VII. COMPLIANCE REQUIREMENTS

The LA-DEAL Consortium is required to comply with all the guidelines, requirements, and conditions associated with the CASF Consortia grant funding as specified in D.18-

<sup>11</sup> Pursuant to D.18-10-032, Work Plan and Performance Metrics Plan should include specific work activities, verifiable work deliverables, quantitative performance measures, the method for performance tracking and measuring, and responsible party(ies), with acceptable timelines for completion.

<sup>&</sup>lt;sup>12</sup> https://webproda.cpuc.ca.gov/-/media/20cd1aee1a0045f9b1389280226c820c.ashx.

<sup>&</sup>lt;sup>13</sup> This is consistent with the maximum funding cap of \$150,000 per year per Consortium per D.18-10-032.

10-032 and this Resolution. Such compliance includes, but is not limited to, the following:

## A. Execution and Performance

The expected start date is September 1, 2021. By receiving a CASF grant from the Commission, the LA-DEAL Consortium agrees to comply with the terms, conditions, and requirements of the grant as set forth in this Resolution, and thus submit to the jurisdiction of the Commission with regard to disbursement and administration of the grant.

The LA-DEAL Consortium may not assign the project in whole or in part except as expressly provided by the Commission's approval.

Should the LA-DEAL Consortium fail to complete the project in accordance with the terms of the Commission's approval as set forth in this Resolution, the LA-DEAL Consortium must reimburse some or all of the CASF funding it has received. If the LA-DEAL Consortium fails to commence work at the agreed upon time, the Commission may terminate the award upon ten business days written notice to the Consortia grantee. If the LA-DEAL Consortium fails to perform in good faith, or in accordance with the expectations set forth in its Work Plan and Performance Metrics Plan, as affirmed in the affidavit, the Commission may withhold subsequent grant disbursement or suspend or terminate the Consortium grant, as warranted.

Any changes to the substantive terms and conditions underlying the Commission approval of the Consortium grant (e.g., changes to Work Plan, budget or designated Fiscal Agent, etc.) must be communicated in writing to the CD Director at least 30 days before the anticipated change and may be subject to approval by either the CD Director or by Commission resolution before becoming effective.

## B. Public Workshop Requirement

AB 1665 directs the Commission to consult with regional Consortia, stakeholders, local governments, existing facility-based broadband providers, and consumers regarding unserved areas and cost-effective strategies to achieve the broadband access goal, through public workshops at least annually no later than April 30 of each year through year 2022. Pursuant to D.18-10-032, all Consortia receiving CASF grants are required

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<sup>&</sup>lt;sup>14</sup> Public Utilities Code, § 281(f)(2).

to attend at least one of the annual public workshops to be conducted by staff, and allocates up to \$10,000 per consortium for each public workshop attendance. <sup>15</sup>

## C. Fiscal Agent

## D.18-10-032 requires:

Each regional Consortium must retain at least one Fiscal Agent with lead responsibility and legal authority to represent the Consortium for purposes of sponsoring the application, administering fiscal activities between the Consortium and the Commission, receiving and dispersing Consortium grant funds, and ensuring Consortium compliance with the grant. The Fiscal Agent must affirmatively agree on behalf of the Consortium, to comply with the Commission's directives and conditions relating to the review, approval, and administration of any Consortia application grants. The Fiscal Agent must provide assurance that Consortium members or contractors retained by the Consortium are capable and committed to fulfilling the commitments.<sup>16</sup>

Staff reviewed the LA-DEAL Consortium's application submitted and determined that the application meets this requirement. Specifically, for this application, the Los Angeles County Economic Development Corporation (LAEDC) signed an affidavit, under penalty of perjury, that to the best of his or her knowledge, all statements and representations made in Consortium application are true and correct, and affirmed to fulfill the requirements of the CPUC with respect to grant administration. Each Fiscal Agent provided CD staff with a name of a qualified representative to carry out the duties and responsibilities described, and the Fiscal Agent will be the primary contact regarding the consortium's fiscal matters.<sup>17</sup>

The LA-DEAL Consortium's Fiscal Agent shall comply with all rules and requirements set forth in D.18-10-032, including, but not limited to, ensuring implementation of the approved Work Plan and Performance Metrics Plan within the allocated budget, and shall be responsible for notifying CD Director of any proposed changes to Work Plan and Performance Metrics Plan, budget, or designated Fiscal Agent during the course of

<sup>&</sup>lt;sup>15</sup> D.18-10-032, Appendix 1, p. 13.

<sup>&</sup>lt;sup>16</sup> D.18-10-032, Appendix 1, p. 9. The Fiscal Agent must submit a letter stating its commitment to act as a Fiscal Agent for the Consortium.

<sup>&</sup>lt;sup>17</sup> The Los Angeles County Economic Development Corporation, Fiscal Agent for the LA-DEAL Consortium, has affirmed to fulfill the CPUC's requirements with respect to grant administration.

the grant cycle. Any subsequent change in Fiscal Agent must first be approved by Commission resolution.<sup>18</sup>

D.18-10-032 further requires each Consortia grantee to:

...maintain books, records, documents, and other evidence sufficient to substantiate expenditures covered by the grant, according to generally accepted accounting practices. Each Consortia grantee shall make these records available to the Commission upon request and agrees that these records are subject to a financial audit by the Commission at any time within five years after the grantee incurred the expense being audited. A Consortia grantee shall provide access to the Commission upon 24-hour notice to evaluate work completed or being performed pursuant to the grant.<sup>19</sup>

## D. Distinguishing CASF from other Financial Resources

D.18-10-032 requires that the consortium take steps to ensure that the CASF grant budget does not duplicate funding from other non-Consortia Account funding.<sup>20</sup> The LA-DEAL Consortium must ensure that only CASF-approved activities will be billed to the Consortia Account, and activities obligated to other funding resources will not be billed to the CASF Consortia Account.

Prior to the initiation of Work Plan activities, the LA-DEAL Consortium's Fiscal Agent must communicate to staff how they will ensure that billing to discrete funding sources does not overlap.

#### E. Annual Audit

Public Utilities Code, § 281(g)(2) requires each consortium to conduct an annual audit of its expenditures for grant programs funded and submit to the Commission an annual report. The LA-DEAL Consortium is required to submit annual reports to the Commission that includes: a description of activities completed during the prior year, how each activity promotes the deployment of broadband services, and the cost associated with each activity; and the number of project applications assisted.

<sup>&</sup>lt;sup>18</sup> D.18-10-032, Appendix 1, pp. 10.

<sup>&</sup>lt;sup>19</sup> D.18-10-032, Appendix 1, pp. 15-16.

<sup>&</sup>lt;sup>20</sup> Any proposed consortium budget must expressly exclude any costs for activities or programs within the Consortia region that are separately funded from any other sources in order to ensure that California Advanced Services Fund (CASF) grants do not duplicate funding from any other sources (D.18-10-032, Appendix 1, p. 8).

## F. Reporting

Consortia grantees are required to submit bi-annual progress reports. Any progress payment requests shall be submitted along with bi-annual reports. The bi-annual progress report is required every six months. These reports must be submitted by no later than three months after every six months for the grant period, irrespective of payment requests. In these reports, recipients will report on the status of bi-annual milestones per the Work Plan and Performance Metrics Plan, as well as request payment for relevant expenses to date.<sup>21</sup>

The LA-DEAL Consortium must submit bi-annual progress reports on the status of the project irrespective of whether grantees request reimbursement or payment. Bi-annual progress reports must begin the first six months after the Commission approval of the grant proposal. Bi-annual progress reports must be submitted no later than three months after every six months.

A start-up period report is required if the grantee requests an initial start-up cost payment. This report must be submitted no later than three months after the completion of the start-up activities. In this report, recipients will report on the completion of start-up activities per the Work Plan and Performance Metrics Plan, as well as milestones met. The LA-DEAL Consortium does not request an initial start-up budget for its proposed project.

The LA-DEAL Consortium must submit a completion report at the end of the grant cycle no later than three months after completion of the project.

## G. Payments

D.18-10-032 requires that submission of invoices from and payments to the grantees shall be made at bi-annual intervals. Specifically, D.18-10-032 states:

The disbursement of funds at any time is subject to the Commission discretion, including a review-and-approval process of each grantee through regular site visits, progress reports on a bi-annual basis and supporting invoices and receipts. All requests for progress payments and reimbursements must be supported by documentation, e.g., receipts, invoices, quotes, etc. Consortia grantees may request reimbursement for startup costs equivalent to a maximum of 25% of the total award and must be supported by documentation. In order to receive a

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<sup>&</sup>lt;sup>21</sup> Id. at Appendix 1, pp. 14-15, 17.

progress payment, the Consortium must first submit the Progress Report to CD, together with all requests for payment and reimbursement supported by relevant invoices receipts, etc.

Furthermore, documentation should substantiate the LA-DEAL Consortium's progress in following their proposed Work Plan and Performance Metrics Plan, with the approved budget. Billing should only be for activities related to facilitating the deployment of broadband services by assisting infrastructure applicants in the project development or grant application process.

All activities specified under the terms of the award shall be completed on or before the termination date of the award.<sup>22</sup> A project completion report is required prior to full payment showing that all activities on the approved Work Plan and Performance Metrics Plan have been accomplished. The LA-DEAL Consortium's final payment report, including all documentation and receipts, should be submitted no later than three months after project completion.<sup>23</sup>

No payments will be made for any payment requests received three months after relevant reports are due (i.e., bi-annual progress reports and/or completion reports.)

# H. Project Audit

Staff has the authority to initiate and any necessary audit, verification, and discovery of consortium members relating to grant funding activities to ensure that the CASF fund is spent in accordance with the Commission adopted rules and standards.<sup>24</sup>

The LA-DEAL Consortium's invoices and other relevant records will be subject to a financial audit by the Commission or its designee at any time within five years after the LA-DEAL Consortium incurred the expense being audited.

# I. Lobbying and Advocacy Efforts

The LA-DEAL Consortium is free to advocate on any legislation of their choosing. However, no work on proposed legislation including meetings, travel, or lobbying may be billed to or reimbursed by the CASF Consortia grant program.<sup>25</sup>

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<sup>&</sup>lt;sup>22</sup> D.18-10-032, Appendix 1, p. 17.

<sup>&</sup>lt;sup>23</sup> Id. p. 15.

<sup>&</sup>lt;sup>24</sup> D.18-10-032, Appendix 1, p. 15; see also Public Utilities Code, § 270.

<sup>&</sup>lt;sup>25</sup> Id. p. 4.

## VIII. COMMENTS

In compliance with Public Utilities Code, § 311(g)(1), a Notice of Availability was emailed on July 16, 2021, informing all parties on the CASF Distribution List of the availability of the draft of this Resolution for public comments at the Commission's website at http://www.cpuc.ca.gov/. This letter also served to inform parties that the final conformed Resolution the Commission adopts will be posted and available on this same website.

#### IX. FINDINGS

- 1. On October 15, 2017, Governor Brown signed legislation (AB 1665) that established the continuation of the CASF Consortia Account with an additional \$10 million in available funding.
- 2. Four prospective applicants filed applications by the February 12, 2021 (extended from February 1, 2021) deadline. Staff posted each applicant's name, contact information, and coverage area by county on the Commission Consortia Account webpage on March 2, 2021. The LA-DEAL Consortium, Fort Independence, CCBC, and BizFed Institute appear on this list.
- 3. Staff reviewed the LA-DEAL Consortium's application according to the guidelines, requirements, and evaluation criteria adopted in D.18-10-032, which include regional consortium representation and endorsements, regional consortium members' experience, Work Plan and Performance Metrics Plan, and budget. Staff also evaluated the application based on the merits of each proposal, including achievements, fiscal responsibility, and cost-effectiveness.
- 4. Based on the review, staff determined that the LA-DEAL Consortium proposal meets eligibility requirements. Staff further determined that this proposed project qualifies for funding under D.18-10-032 and recommends approval.
- 5. Staff recommends CASF Consortia grant approval of total funding of \$300,000 (\$150,000 per year) for a two-year grant for the LA-DEAL Consortium.
- 6. The LA-DEAL Consortium is required to comply with all guidelines, requirements, and conditions associated with the granting of CASF funds as specified in D.18-10-032 and this Resolution, including, but not limited to, only billing for activities related to the activities in approved Work Plans.

- 7. The LA-DEAL Consortium is required to expressly exclude any costs for activities or programs from the proposed consortium budget that are separately funded from any other sources in order to ensure that CASF grants do not duplicate funding from any other sources.
- 8. D.18-10-032 directs CD to consult with regional Consortia, stakeholders, local governments, existing facility-based broadband providers, and consumers regarding priority areas and cost-effective strategies to achieve the broadband access goal, through public workshops conducted at least annually no later than April 30 of each year, and authorizes \$2,000 per person for up to five delegates annually for each approved consortium.
- 9. A notice was emailed on July 16, 2021, informing the parties on the CASF Distribution List of the availability of the draft of this Resolution for public comments at the Commission's website http://www.cpuc.ca.gov/PUC/documents/. This letter also informed parties that the final conformed Resolution adopted by the Commission will be posted and available on this same website.

## THEREFORE, IT IS ORDERED THAT:

- 1. The Commission shall award \$300,000 for a two-year grant to the Los Angeles Digital Equity Action League Consortium (LA-DEAL Consortium). The award is based on the description of the project as described herein. The Work Plan is available at the Commission's website.
- 2. Payments to the CASF recipients shall be in accordance with guidelines adopted in D.18-10-032 and in accordance with the process defined in the "Payments" section of this Resolution.
- The LA-DEAL Consortium must retain at least one Fiscal Agent with lead
  responsibility and legal authority to represent the Consortium for purposes of
  sponsoring the application and administration of Consortium activities, including
  receipt and disbursement of Consortium grant funds.
- 4. The LA-DEAL Consortium's Fiscal Agent must affirmatively agree, on behalf of the LA-DEAL Consortium, to comply with the Commission's directives and conditions relating to the review, approval, and administration of any Consortia application grants.
- 5. Prior to commencement of the LA-DEAL Consortium's projects, the LA-DEAL Consortium's Fiscal Agent must provide CD staff with the method chosen to

- distinguish funding sources and billing practices, to ensure that CASF payments are not duplicated by other sources, and that only CASF-approved activities are billed to the Consortia Account.
- 6. The disbursement of funds shall be subject to the requirements set forth in D.18-10-032 including the submission of bi-annual progress reports and supporting documentation for payment reimbursement, yearly status reports for informing the legislature and a Completion Report to be submitted before the final payment reimbursement will be made.
- 7. By receiving a CASF grant from the Commission, the LA-DEAL Consortium agrees to comply with the terms, conditions, and requirements of the grant and thus submit to the jurisdiction of the Commission with regard to disbursement and administration of the grant.
- 8. The LA-DEAL Consortium shall complete activities in accordance with and within the performance period set forth in the Commission-approved Work Plans.
- 9. The LA-DEAL Consortium must use the grant funding solely for the approved projects/activities as described in the Consortia Commission-approved Work Plan and Budget Plan, (or approved revisions to such Plans and budgets).
- 10. Consortia grantee shall make records available to the Commission upon request and shall agree that these records are subject to a financial audit by the Commission at any time within five years after the Grantee incurred the expense being audited. A Consortia grantee shall provide access to the Commission upon 24-hour notice to evaluate work completed or being performed pursuant to the grant.
- 11. The LA-DEAL Consortium shall comply with all guidelines, requirements, and conditions associated with the CASF grant award as specified in D.18-10-032 and this Resolution.
- 12. The Commission may withhold or terminate grant payments to the LA-DEAL Consortium if the consortium does not comply with any of the requirements set forth in D.18-10-032 and this Resolution.
- 13. In the event that the LA-DEAL Consortium fails to complete the project/activities in accordance with the terms of approval granted by the Commission and this Resolution, the consortium, represented by the Fiscal Agent, will be required to reimburse some or all of the CASF fund that it has received.
- 14. The commencement of the grant will be September 1, 2021.

**Executive Director** 

- 15. Any changes to the substantive terms and conditions underlying Commission approval of the grant (e.g., changes to Work Plan, budget or designated Fiscal Agent, etc.) must be communicated in writing to CD Director at least 30 days before the anticipated change, and may be subject to approval by either the CD Director or by Commission resolution before becoming effective.
- 16. The LA-DEAL Consortium must complete and execute the consent form (to be sent to the grantee after this Resolution is adopted) agreeing to the conditions set forth in this Resolution and email it to <a href="mailto:CASF\_Consortia\_Grant\_Administrator@cpuc.ca.gov">CASF\_Consortia\_Grant\_Administrator@cpuc.ca.gov</a> within 30 calendar days from the date of the adoption of this Resolution. Failure to submit the consent form within 30 calendar days from the adoption date of this Resolution will deem the grant null and void.

I hereby certify that this Resolution was add	opted by the Public Utilities Commission at
its regular meeting on	The following Commissioners approved
it:	
	DACHEL REFERGOL
	RACHEL PETERSON