

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

ENERGY DIVISION

Agenda ID# 2

AGENDA ID: 19594

RESOLUTION E-5152 (Rev.1)

August 195, 2021

**RESOLUTION**

E-5152. Approval of the Database for Energy-Efficiency Resources updates for Program Year 2023 and revised version for Program Years 2022 and 2021.

PROPOSED OUTCOME:

- DEER2023 Update (effective January 1, 2023)
- Revise DEER2022 Update (effective January 1, 2022)
- Revise DEER2021 Update (effective 2021)

SAFETY CONSIDERATIONS:

- There are no safety considerations associated with this resolution.

ESTIMATED COST:

There are no costs associated with this resolution.

By Energy Division's own motion in Compliance with D.15-10-028.

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**SUMMARY**

This Resolution approves updates to the Database for Energy-Efficient Resources (DEER) for program year (PY) 2023 and a revised version of DEER for PY2022 and PY2021, in Compliance with D.15-10-028, D.21-05-031,<sup>1</sup> and Resolutions E-4818, E-4952, E-5009, and E-5082. This update also addresses significant transitions for the DEER and workpaper system maintenance and operation.

All updated DEER assumptions, methods, values and supporting documentation are available on the DEEResources.com website.<sup>2</sup>

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<sup>1</sup> <https://docs.cpuc.ca.gov/SearchRes.aspx?docformat=ALL&docid=385864616>

<sup>2</sup> See Main Menu > DEER Versions > DEER2023 on <http://DEEResources.com>  
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**BACKGROUND**

The Database for Energy Efficient Resources (DEER) contains information on energy-efficient technologies and measures. DEER provides estimates of the energy-savings potential for these technologies in residential and nonresidential applications. DEER is used by California Energy Efficiency (EE) Program Administrators (PAs), private sector implementers, and the EE industry across the country to develop and design energy efficiency programs.

The DEER database has a 30-year history, starting in the 1990s under the California Energy Commission (CEC) where responsibility for developing energy efficiency measure parameters was delegated to a broad stakeholder coalition. With the 2006-08 energy-efficiency (EE) portfolio cycle, the CPUC Energy Division took control of the DEER and began hosting it on the “Deeresources” suite of websites.

**Relevant Regulatory Background**

The California Public Utilities Commission (Commission or CPUC) Decision D.15-10-028, Ordering Paragraph 17 states: “Commission staff shall propose changes to the Database of Energy Efficient Resources once annually via Resolution, with the associated comment/protest period provided by General Order 96-B. However, Commission staff may make changes at any time without a Resolution to fix errors or to change documentation.” D.15-10-028, retains the direction from D.12-05-015 that DEER values be updated for consistency with existing and updated state and federal codes and standards while incorporating these changes into the DEER update.<sup>3</sup> D.21-05-031 retains previous direction regarding CPUC staff latitude in updating DEER.<sup>4</sup> D.21-05-031 adopts a biennial update schedule for DEER, eliminates the DEER and non-DEER distinction for EE measures and redefines the scope of the DEER resolution to:

a) lock down the version of ex ante EE values used for planning and claims; b) direct research to inform future DEER updates; and c) manage deemed ex ante processes.

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<sup>3</sup> D.15-10-28, at 80, states “D.12-05-015 allowed additional mid-cycle changes if there are new state and federal codes and standards that affect DEER values. Specifically, the decision stated in Conclusion of Law 84: “We generally agree with parties’ request that ex ante values should be adopted and held constant throughout the portfolio cycle. However, mid-cycle updates of ex ante values are warranted if newly adopted codes or standards take effect during the cycle.”

<sup>4</sup> D.15-10-28, at 80, quotes from D.12-05-015: “Conclusion of Law 80 states: ‘Our Staff should have significant latitude in performing DEER and other policy oversight functions and, absent specific directives to the contrary, should not be required to consult with or otherwise utilize any other groups to perform this work.’”

Resolution E-5082 initiated the transition of existing DEER and workpaper systems to a software platform jointly co-funded by the IOUs called the Electronic Technical Resource Manual (eTRM)<sup>5</sup> and conferred conditional designation “data source of record” to the eTRM.<sup>6</sup> Resolution E-5082 also outlined a schedule and benchmarks for the phased transition from DEER to the eTRM as the new “data source of record” for energy savings values for energy efficiency measures.

In addition, Resolution E-4952<sup>7</sup> (DEER2020), adopted on October 11, 2018, clarified and specified issues in Resolution E-4818,<sup>8</sup> adopted on March 2, 2017. Among other things, these resolutions ordered a large number of significant changes including guidance on the peak demand period, building prototypes, and measure analysis software control (MASControl3) updates.

### **Timing and Applicability of DEER Updates**

DEER updates flow into the EE portfolio development process by providing new energy savings estimates and other EE measure parameter updates for program design. New energy savings estimates, and underlying assumptions, methods, and values inform the direction of current energy efficiency programs. These allow program administrators to shift program eligibility requirements and incentive support mechanisms to deliver the most reliable, cost-effective energy savings. DEER updates may also reflect new market conditions. PAs are required to ensure new assumptions and values are incorporated into EE programs by a) knowing there is an update, b) understanding the fundamental assumptions for the update, and c) identifying necessary shifts to their programs to capture cost-effective savings. Updates to DEER methods apply to EE technical workpaper development and custom project energy savings estimates as well as program delivery decisions.

The terminology “DEERxxxx” is used to designate the version of updated parameters and is independent of the conversion to using eTRM. The year shown reflects the program year that a given update takes effect. Beginning January 1, 2022 DEER will no longer refer to the ExAnte and Preliminary Ex Ante Review (PEAR) databases since these data will reside in the eTRM.

### **Final Priority Topic Area Updates for DEER2023 and Revised DEER2022**

On March 30, 2021, a DEER Update Scoping Document, which list the possible topic areas for the annual update, was issued for public comment. Nine stakeholders,

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<sup>5</sup> <https://www.caetrm.com>

<sup>6</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M346/K161/346161639.PDF>

<sup>7</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M232/K459/232459122.PDF>

<sup>8</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M179/K264/179264220.PDF>

including all four investor-owned utilities (IOUs), submitted comments on the DEER Update Scoping Document.<sup>9</sup> Below are the issues raised most frequently in the comments:

- Transition to electronic Technical Reference Manual (eTRM)
- Clarification of workpaper processes and responsibilities
- Moving toward EnergyPlus™-based building prototypes
- Proposed fieldname changes to reduce reporting errors
- Emphasis on GWP of refrigerants
- Need for multifamily centralized water heater system measures

The final list of topic areas being addressed in this DEER update is summarized in Table 1. The policy guidance for these updates is described in the Discussion section that follows. A more detailed technical description of the changes and additions is provided in Attachment A to this Resolution. Complete documentation and supporting material on the updated assumptions and methods and updated DEER elements such as database tables, calculators, and web pages are available at [DEERresources.com](https://deerresources.com).<sup>10</sup>

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<sup>9</sup> The Scoping Document was posted on March 30, 2021 and located at:

<https://pda.energydataweb.com/#!/documents/2490/view>

<sup>10</sup> Supporting material is available under the Main Menu > DEER Versions > DEER2023 at

<http://deerresources.com/index.php/deer-versions/deer2023>

**Table 1. DEER2023 Update Measures**

Priority	Effort	DEER Version	Update Topic Area	Sector		Measure/Tech Group						Forecasted Value				
				Res	Non-Res	Lighting	HVAC	DHW	Envelope	Plug/Process	UES	NTG	EUL	Measure Cost	Other	
Transition to eTRM																
!!!!	\$\$\$\$	2023	Further clarification of eTRM Transition Plan, Phases 2 and 3	X	X	X	X	X	X	X	X	X	X	X	X	X
DEER Update Cycle Revisions																
!!!!	\$\$	2023	Clarification of revised DEER update scope/schedule and revisions to workpaper processes	X	X	X	X	X	X	X	X	X	X	X	X	X
DEER Methodology Updates																
!!!!	\$\$\$\$	2024-2026	Migration of DEER prototypes to EnergyPlus™	X	X	X	X	X	X	X	X					
!!!!	\$\$\$	2023-2024	Update simulation weather files to CZ2022	X	X	X	X	X	X		X					
!!!!	\$\$\$	2023	Peak demand period definition update	X	X	X	X	X	X	X	X					
!!!!	\$\$	2023	Modifications to allow new load shape sources	X	X	X	X	X	X	X						X
!!!	\$	2023	Measure cost methodology and documentation guidance	X	X	X	X	X	X	X					X	
!!!	\$	2023	Refrigerant avoided costs fields added	X	X		X	X								X
!!!	\$	2023	<del>Expire all refrigerant</del> Refrigerant charge adjustment measures <u>will no longer be offered</u>	X	X		X				X					
!!	\$	2023	Clarify add-on equipment approach to EUL	X	X		X	X						X		
!!	\$	2023	Deemed GSIA table updates	X	X		X	X						X		
!	\$	2021	Custom measure usage of deemed values		X	X	X	X	X	X	X				X	
!	\$	<del>2024</del> 2023	Revisions to fieldnames for baseline clarity	X	X	X	X	X	X	X	X					
DEER Error Corrections																
!!!	\$\$	2021	DEER water heater calculator corrections for commercial buildings		X			X			X					
!!!	\$\$	2021	Commercial tankless water heater measure extensions		X			X			X					
!!!	\$	2023	Water-cooled chiller measure tier definitions		X		X				X					
!!!	\$	2020	Residential duct sealing measure missing some energy impacts	X			X				X					
!!!	\$	2021	Residential duct sealing measures normalizing unit modification	X			X				X					
!!!	\$	2021	Residential duct sealing GSIA values expiration	X				X								X

Priority	Effort	DEER Version	Update Topic Area	Sector		Measure/Tech Group						Forecasted Value				
				Res	Non-Res	Lighting	HVAC	DHW	Envelope	Plug/Process	UES	NTG	EUL	Measure Cost	Other	
!!!	\$	2021	Refrigerator/freezer measures normalizing unit correction	X	X					X	X					
!!!	\$	2020	Fuel substitution default NTG applicability clarification	X	X		X	X				X				
!!	\$\$	2023	Align residential clothes washer measures with ENERGY STAR® tiers	X						X	X					
!!	\$\$	2023	Align residential dishwasher measures with ENERGY STAR® tiers	X						X	X					
!!	\$\$	2023	Whole-house fan measure updates	X			X				X					
!!!	\$	2022	Residential gas furnace fan efficiency revision	X			X				X					
!!	\$\$	n/a	LED commercial lighting measure clarification		X	X										
!!	\$	2023	Update applicability of hard-to-reach NTG IDs	X	X	X	X	X	X	X		X				
Review of EM&V* Studies																
!!!	\$	2023-24	Upstream/midstream program tracking data guidance	X	X	X	X	X	X	X					X	
!!!	\$\$\$	2022-2023	Updates per residential 2019 EM&V	X			X	X		X	X	X				
!!!	\$\$	2023	Updates per nonresidential lighting 2019 EM&V		X	X					X	X		X		
!!	\$\$\$	2023	Updates per HVAC sector 2019 EM&V	X	X		X				X	X				
!!	\$\$	2023	Updates per small\medium commercial 2019 EM&V		X			X		X	X	X				
Review of Codes & Standards																
!!!!	\$\$	2023	Federal standards for commercial natural-gas packaged boilers	X	X		X				X					
!!!	\$\$	2023	Residential gas furnace measures revised baseline	X			X				X			X		
!!	\$	2022	New low-GWP refrigerant standards	X	X		X	X			X					
!!!	\$\$\$	2023	CEC Title 24 building efficiency updates	X	X		X				X					
!!!	\$\$\$	2022	CEC Title 20 appliance efficiency updates	X	X			X		X	X					
Review of Market and Research Studies																
!!!	\$\$	2023	Update EULs based on Group A EUL study	X	X								X			
New Measure Additions																
!!	\$\$	2023	Commercial tankless water heater		X			X			X					
!!!	\$\$\$\$	2023-2024	Multifamily central water heating systems	X	X			X			X					

\*Evaluation, Measurement &amp; Verification

**DISCUSSION**

Pursuant to D.15-10-28, the Energy Division published a DEER Update Scoping Document on the proposed list of updates for DEER2023 and revised DEER2022 and DEER2021 items on March 30, 2021. The list of topic areas that this Resolution will incorporate are summarized below and described in detail in Attachment A, DEER2023 Update Summary.

**A. Transition to Electronic Technical Reference Manual (eTRM)**

*As stated above, the DEER systems will fully transition to a new platform, the eTRM, by 2022. This resolution adopts further guidance to ensure a transparent and seamless transition.*

The eTRM was developed in response to the IOUs' consolidation of territory-specific EE measures into a set of statewide workpapers, or EE measure offerings that share the same value tables across all IOU territories. The effort was conducted under the auspices of the California Technical Forum (Cal TF) and funded by the IOUs and the State's two large publicly-owned utilities Sacramento Municipal Utility District (SMUD) and Los Angeles Department of Water and Power (LADWP). During this consolidation effort the ExAnte database (EAdb) tables and Ex Ante Database Archive (deeresource.net) file directory were standardized into the eTRM relational database format and made accessible through an online user interface. In addition, the Workpaper Project Archive (WPA section of deeresources.info) began to transition to the eTRM user interface for submission of workpapers in 2021. CPUC website deeresources.com will not be affected at this time.

Energy Division staff assessed the eTRM and determined that with certain additional enhancements the eTRM will be equipped to replace DEEResources.net as the source for current and future measure packages and the Remote Ex Ante Data Interface (READI) application as the source for public access to CPUC-approved deemed measure data.

*A.1 Updates to Terminology*

Starting with this Resolution, the following term is updated for improved consistency with the eTRM framework:

- "measure package" replaces the term "workpaper"

The definition is as follows:

*The "measure package" (formally referred to as "workpaper") is the energy efficiency measure documentation that is needed to make a deemed energy efficiency claim. This includes but is not limited to: a narrative which describes the baseline and energy efficient case features of the*

*energy saving technology, describes the methodologies to estimate energy impacts and incremental measure costs, provides citations and links to references and other supporting documentation, provides unit savings calculations and values for all combinations of the technology specific parameters.*

### *A.2 Designation as Data Source of Record*

The eTRM was adopted as Data Source of Record in Resolution E-5082 conditional on CPUC staff's determination that Phase 1 requirements in Appendix A, Table A-3 have been completed to staff's satisfaction, effective January 1, 2021. Resolution E-5082 listed the requirements that the eTRM needed to meet<sup>11</sup> to receive the designation as a CPUC-approved energy efficiency data source of record. Phase 1 enhancements<sup>12</sup> and requirements<sup>13</sup> focused on meeting the Energy Division's deemed data specification along with a direct link that synchs nightly to the Energy Division's support tables stored in the DEER database. The intent is to ensure that Program Administrators, measure developers and non-PA program implementers accessed all relevant data through the eTRM, as it had been previously accessed through [deeresources.net](http://deeresources.net) and the READI application. This resolution acknowledges that the Phase 1 requirements have been met and that the IOUs, as well as the public, may reference the eTRM in formal measure development and documentation, portfolio forecasting, and reporting claims.

~~We direct CPUC staff to~~Staff will redirect the [deeresources.net](http://deeresources.net) site to the eTRM.

Content currently stored in [deeresources.net](http://deeresources.net) continues to be maintained as a repository for archived workpapers and will be phased out at a future date. The eTRM is the source of EE measure information approved for EE planning and reporting for PY2021 and beyond.

Phase 2 requirements adopted in Resolution E-5082 are underway and progressing according to staff's revised schedule.<sup>14,15</sup>

### *A.3 Continuation of Development Activity into 2022*

Resolution E-5082 Appendix 1<sup>16</sup> states that Phase 2 work would be completed in Q3 2021, and authorized staff to make adjustments to the timeline as project development needs arose. In coordination with the IOUs, CalTF and the eTRM project management team, CPUC staff assessed the list of enhancements in E-5082 versus priorities to meet the

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<sup>11</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M346/K161/346161639.PDF>, at 8.

<sup>12</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M346/K161/346161639.PDF>, at 85.

<sup>13</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M346/K161/346161639.PDF>, at 100.

<sup>14</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M346/K161/346161639.PDF>, at 103.

<sup>15</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M346/K161/346161639.PDF>, at 106.

<sup>16</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M346/K161/346161639.PDF>, at 85.



needs of a data source of record. These modifications have not changed the objectives adopted in E-5082; instead, they enable more efficient versioning and data structures to support measure development and review. We have adjusted the Phase 2 development timeline by extending it into 2022 so the working group can address new enhancement priorities and testing needs.

#### *A.4 IOU Budgets for 2022 eTRM and CalTF Support*

In Resolution E-5082, we directed the IOUs to file a tier 1 advice letter identifying their 2021 budgets for eTRM development support. In this Resolution, we direct the IOUs to include budgets for eTRM development and CalTF support of new measure development needs in their 2022-23 Annual Budget Advice Letter filings, with a short description and table illustrating the proposed budgets in the narrative so that staff can understand and approve the budgets along with the other forecasted activities for 2022 and 2023. The activities directed by this resolution are listed in Appendix 1. Consistent with E-5082 direction, the IOUs may use either EE implementation program or EM&V funds for the eTRM activities but not exceed ~~e~~ EE portfolio budget caps as ordered in D. 18-05-041 to fund the eTRM development.<sup>17</sup> IOUs shall identify the funding sources for eTRM and CalTF in their 2022-2023 ABAL filings.

#### *A.5 Ownership and Financial Responsibility of eTRM 2022 and Beyond*

Ultimately, the ~~eTRM will become an integrated part of~~ Energy Division will integrate the eTRM with the California Energy Data and Reporting System (CEDARS) when the Energy Division receives authorization by the California Department of Technology for the appropriate IT procurement activities. The IOUs may pass financial responsibility for ongoing eTRM maintenance and development to the CPUC when the CPUC's IT procured contracts to fund and support the platform are underway in 2022. At this point, the Energy Division will ~~own and~~ manage the eTRM indefinitely, including vendor contracting, funding, development and maintenance. The Energy Division will continue to coordinate with the members of the CalTF who co-funded the eTRM's development to ensure it provides value to those stakeholders. The Energy Division will discuss necessary revenue agreements in 2021 to facilitate the ~~financial~~ issues concerning joint ~~ownership~~ funding with the POUs and possibly other state agencies in the future.

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<sup>17</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M346/K161/346161639.PDF>, at 11.

## B. DEER Update Cycle Revisions

### B.1 Changes ~~due~~Due to Decision D.21-05-031

Decision D.21-05-031<sup>18</sup> makes several changes that affect the DEER Resolution update. It delegates CPUC to make future updates to the DEER and measure package submittal, review, and approval processes via the resolution, removes the DEER versus non-DEER distinction for deemed ex ante values, and revises the scope of the DEER Resolution.

The new scope of the resolution will encompass:

- approval of deemed ex ante values
- direction of research needs
- management of deemed ex ante value process
- adoption of a locked, two-year version of deemed values to be used in forecasting, portfolio planning, and savings claims

It further changes the DEER Resolution date from September 1 to November 1 and to be issued in even years rather than every year.

In addition, the decision directs that “current processes in Table 2 will be replaced with new processes developed in the DEER resolution process. Commission staff will continuously manage this process, including instituting the necessary changes, through the DEER resolution process from now on.”<sup>19</sup> Current processes for any items not addressed in this Resolution shall remain unchanged.

These changes are further detailed in the sections below. We clarify that the direction and timeline adopted in this Resolution may be updated in subsequent resolutions as needs and resources change over time.

**Table 2. Summary of Changes to Measure Package Submission, Review, Approval, and Effective Dates**

Item	Reference	Relevant Section(s)
Changes in DEER – January 1 deadline for updates	D.15-10-028 at 84	B.2, B.3
New/updates to measures that do more than just update values to conform with revised DEER values - May come in at any time or on first and third Monday, respectively	D.15-10-028 at 84	B.2, B.4
Measure package review “clock”	D.15-10-028 at 103	B.2, B.5, B.6
Measure package submissions	D.15-10-028 at 103	B.2, B.4, B.5, B.6
Interim approval	D.12-05-015 at 334	B.5
Dispute resolution	D.12-05-015 at 335	Not addressed in this resolution

<sup>18</sup> <https://docs.cpuc.ca.gov/SearchRes.aspx?docformat=ALL&docid=385864616>

<sup>19</sup> <https://docs.cpuc.ca.gov/SearchRes.aspx?docformat=ALL&docid=385864616>

Item	Reference	Relevant Section(s)
Annual measure package submittal plan – October submittal	D.15-10-028 at 103	B.2. B.3

Source: D.21-05-031

### *B.2 Changes to DEER Cycle Timeline*

D.21-05-031 delegated to the DEER Resolution the management of submission, review, and approval of deemed ex ante values process, including the schedule for measure package submissions.

This DEER2023 Resolution (PY2023) establishes the transition from the annual DEER cycle to the new two-year cycle which starts with the DEER2024 Resolution (PY2024-25). Therefore, this is the last resolution under the annual update process with updates effective PY2023.<sup>20</sup> For PY2023 measure packages, PAs shall make necessary adjustments to savings estimates based on research and modeling and submit the measure packages for CPUC staff review and approval.

In compliance with the new process, the DEER2024 will be the first Resolution to fully meet D.21-05-031 and lock in values to be used for planning and claims for PY2024-25. Per the new process, this Resolution directs PAs to update and make necessary adjustments to savings estimates based on research and modeling and submit the measure packages for CPUC staff review and approval. Staff-approved values are then presented in the draft DEER2024 Resolution for adoption. Adopted values will remain locked for planning and claims in PY2024-25. PAs may still submit new measures during the cycle, but ex ante values adopted in DEER2024 will remain locked. Mid-cycle error corrections (i.e., correction of typographical and clerical errors, and other obvious, inadvertent errors and omissions) will be handled on a case-by-case basis and assessed based on their impact to the portfolio.

Finally, this Resolution sets forth the modified processes and schedule for submission of measure packages for CPUC staff approval. A summary of the schedule is provided in Table 3. Additional detail is provided in the sections that follow.

**Table 3. DEER Update Cycle Transition Timeline**

Program Year(s)	Description	Responsible Party	Due Date	Approval Date	Effective Date
2023	Draft DEER2023 Update Resolution	CPUC	2021-06-01	-	-
	DEER2023 Update Resolution	CPUC	-	2021-09-01 (expected)	2023-01-01*
	Measure Package Update Schedule	PAs/Stakeholders	2021-10-01**	-	-
	Measure Package Submittals	PAs	2022-03-01	2022-10-03***	2023-01-01

<sup>20</sup> For any remaining DEER and non-DEER measures.

Program Year(s)	Description	Responsible Party	Due Date	Approval Date	Effective Date
2024-25	Scoping Document	CPUC	2022-05-01	-	-
	Measure Package Update Schedule	PAs/Stakeholders	2022-03-01	-	-
	Measure Package Submittals	PAs	2022-06-01	2022-08-01+	2024-01-01
	Draft DEER2024-25 Update Resolution	CPUC	2022-08-01	-	-
	DEER2024-25 Update Resolution	CPUC	-	2022-11-01 (expected)	2024-01-01*

\* There may be exceptions when updates become effective one year earlier.

\*\* Date shown or 30 days following the adoption of DEER2023 Resolution, whichever is later.

\*\*\* Date approval needed to meet the 90-Day Delay of Effective Start Date.

+ Per draft Resolution release, final approval once Resolution adopted

### B.3 Changes to Measure Package Update Schedule

The schedule for measure package updates for the coming years is provided in Table 4 and is further detailed in the sections below. These updates result from the adoption of CZ2022 weather data (see Section C.2), concurrent time-of-use (TOU) changes (Section C.3), final results from the 2019 Residential Appliance Saturation Study (RASS) conducted by the California Energy Commission, and EM&V results.

**Table 4. Schedule for Measure Package Updates PY2023 and PY2024-25**

Program Year, Effective Date	Sector	Update Detail	Data Availability	Relevant Section(s) in this Resolution	Estimated Number of Measure Packages	Measure Package Submittal Deadline
PY2023, 2023-01-01	Non-Res.	Weather-dependent measures only	Weather data currently available	C.2, C.3	59	2022-03-01
	Res.	Non-weather-dependent measures – RASS only	Weather and RASS data currently available	C.1	40	2022-03-01
PY2024-25, 2024-01-01	Res.	Weather-dependent measures – RASS and new prototypes	February 2022 for updated prototypes	C.1, C.2, C.3	47 (2 MFm+)	2022-06-01
	Res. & Non-Res.	EM&V results from 2022	March 2022 for draft results	I	10*	2022-06-01

\* Specific list per EM&V plans expected July 2021 – see Section B.6 for additional detail

+ MFm is the DEER abbreviation for multifamily residences

#### B.3.1 Measure Package Update Schedule for PY2023

CPUC staff will work with PAs to set a prioritized schedule of updates for all PY2023 measure packages as indicated in Table 4. PAs may complete additional updates to those measure packages beyond the detail outlined in Table 4 during this time. Examples of such updates may include, but are not limited to costs, new study data, and EM&V results as outlined in Section B.6. All updated measure packages must be

submitted by March 1, 2022. No measure package revisions will be accepted after this date for PY2023.

### *B.3.2 Measure Package Update Schedule for PY2024-25*

~~CPUC staff~~Staff will work with PAs to set a prioritized schedule of updates for all PY2024-25 measure packages resulting from updates shown in Table 4. PAs may complete additional updates to those measure packages beyond the detail outlined in Table 4 during this time. Examples of such updates may include, but are not limited to costs, new study data, and EM&V results as outlined in Section B.6. Only measure packages adopted in the DEER2024 will be included in the set of deemed measures for the PY2024-25 program cycle. The last day to submit measure packages for consideration in the 2024-25 vintage of deemed values will be June 1, 2022 to be approved for the DEER2024 Resolution on November 1, 2022.

~~CPUC staff~~Staff will work with the PAs to develop a schedule of submissions so controversial measure packages are submitted well before the standard three-month timeframe for review and approval to avoid delays. It is the responsibility of the PAs to follow the agreed schedule for submissions or risk measure packages not being included in the DEER resolution and therefore not receiving approval.

### *B.4 Changes to Measure Package Submittal, Review, and Approval Process*

We adopt the following modified rules for measure package submittal, review, and approval.

#### *B.4.1 Submittal of Updated Measure Packages (PY2023 and beyond)*

Measure packages updated in accordance with the schedule outlined in B.3 will be submitted, reviewed, and approved on a rolling basis and will have effective start dates in accordance with Section B.5.

#### *B.4.2 Submittal of New Measure Packages, New Measures Added to Existing Measure Packages, and Remaining Updates for PY2022*

- 1) All new measure package submissions, may be submitted at any time during the cycle, and independent of the exact time submitted, will be considered to have been submitted on the first or third Monday of the month; measure packages actually submitted after the close of business of the first Monday will be considered submitted on the third Monday and measure packages submitted after the close of business of the third Monday will be considered submitted on the first Monday of the following month. This will be herein considered the “original submittal date.”
- 2) PAs will be required to submit a measure package plan (previously workpaper plan) (MPP) for review and approval prior to a *new* measure package submission. In

addition, CPUC may require MPPs for select measure package revisions. Furthermore, PAs requesting feedback from CPUC on in-development measures packages must submit ~~aan~~ MPP.

- 3) CPUC has a 15-day (calendar) preliminary review period following the original submittal date to identify any additional information necessary to support approval of the measure package. In this event, CPUC will post a request for information which will stop the review clock. If no request for information is posted, CPUC has an additional 20-day (calendar) detailed review period to conduct the review and issue an approval or rejection.
- 4) Upon receipt of a measure package resubmission with all necessary information, CPUC has a 35-day (calendar) review period which starts on the day of the measure package resubmission date. If the CPUC does not issue a disposition by the end of the 35-day review period, a measure package will achieve interim approval.
- 5) In certain instances, for example, when a large batch of measure packages are submitted in quick succession or management approval of a complex measure package is needed, CPUC may request additional time to review or to conduct Senior Management review. CPUC will provide notice to PA if additional time is necessary to review measure packages.

#### *B.5 Changes to Measure Package Effective Start Date*

Measure packages updated in accordance with the schedule outlined in B.3 are subject to the effective start date as outlined in Table 3.2). New measure packages and updates to existing measure packages that solely include the addition of new measures are effective the day after approval.

#### *B.6 EM&V and DEER ~~updates~~Updates*

**As part of the Transition process, this Resolution, DEER2023, instructs PAs to work with CPUC staff to determine EM&V results being released in the 2022 EM&V bus stop that affect DEER measures.** Close coordination may be necessary due to the compressed timeline during transition period and to ensure EM&V studies finalized in 2022 are considered for the DEER2024 adoption.

**The DEER2024 will provide direction for prospective research to inform updates to specific measures to be considered for adoption in the DEER2026 and beyond.**

D.21-05-031 does not make changes to the annual EM&V bus stops adopted in D15-10-028. We believe this is an opportunity to improve scope and allocation of EM&V funds to include not only retrospective evaluations but also prospective research that



supports DEER updates. We therefore direct staff to consider both retrospective and prospective research needs when assessing annual EM&V priorities.

### C. DEER Methodology Updates

DEER methodology updates affect the methods and approaches used to generate measures savings and supporting energy savings parameters, such as net-to-gross (NTG), effective useful life (EUL), unit energy savings (UES), and incremental measure costs (IMC). An update to DEER methodology could alter the savings values, database structure, building prototype models, or the use of DEER database table contents in measures. The changes for this cycle include launching a feasibility ~~study~~assessment to explore transitioning from the current eQUEST™/DOE2™-based building prototypes to EnergyPlus<sup>TM</sup> prototypes, updating weather files and associated peak demand period, accounting for avoided costs of refrigerants, along with other guidance and clarifications.

#### *C.1 Feasibility Study for Transitioning to EnergyPlus<sup>TM</sup>*

**CPUC staff will conduct a feasibility ~~study~~assessment to assess the options for transitioning to an EnergyPlus-based system and away from the current eQUEST/DOE2-based system.** From our ongoing analysis of the 2019 RASS data and other updates considered for the existing deemed building prototype system, it was clear that a forward-focused, significant overhaul of the existing system is needed rather than incremental modification and streamlining as described in the DEER Scoping Document.<sup>21</sup>

2019 RASS data based updates, including any measure assumptions based on previous RASS values of unit energy consumption (UEC) or number of installed units, should be incorporated into measure updates for PY2023. Updates to residential weather dependent measures that require prototype updates based on RASS data will be delayed to PY2024 so the weather and prototype based updates can be combined.

#### *C.2 Update Simulation Weather Files to CZ2022*

**Simulated and weather-dependent deemed measures will be updated using CZ2022 weather data for PY2023.** New weather files (CZ2022) were created using a 20-year period from 1998 through 2017. The California Energy Commission (CEC) will be adopting new time dependent valuation (TDV) costs and the CZ2022 weather data for

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<sup>21</sup> "Solicitation for Comments on Scope of Update for Database of Energy Efficiency Resources for program year 2023 (DEER2023) and error corrections for program years 2021 and 2022", March 30, 2021, [https://pda.energydataweb.com/api/downloads/2490/DEER2023 Scoping Document\\_FINAL.pdf](https://pda.energydataweb.com/api/downloads/2490/DEER2023%20Scoping%20Document_FINAL.pdf).

the 2022 Title 24 update, effective January 1, 2023, so in order to align with the CEC, weather-dependent deemed measures will be updated using CZ2022 weather data, with updated deemed savings values effective for program year PY2023. As discussed in Section B.3, residential weather dependent measure updates will be delayed to PY2024 so prototype updates, if completed, can be combined with the weather updates. A new DEER field will be added to indicate the weather file used to determine unit energy savings, added energy loads, and associated load shapes.

### *C.3 Peak Demand Period Definition Update*

**Peak demand days for each climate zone are updated per CZ2022 weather data and must be used to determine kW savings whenever CZ2022 is used.** For the peak kW consumption to be accurately calculated, the peak demand period dates need to be updated to align with the new building simulation weather files. In about half the climate zones, the month during which the peak days occur has shifted, but not consistently in either direction.

### *C.4 Modifications to Allow New Load Shape Sources*

**All avoided cost combos listed in the CET specifications on CEDARS<sup>22</sup> may be used for claims and for measure package development.** New sources of generalized load shape parameters will be considered for measures when they originate either from impact evaluations or studies conducted to support measures or they are produced through simulation results that employ advanced metering infrastructure (AMI) analysis. These new generalized load shape parameter submissions will be reviewed through the measure package review process and—if accepted—included as candidates for processing into avoided cost combos for program year 2023 and beyond, see Section B for changes to the measure review process.

### *C.5 Measure Cost Methodology and Documentation Guidance*

**The DEER2023 update expires out-of-date values and revises the fields contained within the measure cost tables to align with the eTRM transition.** The DEER2023 update also clarifies the following to ensure the gross incremental measure costs are aligned: measures with the same standard/code baseline equipment use the same baseline costs; accelerated replacement costs are defined; fuel substitution labor costs are accounted for; and guidance for excluding non-energy costs.

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<sup>22</sup> <https://cedars.sound-data.com/cet/ui/spec/avoidedcostcombo/>



*C.6 Refrigerant Avoided Costs*

**The reporting of refrigerant leakage avoided costs is required for PY2022 claims and enabled by the refrigerant avoided cost calculator.** Documentation of the refrigerant avoided cost inputs and a copy of the refrigerant avoided cost calculator are required for all measure packages involving equipment that contains refrigerant, where the retrofit involves adding (not replacing) equipment with refrigerant – these include fuel substitution, electric resistance to heat pump measures, or where low-GWP refrigerant benefits will be claimed. PAs updates resulting from this new requirement will be addressed through an addendum to each affected measure package and will need to be submitted by December 1, 2021. CEDARS/CET inputs contain both refrigerant benefits and refrigerant costs and should be used where appropriate. New refrigerant avoided cost fields will need to be added to the eTRM permutations.

*C.7 Expiring All Refrigerant Charge Adjustment Measures*

**The DEER2023 update expires all deemed refrigerant charge adjustment measures as of December 31, 2022.** This action is taken based on the recommendations of a study, “A Roadmap for Accelerating the Adoption of Low-Global Warming Potential HVAC Refrigerants.”<sup>23</sup> The RCA measures have garnered relatively low realization rates across years of evaluations<sup>24</sup> partly because most RCA claims were for small adjustments to refrigerant levels<sup>25</sup> which has a small effect on HVAC efficiency<sup>26</sup>. We are discontinuing this measure because small adjustments to refrigerant charge are not necessary whereas large adjustments to refrigerant charge require the leak is fixed, but the repair is not currently required in the RCA measure. PAs are welcome to submit a newly revised RCA measure, that would minimize hooking up gauges, and would require repair of leaks before adding charge.

*C.8 Clarify Add-on Equipment Approach to EUL*

**This resolution allows ~~increasing exceptions to the EUL of the host equipment to an add-limit on measure where the life of the AOE if the life of~~ the add-on measure is**

<sup>23</sup> “A Roadmap for Accelerating the Adoption of Low-Global Warming Potential HVAC Refrigerants,” by DNV GL for CPUC, May 3, 2021. (<https://pda.energydataweb.com/#!/documents/2506/view>)

<sup>24</sup> The evaluated gross realization rates for residential RCA measures from 2006 through 2019 have averaged 33 percent; for commercial, it is slightly better at 47 percent.

<sup>25</sup> “Impact Evaluation of 2015 Commercial Quality Maintenance Programs” 2015.  
[http://www.calmac.org/publications/HVAC3\\_2015\\_FINAL\\_Impact\\_Report.pdf](http://www.calmac.org/publications/HVAC3_2015_FINAL_Impact_Report.pdf)

<sup>26</sup> R. Mowris, E. Jones, R. Eshom, K. Carlson, J. Hill, P. Jacobs, J. Stoops. 2016. Laboratory Test Results of Commercial Packaged HVAC Maintenance Faults. Prepared for the California Public Utilities Commission. [http://www.calmac.org/publications/RMA\\_Laboratory\\_Test\\_Report\\_2012-15\\_v3.pdf](http://www.calmac.org/publications/RMA_Laboratory_Test_Report_2012-15_v3.pdf)

not ~~installed directly unaffected by the life of the host equipment~~. The Add-On Equipment (AOE) definition states that the EUL of the add-on equipment measures is capped at the RUL of the host equipment. While the default RUL of all equipment is set at one-third the EUL, D.12-05-015, at 347, grants leeway when “further study results are available to establish more accurate values.” As stated in the preponderance of evidence, AOE measures utilize the RUL of the host equipment up to and not to exceed the EUL for the AOE measure.<sup>27</sup> We authorize CPUC staff to amend AOE MATs, even if previously assigned in resolutions, through the deemed measure review process when appropriate.

#### *C.9 Clarify Approach to DEER GSIA Table Updates*

The DEER2023 Update will create a new installation rate table and update the Gross Savings and Installation Rate Adjustment (GSIA) installation rate values stored in the table.<sup>28</sup>

#### *C.10 Custom Measure Usage of Deemed Values*

~~Deemed measures can be processed through the custom programs as long as the deemed savings values are used and deemed rebates amounts are not exceeded.~~

Deemed measures may sometimes be processed through custom programs to simplify the application process for a customer’s convenience and to avoid multiple applications. Custom projects that include deemed measures, however, are required to use deemed values for energy savings and ~~cost assumptions. In the past, some deemed measures were reported as custom projects in order to increase the rebate amounts.~~where appropriate retain deemed rebate amounts. In all cases where there is not an available deemed rebate amount, it must be documented and supported by evidence the rational for using the customized program incentive rate in the project documentation files. Whole building and whole system projects (such as NMEC-approved building programs) are excepted from using deemed savings values when processed through custom or calculated platforms.

#### *C.11 Revisions to Fieldnames for Baseline Clarity*

We require the CEDARS, CET, and eTRM fieldnames to be updated to improve clarity regarding savings baselines by using abbreviations for “Standard” and “Pre-

<sup>27</sup> Section 2.2.5 of “Early Retirement Using Preponderance of Evidence” (also Resolution E-4818, p. 24) <http://www.cpuc.ca.gov/WorkArea/DownloadAsset.aspx?id=5325>.

<sup>28</sup> The GSIA is a DEER adjustment factor that combines the Realization Rate and Installation Rate (CPUC Energy Efficiency Policy Manual). Note that for CEDARS claims, the reported deemed measure realization rate (RR) is always 1, and for custom projects the reported installation rate (IR) is always 1.

**existing” baselines in place of “1<sup>st</sup>” and “2<sup>nd</sup>” baselines.** Final 2019 claims reporting data showed that “1<sup>st</sup>” and “2<sup>nd</sup>” baseline savings were sometimes erroneously swapped for some Measure Application Types.

#### **D. DEER Error Corrections and Clarifications**

Major changes were made to the DEER system under the DEER2020 Update. During this effort, the building prototypes, modeling approach, and peak demand period were significantly modified, ~~but without ample time to thoroughly vet those updates. Although many errors and issues identified after the adoption of Resolution E-4952 DEER2020 Update have since been remedied.~~ The DEER2020 Update remedied many issues, but since then several more issues were uncovered or remain as described in the sub-sections that follow.

##### *D.1 DEER Water Heater Calculator Corrections for Commercial Building Type*

**This update corrects inconsistencies discovered in the water heater calculator.** The prior version of the calculator (version 4.1) miscalculated the weighted averages of the savings across all commercial building types (Com). A water heater calculator was re-issued (version 4.2) and the Preliminary Ex Ante Review (PEAR) and ExAnte energy impact records were updated.

##### *D.2 Large Commercial Tankless Water Heater Savings*

**Due to updated energy savings estimates in the DEER water heater calculator methodology, six large tankless commercial water heater measures that expired on December 31, 2020 are extended for use through December 31, 2022.**

##### *D.3 Water-cooled Chiller Measure Tier Definitions*

**The 2023 DEER update will revise the efficiency tiers for Path B water-cooled chiller tiers** such that they exceed Title 24 minimum efficiency requirements as follows: a) Tier 1 at 7 percent full-load efficiency improvement and b) 12 percent part-load efficiency improvement and Tier 2 at 7 percent full-load efficiency improvement and 17 percent part-load efficiency improvement.

##### *D.4 DEER2020 Residential Measures Missing Some Energy Impacts*

**Energy Impact records for 92 residential measures were missing for the multifamily (MFm) and mobile home (DMo) building types in three climate zones (CZ): CZ14, CZ15, and CZ16. For these zones, the missing Energy Impact records were added, and the Res building type records were corrected.**

*D.5 Residential Duct Sealing Measures Normalizing Unit Modification*

~~For~~ **This resolution changes the normalizing unit for furnace-only HVAC systems it makes more sense from Cap-Tons to use Cap-kBTU/h as the normalizing unit rather than Cap-Tons.** The Energy Impact records using kBtu/h as the normalizing unit for BldgHVAC=NCGF were uploaded to PEAR.

*D.6 Residential Duct Sealing GSIA Values Expiration*

**Duct sealing measures will use the GSIA default value of 1.0.** The previous GSIA values for duct sealing GSIA\_IDs were based on EM&V from 2006-08 and are out of date. The 2018 EM&V realization rate is 95% based on billing analysis that captures both realization rate and installation rate so the default GSIA value is justified.

*D.7 Refrigerator/freezer Measures Normalizing Unit Modification*

**The refrigerator/freezer normalizing unit was updated to “Household” instead of “Area-ft2.”** The Energy Impact records were revised to reflect the change to NormUnit, but no changes were made to the unit energy savings.

*D.8 Fuel Substitution Default NTG Applicability Clarification*

**The delivery type options for the “FuelSubst-Default” NTG ID were corrected** so that this NTG ID is now available for use with fuel substitution measures offered through any delivery type.

*D.9 Align Residential Clothes Washer Measures with ENERGY STAR® Tiers*

**To align existing DEER measures with the applicable standards, all three tiers of the front- and top-loading clothes washer measures are updated.** This update was necessary because the current ENERGY STAR Version 8.0 clothes washer program requirements use efficiency tiers that did not align with the measures available in DEER.

*D.10 Align Residential Dishwasher Measures with ENERGY STAR® Tiers*

**To align existing DEER measures with the applicable standards, all three tiers of standard capacity dishwasher measures are updated.** This was necessary because the EPA has increased the minimum efficiency requirements for residential dishwashers, decreasing the annual energy consumption allowance for standard sized models to 240 kWh/year.

*D.11 Whole-house Fan Measure Updates*

**The DEER whole-house fan measure modeled savings are increased based on a review of related EM&V studies.** The DEER whole-house fan measure savings were thoroughly reviewed, and the methodology was documented. One of the DEER savings assumptions, that occupants open windows and run the fan half the time that savings are available, is not based on any concrete evidence. The savings were compared to CEC-modelled savings and compared to two California EM&V studies based on measured savings. The comparison suggests that earlier DEER whole-house fan savings are too low.

*D.12 Residential Gas Furnace Fan Efficiency Revision*

As a result of an update to the Federal standards that now require an electrically commutated motor in all residential furnaces, the electric savings for the DEER2020 furnace upgrade measures will decrease to zero for ten high-AFUE measures and the standard-AFUE furnace measure that only offers a high-efficiency motor upgrade will be expired.

*D.13 LED Commercial Lighting Measure Clarification*

All but three LED lighting measures were expired in 2020 due to LED becoming the baseline. Three commercial measures were retained by using a higher-performance LED, as measured in lumens per watt (lm/W). Resolution E-4952 DEER2020 Update (published in 2018) set the base and measure cases using the Lighting Facts database, but this database was not updated after 2018 and could no longer be used to set efficacy standards.

In a November 3, 2020, disposition letter, the 2021 measure package expiration dates were extended to December 31, 2022, due to the impact of COVID on markets. The expiration date for the three lighting measure packages was again extended to December 31, 2023. **Program administrators are instructed to submit revised measure packages reflecting revised efficacy assumptions by June 1, 2022 such that those measures can become effective no later than January 1, ~~2023~~2024.** (See Section B for changes to the measure package review process.)

**E. Updates Based on Review of EM&V Studies**

CPUC reviewed recent EM&V findings and updated savings estimate parameters or approaches and NTG values where they indicate a substantial difference from current DEER values. EM&V sector evaluation results and/or special studies will continue to be some of the primary sources for measure updates. Evaluation results with acceptable rigor and precision are used to update measure assumptions.

*E.1 Upstream and Midstream Program Tracking Data Requirements*

**CPUC ~~will require~~ emphasizes the need for PAs to provide site-specific data consistent with the CA EM&V protocols for all claims and eliminate the current exception for upstream and midstream delivery types. This change is** **For PY2023, we direct the PAs to work with CPUC staff to develop the specification for each measure and to populate the “Data Collection Requirements” section of the deemed measure template. Detailed data requirements can be developed during the workpaper process or in the EM&V project coordination groups. These changes are** required to address recurring concerns with upstream and midstream programs, and systematically capture the data needed to evaluate these programs. The ~~detailed~~ **general** requirements ~~and data specification~~ are provided in the California EM&V Protocols<sup>29</sup> and an example of the updated data specification is provided in Attachment Section 5.1. ~~We also direct the PAs to populate the “Data Collection Requirements” section of the deemed measure template, working with evaluation staff to develop the specification for each measure.~~

*E.2 Updates per Residential Sector 2019 EM&V*

**The NTG ratios for natural gas water heaters, multifamily water heater control measures, and smart controllable thermostats (SCT) will be updated based on the 2019 EM&V studies.** Natural gas tankless storage water heater NTG values will decrease to 0.40 from 0.55, while multifamily recirculating pump demand control and multifamily temperature controller measure NTG values will increase to 1.0 and 0.94, respectively, for direct install programs. **The SCT update for PY2022 based on 2019 EM&V results will be considered via the measure package update process, see Section B for changes to the measure package review process.**

*E.3 Updates per Non-residential Lighting 2019 EM&V*

**We direct the use of the 2019 EM&V results to update the NTG values for both LED fixtures and TLEDs to 0.65 for PY2023.** The PY2019 evaluation provided the first opportunity to conduct NTG research for the LED lighting measures that use an LED baseline.

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<sup>29</sup> “California Energy Efficiency Evaluation Protocols: Technical, Methodological, and Reporting Requirements for Evaluation Professionals”, April 2006, pp. 209-210, [https://www.cpuc.ca.gov/-/media/cpuc-website/files/uploadedfiles/cpuc\\_public\\_website/content/utilities\\_and\\_industries/energy/energy\\_programs/demand\\_side\\_management/ee\\_and\\_energy\\_savings\\_assist/caenergyefficiencyevaluationprotocols.doc](https://www.cpuc.ca.gov/-/media/cpuc-website/files/uploadedfiles/cpuc_public_website/content/utilities_and_industries/energy/energy_programs/demand_side_management/ee_and_energy_savings_assist/caenergyefficiencyevaluationprotocols.doc).



*E.4 Updates per HVAC sector 2019 EM&V*

**CPUC will update residential sector NTG values for fan motor control (0.88), condenser coil cleaning (0.8), and the refrigerant charge adjustment measure must be discontinued by 12/31/2023~~2022~~.** The HVAC measure groups that were evaluated for PY2019 include both commercial and residential sectors. There are no recommended commercial sector updates to DEER measures but were several updates to residential measures. In addition, the refrigerant charge adjustment (RCA) measure will be discontinued at the end of 2023 to reflect CPUC low-GWP refrigerant guidance recommendations.

*E.5 Updates per Small/Medium Commercial sector 2019 EM&V*

**CPUC will update the NTG value for ozone process laundry from 0.60 to 0.70 based on the EM&V results.** A total of four small/medium commercial measure groups were evaluated for PY2019 but this was the only measure that warranted an update or had not already been updated last year.

**F. Review of Codes and Standards**

There were updates to natural gas packaged boiler and residential gas furnace measures based on federal code revisions. There are no CEC Title 20 Appliance Efficiency related updates because most Federal appliance and equipment efficiency standard updates were suspended.

*F.1 Federal Standard for Commercial Natural Gas Packaged Boilers*

**Changes to the federal standard, effective January 10, 2023,<sup>30</sup> increase the minimum efficiency ratings for all but the very large commercial packaged boilers,** resulting in changes to the energy savings for high-efficiency space-heating boilers and instantaneous tankless water heaters.

*F.2 Federal Standard for Residential Gas Furnace Fan*

**Due to a Federal requirement for ECM motors in all residential furnaces, the electric savings for all high-AFUE furnaces will decrease to zero.**

*F.3 New Low Global Warming Potential Refrigerant Standards*

Under California State Senate Bill (SB) 1383, the CPUC and other state regulatory agencies are called upon to assess the operational performance of refrigerants with Low Global Warming Potential (GWP) and to develop a strategy to encourage the adoption

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<sup>30</sup> <https://www.govinfo.gov/content/pkg/FR-2020-01-10/pdf/2019-26356.pdf>

of those low-GWP refrigerants in equipment funded by energy efficiency programs overseen by the CPUC. The most recent California Air Resources Board (CARB) proposed amendment to regulations on HFCs will delay the transition timing from 2023 to 2025. **When the new low-GWP regulations are in place, the baselines for affected deemed measures containing refrigerant will need to be updated through the measure package submittal process, see Section B for changes to the measure review process.**

#### *F.4 CEC Title 24 Building Energy Efficiency Code Updates*

**The 2022 Building Energy Efficiency Standards have not been finalized.** These changes reflected in the new code will need to be incorporated as measures are naturally revised. They will also be applicable to the new era prototype that will be developed and become effective after 2025 when newly constructed buildings reflect the 2022 Title 24 code.

#### *F.5 CEC Title 20 Appliance Efficiency Code Updates*

No updates to the 2022 Appliance Energy Efficiency Standards are currently anticipated because most Federal appliance and equipment efficiency standard updates were suspended. If these updates are restarted and fast-tracked, it could require a major effort to update deemed measures since there are a significant number of suspended commercial and residential equipment and appliance updates.

### **G. Review of Market and Research Studies**

#### *G.1 Update EULs Based on Group A EUL Studies*

**Whole building EULs are revised for DEER2023 and vary—between 10.6 to 15.9 years—depending upon the proportion of annual savings that are electric.**

### **H. New Measure Additions**

CPUC will be implementing several new DEER database measures for 2023.

#### *H.1 Commercial Tankless Water Heater*

**A new measure will be added for a very high efficiency tankless water heater having a minimum thermal efficiency of 0.96.** Presently, deemed savings for this technology are only available in DEER for two efficiency tiers, but PAs have demonstrated that higher efficiency equipment has become available in the market to support a third tier. As this is a DEER method, additions or updates to measures must be approved and added by staff.



*H.2 Multifamily Central Water Heating Systems*

**New measures will be added for efficient centralized service hot water systems that are typical at some multifamily buildings.** These will be generated by first migrating the DEER multifamily eQUEST building prototypes to EnergyPlus<sup>TM</sup> (E+) and then modeling a centralized hot-water system.

**I. 2022 EM&V Updates**

CPUC staff will coordinate with PAs to identify measures affected ~~for~~<sup>by</sup> 2022 EM&V studies and which updates could be considered for the DEER2024, to ~~proactive~~<sup>proactively</sup> plan the development of measure packages as soon as studies are under way.

**COMMENTS**

Public Utilities Code section 311(g)(1) provides that this resolution must be served on all parties and subject to at least 30 days public review. Please note that comments are due 20 days from the mailing date of this resolution. Section 311(g)(2) provides that this 30-day review period and 20-day comment period may be reduced or waived upon the stipulation of all parties in the proceeding.

The 30-day review and 20-day comment period for the draft of this resolution was neither waived nor reduced. Accordingly, this draft resolution was mailed to parties for comments, ~~and will be placed on the Commission's agenda no earlier than 30 days from today~~ June 9, 2021.

On July 6, 2021, comments on the draft resolution were submitted by San Diego Gas & Electric Company (SDG&E), Southern California Edison Company (SCE), Southern California Gas Company (SoCalGas), Pacific Gas & Electric Company (PG&E), California Municipal Utilities Association (CMUA), VERIFIED Inc., GreenFan Inc. (GreenFan), and joint comments from the California Efficiency + Demand Management Council (the "Council"), The Energy Coalition, National Association of Energy Service Companies (NAESCO) and the Natural Resources Defense Council (NRDC) referred to as the Joint Parties below. A summary of the comments requesting changes and updates to this Resolution and the CPUC response to those comments is provided below. Comments and responses are presented using the same headings in the preceding Discussion section. Comments involving typos have been omitted and all typos have been corrected in this document. Some comments were deemed out of scope and not addressed.

## A. Transition to eTRM

### A.1 Updates to Terminology

There were no comments on this topic.

### A.2 Designation of the eTRM as a Data Source of Record

Southern California Edison supports the CPUC's pathway for transition to eTRM.

CPUC Staff will release a transition plan to explain how data are updated and new regulatory oversight functionality within the eTRM going forward.

### A.3 Continuation of Development Activity into 2022

There were no comments on this topic.

### A.4 IOU Budgets for 2022 eTRM and CalTF Support

SDG&E reaffirms that the budget to support the 2022 eTRM and associated CalTF support should continue to be funded out of the respective IOU EM&V budgets. They suggest that the CalTF budget may also be funded out of direct implementation budgets as part of the engineering support for the programs, while the eTRM budget continues to be classified as an appropriate EM&V activity.

The Joint Parties strongly recommends that the CPUC order the IOUs to restore the Cal TF budget to levels sufficient to continue driving meaningful implementation of the eTRM and associated processes. They express concern that Cal TF's budget in 2022 has been diverted to software development with minimal budget available for measure updating activities and that CalTF may cease to be a viable organization due to severe limitations on funding for non-eTRM development related activities.

CMUA also strongly supports the continued operation and funding for Cal TF in perpetuity.

The IOUs should include eTRM development costs in their 2022 budget and IOUs may categorize eTRM development as an EM&V activity. Due to uncertainties in contracting, even though we expect to finalize the IT procurement activities in 2022, we believe it is prudent for IOUs to budget for eTRM development and support for 2023 in addition to 2022 and amend this resolution accordingly.

We agree with Joint Parties and CMUA that continued support for CalTF is valuable. The IOUs should therefore include funding for CalTF Policy Advisory and Technical Forum activities, which are separate from eTRM development, for years 2022 and 2023 in their ABAL filings at 2018-2019 average levels. We agree with

SDG&E that support for CalTF may be considered either program- or evaluation-related, and therefore grant the IOUs the discretion to determine whether to categorize the activity as program implementation funding or EM&V for budgeting purposes.

#### A.5 Contracting and Financial Responsibility of eTRM 2022 and Beyond

SCE requested that the Commission clarify that it intends to undertake financial and contracting responsibility for ongoing eTRM maintenance and development.

CMUA commented that the reference in the Draft Resolution of “revenue sharing agreements” is incorrect as there are none. CMUA asks that the Draft Resolution be revised to correct all references to “revenue sharing” etc. be changed to language such as “joint ownership agreement.” They also recommended ED staff to work with the Joint POUs and the Cal TF to facilitate development of a joint ownership agreement as part of the governance plan that is currently being developed in CalTF. CMUA recommends that the future funding model for the eTRM beyond 2023 be discussed through California Technical Forum and other funding channels and alternative funding models be explored.

The Energy Division will assume both financial and contracting responsibilities for the eTRM upon authority to procure IT contracts in 2022. Since the CPUC, as a state agency, is not authorized to co-own software with another agency, we must reject CMUA’s request to develop a joint ownership agreement. However, we do authorize staff to work with the Joint POUs under the CalTF to develop the governance plan and explore an alternative future funding and licensing model.

### **B. DEER Update Cycle Revisions**

#### B.1 Changes Due to Decision D.21-05-031

There were no comments on this topic.

#### B.2 Changes to DEER Cycle Timeline

SCE commented that it recommends updating the Measure Package schedule for submittals from June 1, 2022 to September 1, 2022 to ensure proper workflow including understanding of final DEER resolution informing Measure Package Update. Additionally, the 2024-25 Scoping Document would be needed to develop the Measure Package Update Schedule.

We clarify that DEER2023 Resolution E-5152 directs changes to measure packages effective 2023 and effective 2024-25. Stakeholders were able to comment on the directed updates for both cycles as part of E-5152 public comment process. The

2024-25 scoping document to be released in May 2022 will largely provide direction for prospective research to inform updates to specific measures to be considered for adoption in the DEER2026 and beyond.

### B.3 Changes to Measure Package Update Schedule

#### B.3.1 Changes to Measure Package Update Schedule for PY2023

SCE commented that, as presented in the draft Resolution, Table 4 has nonresidential measure packages due by March 1, 2022 which leaves insufficient time for updates to be developed because the updates will be informed by the CEUS study which is expected to be completed in March 2022.

We clarify that in an effort to stagger measure package submissions, non-residential weather-dependent updates are separated from the non-residential updates informed by CEUS. Non-residential measure package updates informed by CEUS will not be updated as part of the PY2023 or PY2024-25 cycles but will be directed in the DEER2024 Resolution to be effective PY2026-27. The March 1, 2022 deadline is for non-residential weather-dependent only.

SoCalGas recommends considering a more flexible measure package submittal schedule where the CZ2022 weather data & RASS2019 updates can be incorporated in the next necessary measure package update.

While previous direction in D.15-10-028 established a January 1 deadline, the new cycle has pushed this deadline to March 1 thus giving PAs seven months (September through March) to make corresponding changes to measure packages. This schedule of deliverables must be maintained in order to manage measure package review time. Late submissions will not be available for PY2023. CPUC staff will work with the PAs to set a clear schedule of submissions and effectively manage the workflow.

#### B.3.2 Measure Package Update Schedule for PY2024-25

SoCalGas commented that the Draft Resolution should include a definition of what Commission staff intended for mid-cycle corrections and updates for the 2024 cycle.

We clarify that in accordance with D.15-10-028 "Commission staff may make changes at any time without a resolution to fix errors or to change documentation (i.e., correction of typographical and clerical errors, and other obvious, inadvertent errors and omissions.)" These mid-cycle error corrections will be handled on a case-by-case basis and evaluated based on their impact to the portfolio. This clarification was added to the body of the resolution.

B.4 Changes to Measure Package Submittal, Review, and Approval Process

There were no comments on this topic.

B.5. Changes to Measure Package Effective Start Date

There were no comments on this topic.

**C. DEER Methodology Updates**C.1 Feasibility Study for Transitioning to EnergyPlus

SCE suggests that the CPUC consider saving major prototype updates and enhancements for EnergyPlus models rather than updating the existing DOE2 models, working with the CalBEM Prototype Consolidation technical advisory group (TAG) as it conducts its own feasibility study, and using a phased approach to the transition beginning with residential prototypes to incorporate the 2019 RASS data. SCE also requests that the draft Resolution be clarified to describe the approach and processes for the accelerated transition to EnergyPlus for the multifamily DEER prototype to support savings of central water heating systems.

We clarify that the sequence and impacts of a potential transition to EnergyPlus for all end uses will be considered in the EnergyPlus feasibility assessment. The multifamily EnergyPlus prototype to support water heating measures may be developed independently from the prototype update. CPUC staff will continue to monitor the CalBEM Prototype Consolidation TAG and look for opportunities to leverage that work which is focused on harmonizing the CEC and CPUC prototypes. In addition, we clarify that CPUC staff will be carrying out a feasibility assessment of the transition to inform future direction. The use of the word “study” implies a deliverable is forthcoming, which is not the case. The body of the resolution has been updated accordingly.

C.2 Update Simulation Weather Files to CZ2022

SDG&E requests guidance regarding lighting measures that use and reference lighting HVAC interactive effects by updating the lighting interactive effects tables to account for CZ2022 updated weather data.

Staff will update the lighting interactive effects tables to account for the updated CZ2022 weather data.

C.3 Peak Demand Period Definition Update

There were no comments on this topic.

C.4 Modifications to Allow New Load Shape Sources

SCE recommends the list of load shapes being reviewed or considered be made available to stakeholders so that measure package developers will not be duplicating the efforts of developing load shapes for the PY2023 measures.

We encourage stakeholders to visit the DEER load shapes webpage at <http://deeresources.com/index.php/deer-load-shape> for more information. As generalized load shape parameters have been and continue to be developed for DEER measures, they are uploaded to the PEAR database (in the LoadShapeElec 2022 and LoadShapeGas 2022 tables). For instance, these will be provided for all weather-sensitive measure updates as they occur. It is also expected that the eTRM will store these among the CPUC Support Tables in a future release. For load shapes generated by stakeholders, CPUC staff plans to issue a guidance memo in the coming months.

C.5. Measure Cost Methodology and Documentation Guidance

There were no comments on this topic.

C.6. Refrigerant Avoided Costs

SDG&E requests detailed clarifications on the refrigerant avoided cost calculator (RACC) and requests to delay the reporting requirement to PY2023 and exempt HVAC equipment having a capacity of 20 tons or lower.

We have added additional clarification in the Resolution and in the Attachment sections related to this item.

SCE recommends the Commission clarify if the policy requires PAs to report and distinguish between both the benefits (e.g., positive avoided cost) and costs (e.g., negative avoided cost), which may be different for Fuel Substitution workpapers and future Low-GWP measures. SCE recommends that the Draft Resolution clarify the tracking requirements (e.g., equipment capacity–tonnage, refrigerant type, etc.) that may be needed at the measure implementation level and/or for different deliverable channels to support refrigerant charge (lb) estimates and/or future program evaluations.

We clarify that CEDARS/CET inputs contain both refrigerant benefits and refrigerant costs. These fields shall be populated as appropriate. These are the only relevant changes to CEDARS/CET inputs. All other inputs will be documented in the refrigerant avoided cost calculator file submitted as part of the measure package.



C.7. Expiring All Refrigerant Charge Adjustment Measures

SDG&E commented that expiring all refrigerant charge adjustment (RCA) measures omits another recommendation from the Low-GWP Roadmap study regarding preventing intentional venting of HFC refrigerants and offering incentives for 100% recovery of remaining refrigerant at end-of-life. Please clarify if this omission is intentional or if PAs have an opportunity of developing measures to support a resourced and non-resourced program.

We are open to considering proposals that reduce venting of refrigerants but will not make edits to this resolution at this point. We may revisit this determination in the future.

VERIFIED commented that they disagree with the finding that RCA measures have low realization rates. Verified recommends that refrigerant charge adjustment be continued to be offered as an energy savings measure with substantial changes to avoid needlessly connecting gauges, to address refrigerant leaks and to improve end-of-life refrigerant recovery. It posits that if the refrigerant charge measure is expired technicians will add and/or vent refrigerant without proper training or incentives.

The evaluated gross realization rates for residential RCA measures from 2006 through 2019 have averaged 33 percent; for commercial, it is slightly better at 47 percent. We reaffirm that RCA measures shall not be incentivized through programs after 2022. It is expected that large refrigerant leaks will continue to be repaired by technicians as appropriate and the refrigerant charge will be weighed in per factory specifications.

We agree that best practices for handling refrigerants include:

- a. Electronic leak detectors could be used to rule out leaks
- b. Proper contractor training is important
- c. Locking Schrader caps offer several benefits
- d. Non-invasive techniques for assessing refrigerant charge have merits

C.8. Clarify Add-on Equipment Approach to EUL

SCE requests the Commission provide guidance for how PAs should seek CPUC approval on the increased EUL of the add-on measure when the add-on measure is not installed directly on the host equipment and recommends particular wording changes.

We agree with SCE's wording changes and revise the body of resolution and the Attachment to provide clarity and additional guidance.

SDG&E recommends that the DEER resolution grant CPUC staff the authority to make the determination to extend the EUL above the 20-year cap, specifically as it relates to add-on measures to high-value or repair-indefinitely host equipment.

As the 20-year cap on EULs has been set by decision, it is out of scope for this resolution.

#### C.9 Clarify Approach to DEER GSIA Table Updates

There were no comments on this topic.

#### C.10 Custom Measure Usage of Deemed Values

SDG&E, PG&E, and SoCalGas commented on difficulties using deemed incentive amounts in custom projects. SDG&E recommends using the PAs' (or third-party) custom incentive methodology based on the deemed savings values.

PG&E and SCE commented that whole-building/whole-system projects and meter-based projects and programs should be excepted from using deemed savings values when processed through custom/calculated platforms.

PG&E recommends that this restriction that deemed measures processed through the custom platform be removed from the Draft Resolution.

We agree with SDG&E and revise this resolution to allow cost deviations from deemed values. We also with PG&E and SCE to allow exceptions such as whole-building or NMEC where measures are bundled. The resolution has been edited accordingly.

#### C. 11 Revisions to Fieldnames for Baseline Clarity

SCE and PG&E recommend that the current field names be maintained to avoid any further confusion and avoid system disruptions for all critical systems. Instead, PG&E recommends that the CPUC direct a stakeholder group to convene to determine a more cost-effective solution to improving the clarity and quality of CEDARS data.

Given the significance of the infrastructure updates required, the CPUC will delay this requirement until DEER2024 so that stakeholders have ample time to adapt their data systems and minimize disruptions. Given that data accuracy and clarity are a top priority, the CPUC reaffirms its plan to revise the listed fieldnames in the DEER2024.

#### D. DEER Error Corrections and Clarifications

There were no comments on this topic.



## E. Updates Based on Review of EM&V Studies

SDG&E provided a general comment that CPUC staff continue to identify which deemed measures they consider sufficiently uncertain and subject to EM&V studies, even though the ESPI moratorium eliminated the formal requirement for an Uncertain Measure List.

EM&V objectives will continue to be communicated in the annual EM&V plan even though the Uncertain Measure List is no longer the core element of that plan. The CPUC recognizes the importance of prioritizing the evaluation on high-impact and significant uncertainty measures, but will also now include a program focus as third-party implementer programs come online. This revised approach is characterized in the Group A 2020 EM&V Plan.

### E.1 Upstream and Midstream Program Tracking Data Requirements

SCE and SDG&E provided comments on this item with the majority of comments from SDG&E. SCE requested that the Resolution clarify the requirements for each program and end-use type and show which fields are "global" versus end-use or measure-specific. SDG&E also requested additional and explicit clarification of the tracking data requirements for each program or noting whether data requirements are subject to availability based on the program design. They also indicated that collecting certain types of data can impact the program participation and cost effectiveness, that the data for the customer/rate payer or ultimate end-user may not be available and if not specified and required up front it can place an undue burden on the program and IOU administrators, and that both ex ante and ex post parties should use the same data requirements for clarity and consistency.

We agree that a clear and explicit set of requirements for each program and measure will ensure that all tracking data needs are met. Those requirements should be developed during the workpaper process and/or the EM&V project coordination groups since every measure, market, and program is unique and details including delivery type are fully discussed in these venues. The CA EM&V Protocol requirements already provide general requirements, but we recognize that updates, additions, and clarification as well as changes to the CPUC data systems, may be needed to verify measure installation and operation and validate the integrity of ratepayer incentive funds. Placing these requirements in the workpapers will ensure maximum availability and clear communication to all stakeholders.

E.2 Updates per Residential Sector 2019 EM&V

SoCalGas recommends the Commission maintain the existing NTG ratio of 0.55 instead of the 2019 EM&V value of 0.40 for natural gas tankless water heating measures until further EM&V is completed. They note that the evaluation shows NTG ratios are dependent on higher incentive amounts, that the rebate for tankless water heating measures has been substantially increased since PY2019, and therefore the higher rebates should reflect a higher NTG ratio.

We disagree with the SoCalGas suggestion. The 0.55 value was a general default (NTG ID="Res-Default>2") and a measure-specific, EM&V-derived value best represents the NTG value for this measure.

E.3 Updates per Non-residential Lighting 2019 EM&V

There were no comments on this topic.

E.4 Updates per HVAC sector 2019 EM&V

GreenFan commented that its Efficient Fan Controller® (EFC®) is not redundant with a smart thermostat given that the thermostat controls the heating and cooling setpoints whereas the EFC controls the fan function. It recommends removing a specific paragraph from the Draft Resolution related to energy efficiency measure interactive effects.

We disagree with GreenFan's comment and the paragraph will remain. Most smart thermostats have the wiring needed to control and operate the HVAC fan independent of the cooling or heating setpoints. According to product documentation, smart thermostats claim savings for running the HVAC fan at the end of cooling or heating cycles and the 2019 Smart Thermostat EM&V study confirmed that savings from the fan controller and smart thermostat, when installed together was not greater than savings from each of those devices installed independently.

E.5 Updates per Small/Medium Commercial sector 2019 EM&V

SDG&E and PG&E requested that the NTG value for ozone process laundry be updated from 0.60 to 0.70 based on EM&V results. They stated a statewide average NTG value was not provided in the 2019 EM&V report and the correct value was 0.74 calculated from utility-specific values weighted by savings.

We confirm the 0.70 EM&V value from Table 6-8 is specifically recommended for DEER and does not include the 0.05 market-adder effect. The 0.74 value cited in PA comments includes the 0.05 market adder.

**F. Review of Codes and Standards****F.1 Federal Standard for Commercial Natural Gas Packaged Boilers**

There were no comments on this topic.

**F.2 Federal Standard for Residential Gas Furnace Fan**

There were no comments on this topic.

**F.3 New Low Global Warming Potential Refrigerant Standards**

SDG&E commented that the California Air Resources Board (CARB) F-gas Reduction Incentive Program application process is awarding grants statewide to new and existing retail food facilities to alleviate the barriers to the adoption of climate-friendly technologies. SDG&E asks that the CPUC provide guidance regarding potential conflicts with double dipping if another state agency is providing grant funds for promoting low-GWP refrigerants, as is the case with CARB's F-gas Reduction Incentive program (FRIP).

We believe this is an important issue but will not provide direction in this Resolution.

**F.4 CEC Title 24 Building Energy Efficiency Code Updates**

SCE suggested that the Resolution should be revised to state that the CPUC will review all Code updates affecting 2023 measures and update requirements as needed. Commercial HVAC part-load efficiencies was identified as one item that is being updated by 2022 T24 effective 1/1/2023. They further suggested adjusting DEER models and efficiency tier ranges to reflect the part-load changes and performance curves though models now only use full-load efficiency parameters.

We clarify that 2022 Title 24 updates are being reviewed and will be incorporated as measures are naturally revised. Code updates that impact the prototype models will be considered under the feasibility assessment and measure updates will be addressed via the measure package process.

**F.5 CEC Title 20 Appliance Efficiency Code Updates**

See F.4 above, SCE made a single combined comment for F.4 and F.5.

**G. Review of Market and Research Studies****G.1 Update EULs Based on Group A EUL Studies**

There were no comments on this topic.

## H. New Measure Additions

SCE recommends the draft Resolution be modified to prioritize New Measure Additions including pending measures from DEER2022 and/or other previous DEER cycles including but not limited to Residential Central HVAC Heat Pump for higher SEERs (SEER 19, 20, and 21).

Due to challenges acquiring manufacturer performance data, high-SEER residential heat pump measures planned for the DEER2022 is delayed. We direct the update to be made available for DEER2023.

### H.1 Commercial Tankless Water Heater

SCE recommends that the draft Resolution clarify that new measures for both Commercial Tankless Water Heater and Multifamily Central Water Heating Systems should include fuel-substitution alternatives, e.g., replacement of ISP/Code central (gas) boiler/heater replaced by central high-efficient heat pump heater for typical system sizes and efficiencies. This will allow these measures to be utilized to more effectively to meet California energy-efficiency and decarbonization goals.

We agree. PAs are welcome to submit measure packages for new measures.

SoCalGas requests that the Draft Resolution be modified to provide a pathway to update the 2021 workpaper for the 96% efficiency unit to avoid substantial negative impacts on programs in place in 2021, including the Statewide Midstream Water Heating Program.

The 96% thermal efficiency tankless water heater measure for program usage in PY2021 will be made available soon.

### H.2 Multifamily Central Water Heating Systems

SCE's comment above, to H.1, also applies to this measure.

The planned new measures for multifamily central water-heater systems are expected to include at least one fuel-substitution measure. At this time, there is no plan to develop a fuel-substitution measure for commercial tankless water heaters.

### Additional Comments Not Related to a Specific Resolution section

The Joint Parties commented that the Draft Resolution should more fully examine the EUL of BROs in line with Commission Decision language in D.21-05-031

Updates to the BROs EUL will be considered in a future DEER resolution once alternative values based on EM&V studies are available for consideration.

## I. 2022 EM&V Updates

There were no comments on this topic.

## **FINDINGS**

1. Decision D.21-05-031 requires that CPUC staff propose changes to the Database of Energy Efficient Resources every even year via Resolution, through PY2023 (this resolution), with the associated comment/protest period provided by General Order 96-B.
2. Decision D.15-10-028 retains the direction from D.12-05-015 that DEER values be updated to be consistent with existing and updated state and federal codes and standards.
3. Decision D.15-10-028 also states that CPUC staff may make changes at any time without a Resolution to fix errors or to change documentation.
4. The proposed updates to the DEER values are a result of a) updates to underlying methodology or correction of errors, b) updates for corrections and clarifications, c) updates based on evaluation study results, d) new code updates, e) review of market and research studies, f) addition of new measures, and g) transition to the eTRM.
5. Decision D.05-01-055 establishes the CPUC Energy Division authority to review and approve measures, including authority to designate a set of values as the deemed data source of record.
6. The data reflected in the eTRM is synched to the source data in the ExAnte database, and measure permutations accessible in the remote ex ante data interface (READI) necessary for measure development and review.
7. The Energy Division maintains a suite of data resources that make up the "data source of record" of approved deemed values. The data used for Energy Division ex ante review are available in a data dictionary known as the Deemed Data Standard.
8. The eTRM meets the deemed data standard and provides the data access functions as data source of record previously provided by the Remote Energy Data Access Interface (READI) and the workpaper archive on deerresources.net.
9. Resolution E-5082 authorized CPUC staff to make adjustments to the eTRM development timeline to address issues that arise in development and testing.
10. Resolution E-5082 authorized the IOUs to fund eTRM development and CalTF support activities in their EE program budgets or their EM&V budgets.
11. The CPUC Energy Division will receive procurement authorization for IT services that will enable ownership, management and funding responsibilities in 2022.

12. Financial issues regarding joint ownership with publicly-owned utilities and other state agencies are addressed through revenue agreements.
13. Decision D.21-05-031 modifies the scope of the DEER Resolution to include approval of deemed ex ante values, direct research needs for future DEER updates, management of deemed ex ante value process and adoption of a locked, two-year version of deemed values to be used in forecasting, portfolio planning, and savings claims.
14. Decision D.21-05-031 modifies the DEER Resolution bus stop from September 1 to November 1.
15. This Resolution adopted updated requirements for the following processes: deadline for updates, review clocks, submission schedules, annual submittal plan.
16. This Resolution did not adopt updated requirements for dispute resolution and interim approval.

**THEREFORE, IT IS ORDERED THAT:**

1. The DEER2023 and Revised DEER2022 and DEER2021 Updates, listed in Table 1, as described in Attachment A and supporting documentation available on the [deeresources.com](http://deeresources.com) website, are approved with effective dates as listed.
2. Pacific Gas and Electric Company (PG&E), Southern California Electric Company (SCE), Southern California Gas Company (SoCalGas), and San Diego Gas & Electric (SDG&E), the San Francisco Bay Area Regional Energy Network (BayREN), Southern California Regional Energy Network (SoCalREN), Tri-County Regional Energy Network (3CREN), Local Government Sustainable Energy Coalition (LGSEC), Lancaster Choice Energy (LCE), and Marin Clean Energy (MCE) must use the updated assumptions, methods and values for 2021 and 2022 planning and savings claims, and 2023 planning, implementation and reporting.
3. This resolution adopts the eTRM as the ex ante deemed data source of record, replacing a) DEERresources.net for current and future measure packages and b) the READI application as the source for public access to CPUC-approved deemed measure data.
4. The eTRM schedule directed by Resolution E-5082 is continued into 2022. This schedule may be periodically updated by CPUC and will be available at [deeresources.com](http://deeresources.com).
5. CPUC may update the tables in Appendix I as the project develops and better information becomes available. An updated version of these tables will be kept on the [deeresources.com](http://deeresources.com) website.

6. The ~~DEER databases and~~ eTRM shall continue to be administered and maintained by the IOU funders without changes to contract management until eTRM Release 2.54 in 2022.
- ~~16.~~ Pacific Gas and Electric Company (PG&E), Southern California Electric Company (SCE), Southern California Gas Company (SoCalGas), and San Diego Gas & Electric (SDG&E) shall fund 2022 and 2023 eTRM enhancement activities identified in Appendix I from ~~their approved 2022 budgets with an extension to their existing vendor contract, ensuring sufficient funding for the incremental software development described in this resolution.~~
- ~~8.7.~~ Pacific Gas and Electric Company (PG&E), Southern California Electric Company (SCE), Southern California Gas Company (SoCalGas), and San Diego Gas & Electric (SDG&E) ~~may fund additional eTRM software enhancements, website administration and maintenance from their 2022~~2023 EM&V budgets or EE program budgets.
- ~~9.8.~~ Pacific Gas and Electric Company (PG&E), Southern California Electric Company (SCE), Southern California Gas Company (SoCalGas), and San Diego Gas & Electric (SDG&E) ~~shall not exceed budget caps ordered in D.18-05-041 to fund eTRM development~~ shall fund the California Technical Forum from their 2022-2023 program budgets or EM&V budgets at the average of the 2018-2019 levels.
- ~~10.9.~~ Pacific Gas and Electric Company (PG&E), Southern California Electric Company (SCE), Southern California Gas Company (SoCalGas), and San Diego Gas & Electric (SDG&E) ~~will grant the CPUC an irrevocable, royalty-free license to use, copy and distribute the eTRM in perpetuity while they continue to contract for administration, maintenance, and enhancements of the eTRM.~~ shall not exceed budget caps ordered in D.18-05-041 to fund eTRM development.
- ~~10.~~ The Commission shall exert ownership of the portions funded by This resolution delegates authority to the Energy Division to manage necessary software licensing and ownership transfer issues in accordance with State Law, including appropriate cost sharing agreements with non-IOU funders.
11. Pacific Gas and Electric Company (PG&E), Southern California Electric Company (SCE), Southern California Gas Company (SoCalGas), and San Diego Gas & Electric (SDG&E) ~~of will work with Commission staff to determine a plan to transition financial and contracting responsibilities for the eTRM with agreement from to the IOU funders under the auspices of a pending IT procurement for Energy Efficiency Branch.~~ Division.
- ~~16.~~ This resolution ~~delegates authority to the Energy Division to manage necessary software licensing and ownership transfer issues in accordance with State~~



~~procurement policies, including Revenue Agreements with POU's and other State Agencies with an ownership stake in the eTRM.~~

~~13.~~12. Pacific Gas and Electric Company (PG&E), Southern California Electric Company (SCE), Southern California Gas Company (SoCalGas), and San Diego Gas & Electric (SDG&E), the San Francisco Bay Area Regional Energy Network (BayREN), Southern California Regional Energy Network (SoCalREN), Tri-County Regional Energy Network (3CREN), Local Government Sustainable Energy Coalition (LGSEC), Lancaster Choice Energy (LCE), and Marin Clean Energy (MCE) must follow the updated process adopted in this resolution for deemed ex ante activities as directed in this resolution.

~~14.~~13. Pacific Gas and Electric Company (PG&E), Southern California Electric Company (SCE), Southern California Gas Company (SoCalGas), and San Diego Gas & Electric (SDG&E), the San Francisco Bay Area Regional Energy Network (BayREN), Southern California Regional Energy Network (SoCalREN), Tri-County Regional Energy Network (3CREN), Local Government Sustainable Energy Coalition (LGSEC), Lancaster Choice Energy (LCE), and Marin Clean Energy (MCE) must comply with the updated schedule for activities adopted in this resolution unless expressly authorized by CPUC staff.

This Resolution is effective today.

I certify that the foregoing resolution was duly introduced, passed and adopted at a conference of the Public Utilities Commission of the State of California held on August ~~19~~5, 2021; the following Commissioners voting favorably thereon:

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Rachel Peterson  
Executive Director





## Attachment A DEER2023 Update Summary

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## 1 Introduction and background

Decision D.21-05-031, established the general approach to be used for the biennial Database for Energy Efficiency Resources (DEER) update. The updates are grouped into these nine topic areas:

1. Transition to eTRM
2. DEER methodology updates
3. DEER error corrections and clarifications
4. Review of energy efficiency evaluation, measurement, and verification (EM&V) studies
5. Review of codes and standards changes
6. Review of market and research studies
7. New measure additions
8. Support table updates

## 2 Transition to Electronic Technical Reference Manual (eTRM)

The eTRM (electronic Technical Reference Manual) launched Version 2.1 in January 2021 in accordance with direction given in the DEER2022 update Resolution E-5082. Throughout 2021, CPUC staff continues to work on transitioning ex ante data and review activities to the eTRM environment. The “workpaper” term is updated to “measure package” for improved consistency with the eTRM framework. Furthermore, the distinction between DEER and non-DEER is eliminated.

## 3 DEER methodology updates

DEER methodology updates affect the methods and approaches used to generate measures savings and support table values. Examples include changes that would alter the database structure, building prototype models, or the use of DEER database measures.

### 3.1 Feasibility study for transitioning to EnergyPlus™

Effective Program Year: 2024-2026. From Commission staff’s ongoing analysis of the 2019 RASS data and other updates considered for the existing deemed building prototype system, it has become increasingly obvious that a forward-focused, significant overhaul of the existing system is needed rather than incremental modification and streamlining. Although the system was restructured for DEER2020, many of the basic underpinnings and assumptions used to construct and ground the models are also in need of updates and in need of additional transparency. Rather than streamlining and updating elements of the existing system as was proposed in the Scoping Document,<sup>1</sup> Commission Staff will instead ~~conduct a feasibility study to~~ assess the options for transitioning to an EnergyPlus-based system and away from the current eQUEST™/DOE2.3™-based system. Commission Staff’s primary and critical need is to have a functional set of prototypes system that can be used to

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<sup>1</sup> <https://pda.energydataweb.com/#!/documents/2490/view>

provide unit energy savings, unit energy added loads, and the associated load shapes for deemed energy efficiency measures.

Another primary reason for pausing updates to the current prototype system is to allow use of the new CZ2022 weather data as quickly as possible to update measure packages. This urgency is driven by the need for PAs to submit a two-year ABAL to cover PY2022-2023 as directed by Decision D.21-05-031.<sup>2</sup> The P&G and the latest avoided costs already incorporate the CZ2022 weather data, so the measure packages used for ABALs should be synched as much as possible. Commission Staff and the PAs can use the existing MASControl3 (MC3) system as-is except for the changes needed to run simulation with the new CZ2022 weather files. Commission staff will focus immediately on working with PAs to identify the highest impact measures for the portfolio and utility measures and generate the updated results. Other measures can be processed for a PY2023 update or used as-is until the next iteration of prototypes as determined by the feasibility plan.

### 3.2 Update simulation weather files to CZ2022

Effective Program Year: 2023-24. The last ten years have been observed to be among the hottest on record, and temperatures and the frequency-duration of heat waves are expected to increase. The typical meteorological year (TMY) weather files used for deemed measure building simulations to date, CZ2010, were based on 12 years of National Oceanic Atmospheric Administration (NOAA) data from 1988 through 2009. PG&E launched a project in collaboration with the other IOUs, CEC, CPUC and White Box Technologies to update the typical year weather files used in California and produced a report<sup>3</sup> and presentation<sup>4</sup> documenting the methodology. New weather files (CZ2022) were created using a 20-year period from 1998 through 2017. The California Energy Commission (CEC) will be adopting new time-dependent valuation (TDV) costs and the CZ2022 weather data for the 2022 Title 24 update, effective January 1, 2023. To be in alignment with CEC, all non-residential simulated and other weather-dependent deemed measures will be updated with the CZ2022 weather data, with updated deemed savings values effective for program year 2023. The residential weather dependent measures will all be updated for program year 2024. All other weather-dependent deemed measures (residential and non-residential) not provided directly by CPUC-maintained tools effective January 1, 2023 and later shall also be updated using the CZ2022 weather files.

The new weather files are located on the DEER Resources website<sup>5</sup> and should be used by replacing the old (.BIN) weather files with the new (.BIN) weather files in the "DOE2/weather" folder before running the model. We are also making changes to the existing peak demand period with this weather update; see section 3.2 for updates to the starting date for the 3-day "heat wave" used to calculate peak demand. New post-processing scripts will be published on the DEER website that incorporate these new dates.

The following figures show examples of cooling dominated and heating dominated deemed measure savings estimated by building simulations using the new weather files compared to the old weather files for commercial and residential sectors. Across the board, cooling savings are higher and heating

<sup>2</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Efile/G000/M378/K256/378256443.PDF>

<sup>3</sup> Update of California weather files for use in utility energy efficiency programs and building energy standard compliance calculations, 2020. <https://tinyurl.com/5akf9s2t>

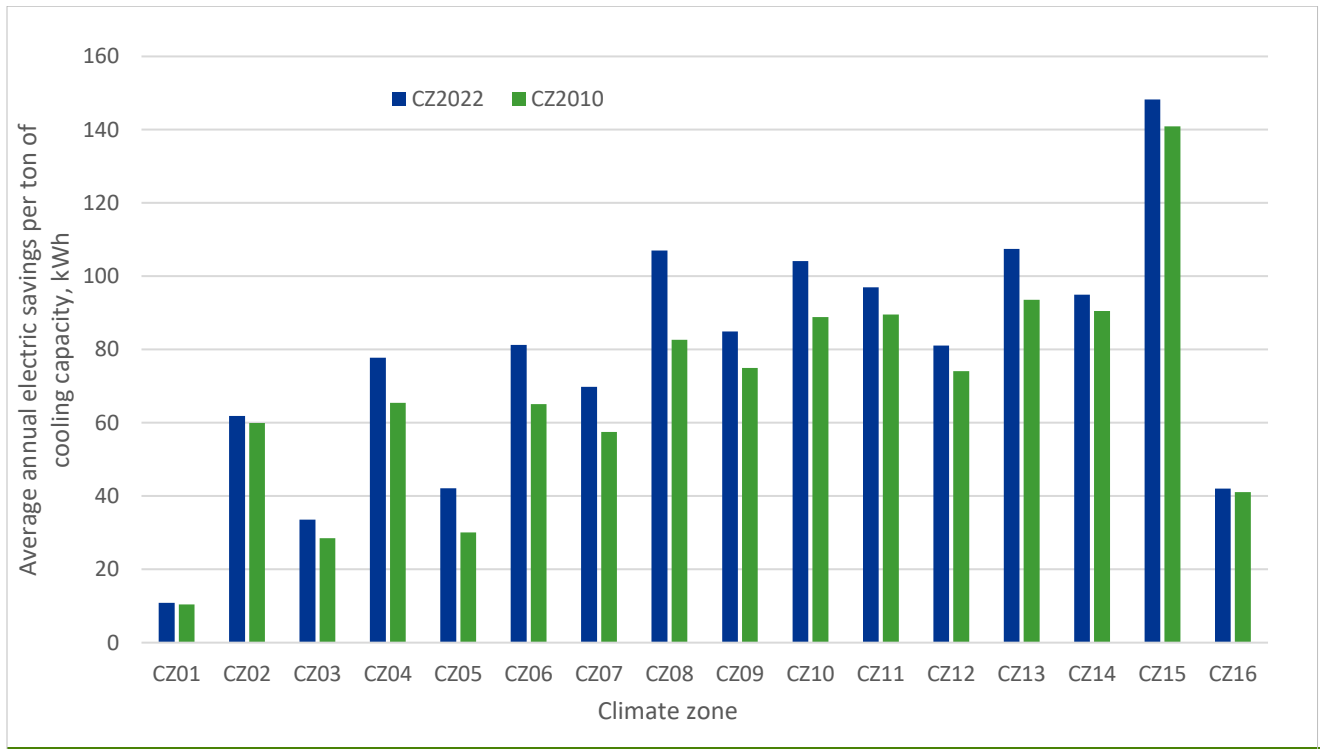
<sup>4</sup> <https://pda.energydataweb.com/api/view/2280/Weather%20webinar%20CALEE2018%207-12-2019.pptx>

<sup>5</sup> <http://deeresources.com/index.php/mascontrol3-resources>

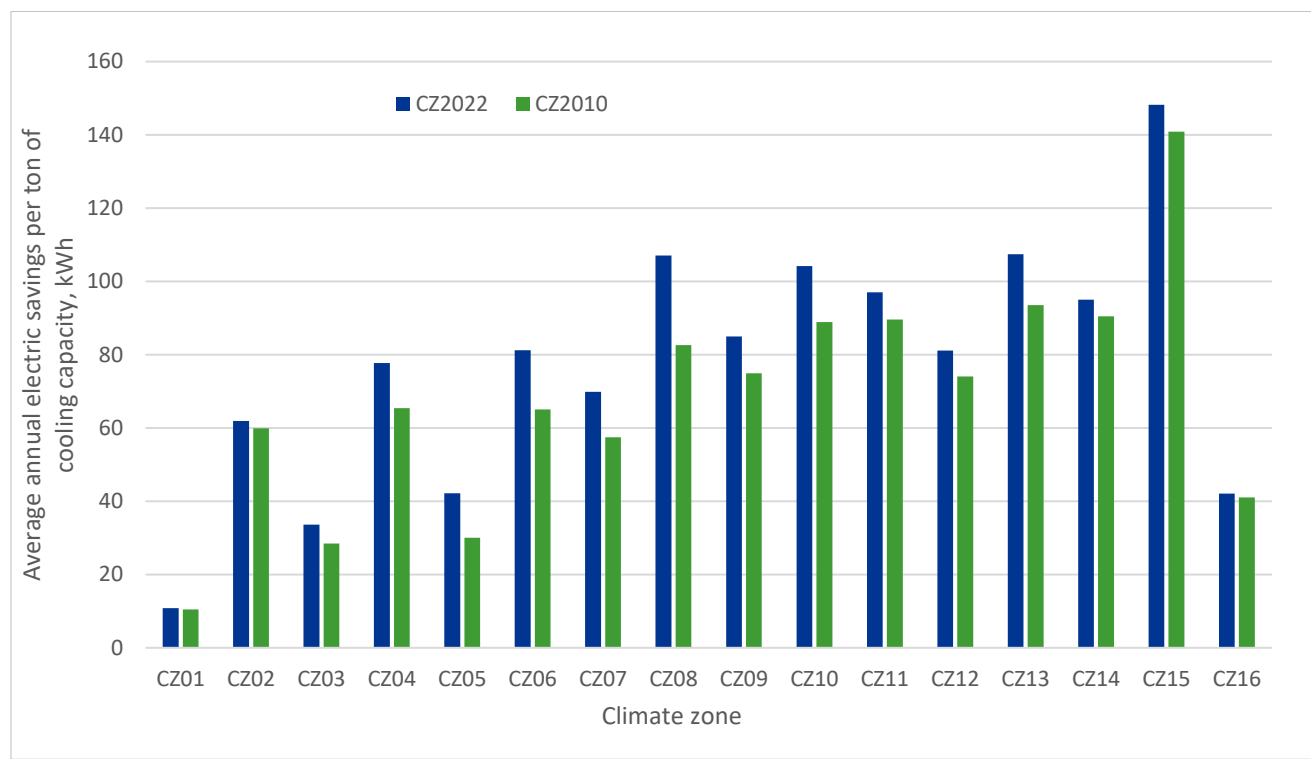


savings are lower using the new CZ2022 weather than they are using the older CZ2010 weather. The savings are shown in Figure A-3-1 for a 12.5 EER,<sup>6</sup> 65 kBtu/h non-residential split/package air conditioning unit by California CEC climate zone.

**Figure A-3-1. Annual savings by climate zone for commercial split/package AC systems**



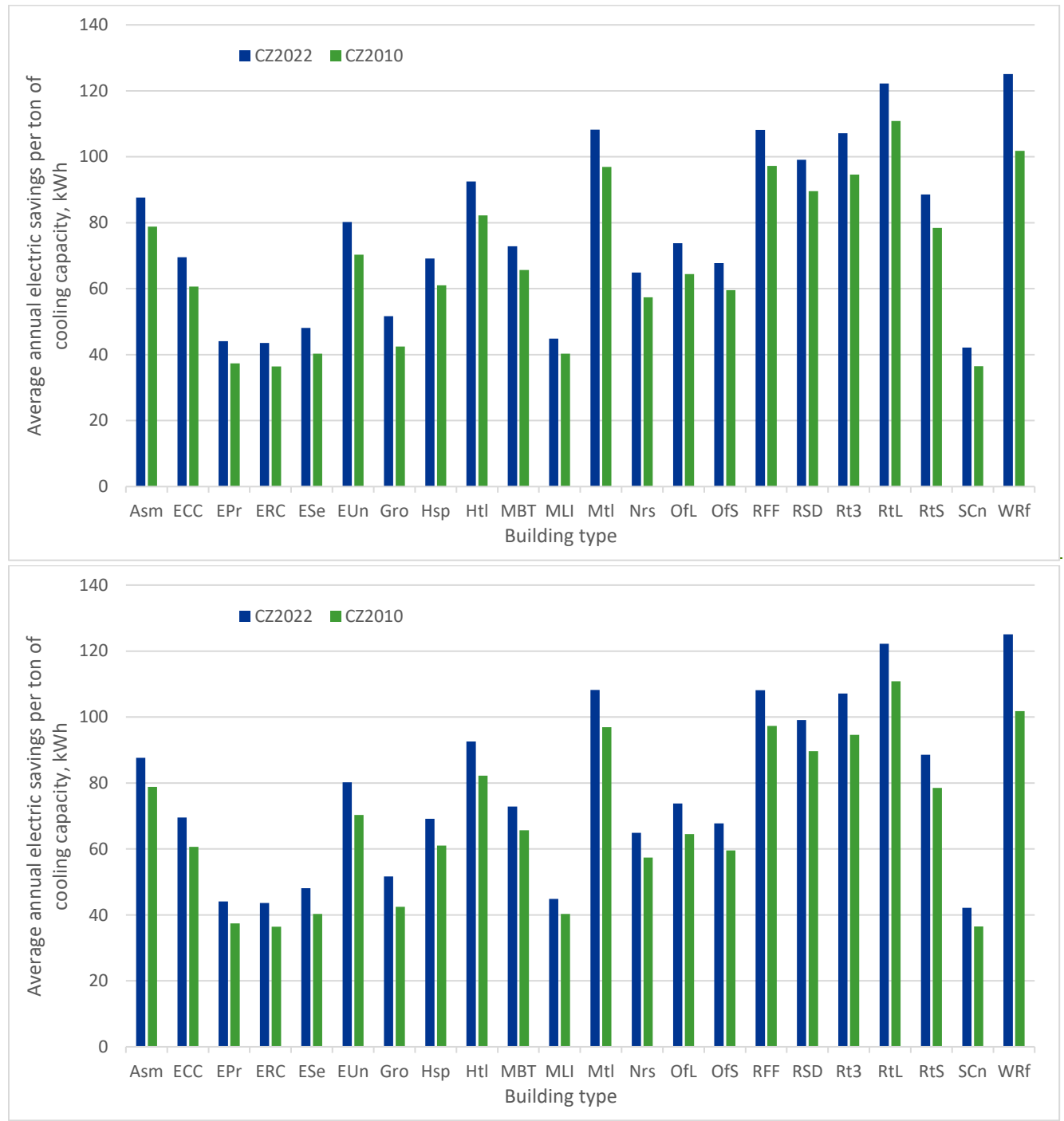
<sup>6</sup> EER represents the energy efficiency ratio at full-load conditions.



Equipment capacity: 65 kBtu/h; Equipment efficiency: 12.5 EER

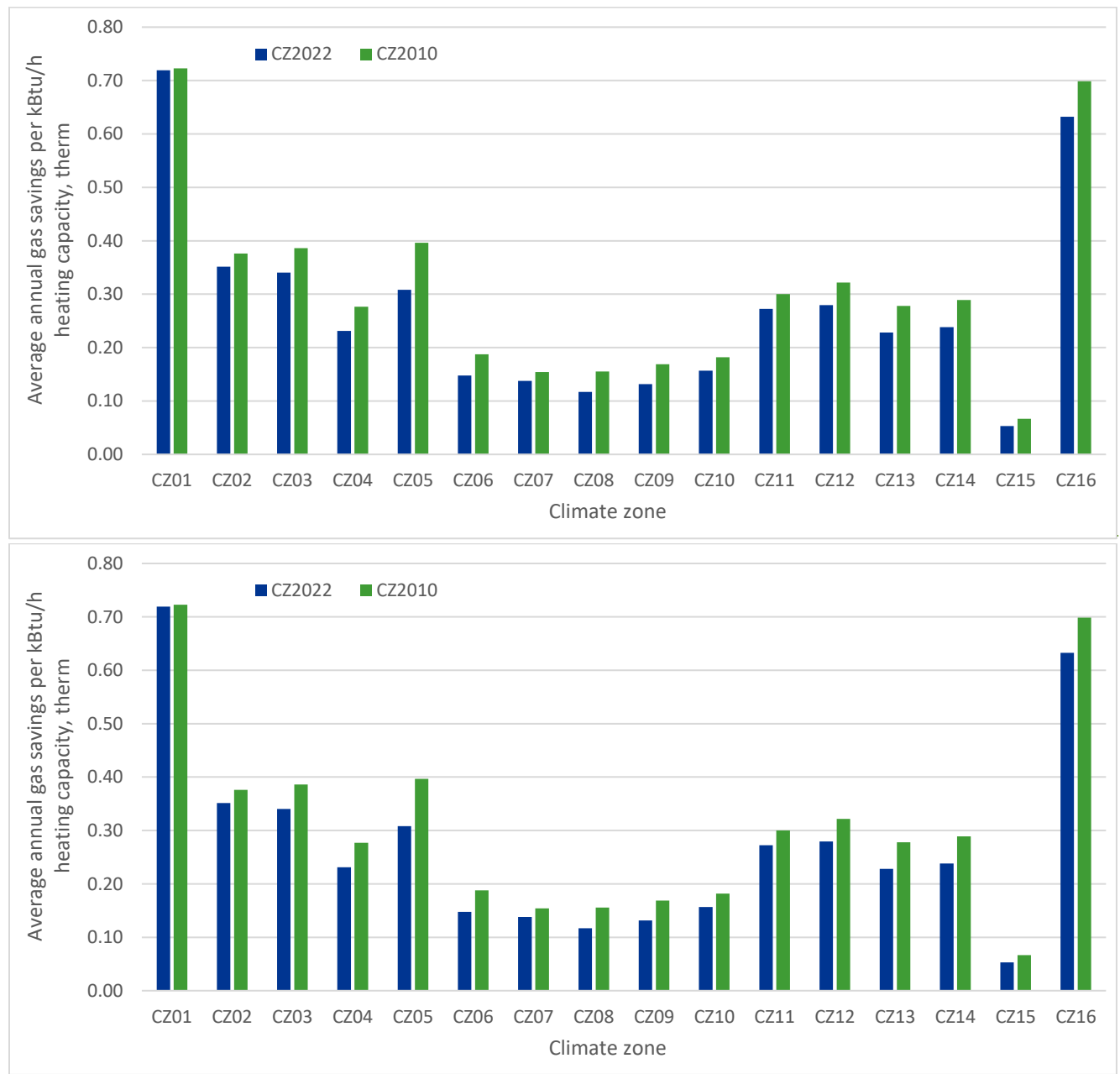
Climate zones 4, 6, 8, 10 and 13 show the most change in cooling savings due to the new weather. Figure A-3-2 shows average deemed measure savings for the same system by DEER non-residential building types. The building type descriptions and corresponding abbreviations are shown in Table A-3-1. The refrigerated warehouse building type shows the most difference in savings due to the change in weather. Both figures show that, some climate zones and some building types show a greater weather impact than others.

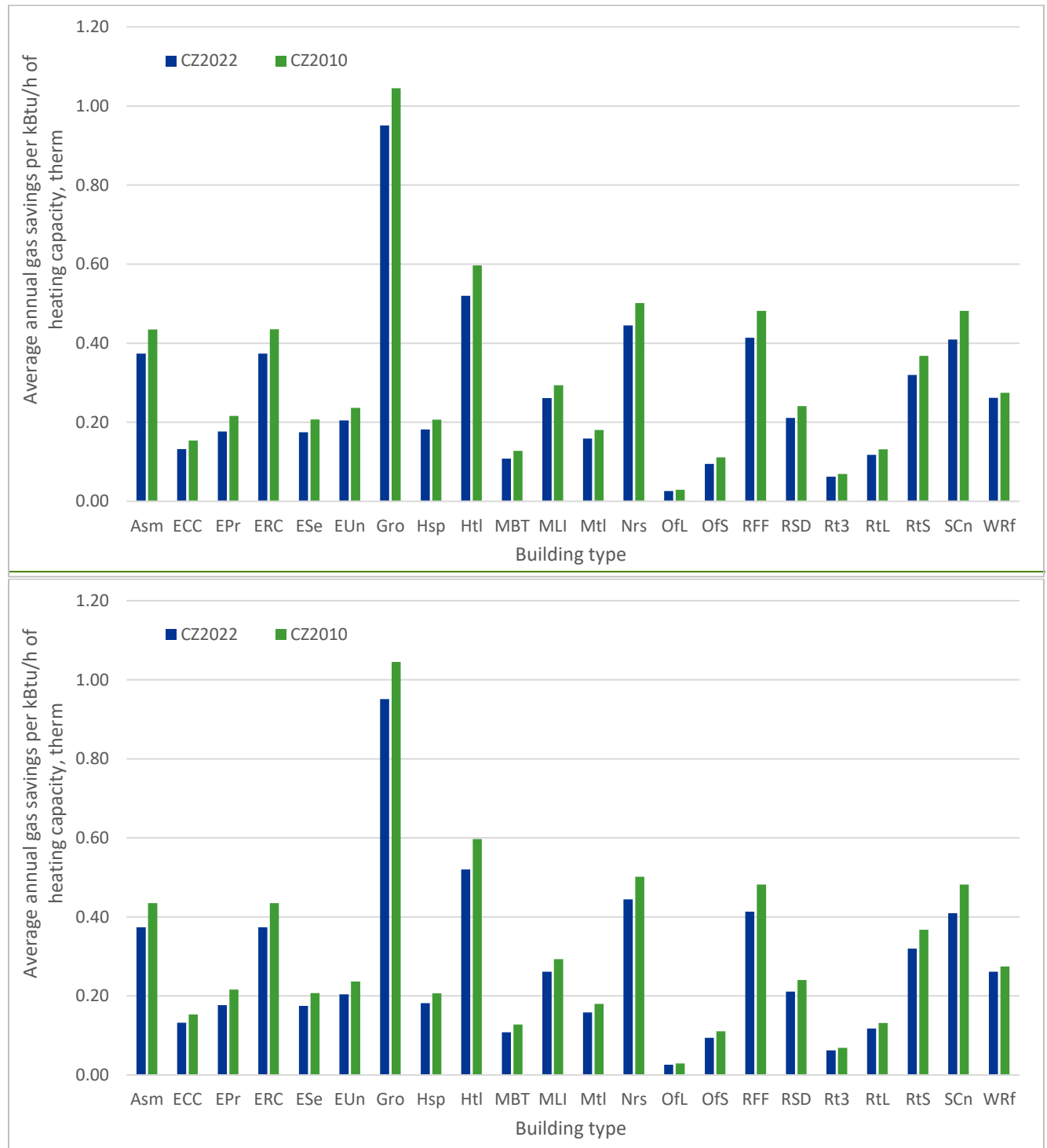
**Figure A-3-2. Annual savings by building type for commercial split/package AC systems**



Equipment capacity: 65 kBtu/h; equipment efficiency: 12.5 EER

The next two figures show average heating savings from a non-residential, roof-top, package 92 AFUE furnace by climate zone in Figure A-3-3 and by building type in Figure A-3-4.

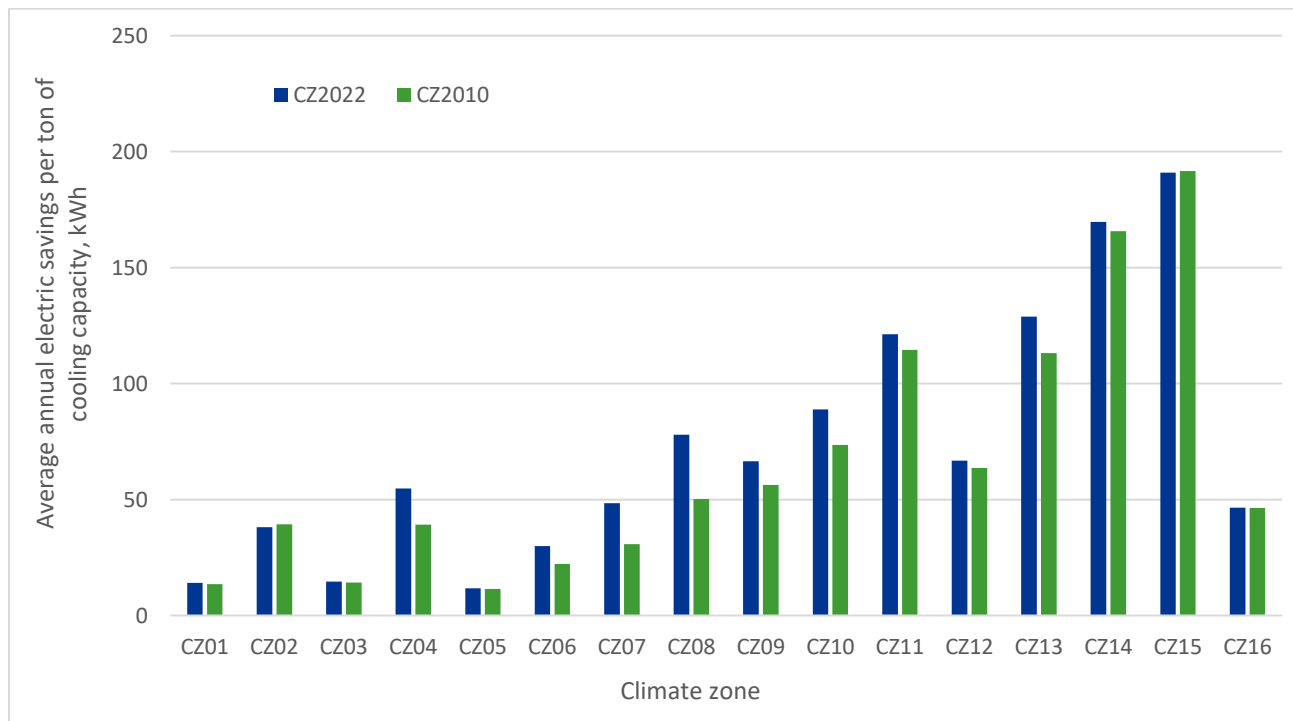
**Figure A-3-3. Annual savings by climate zone for 92 AFUE commercial furnaces**

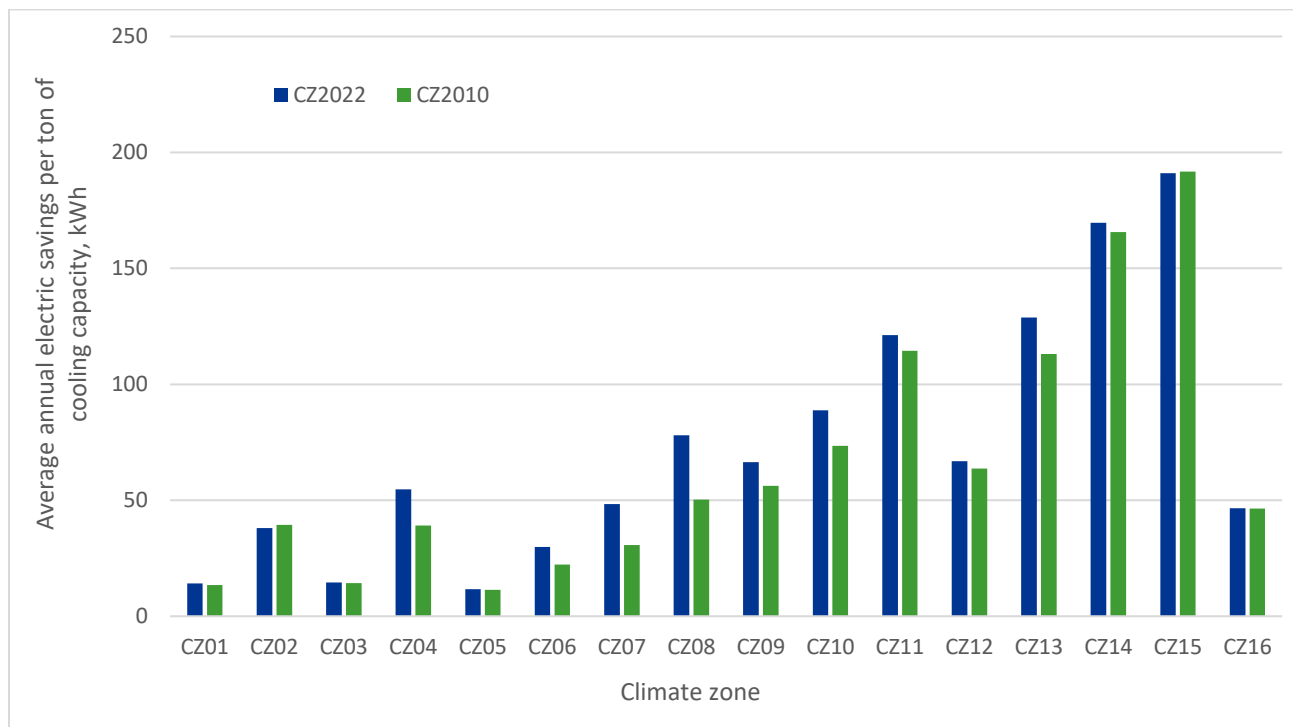
**Figure A-3-4. Annual savings by building type for 92 AFUE commercial furnaces**

**Table A-3-1. DEER Commercial Building type descriptions and codes**

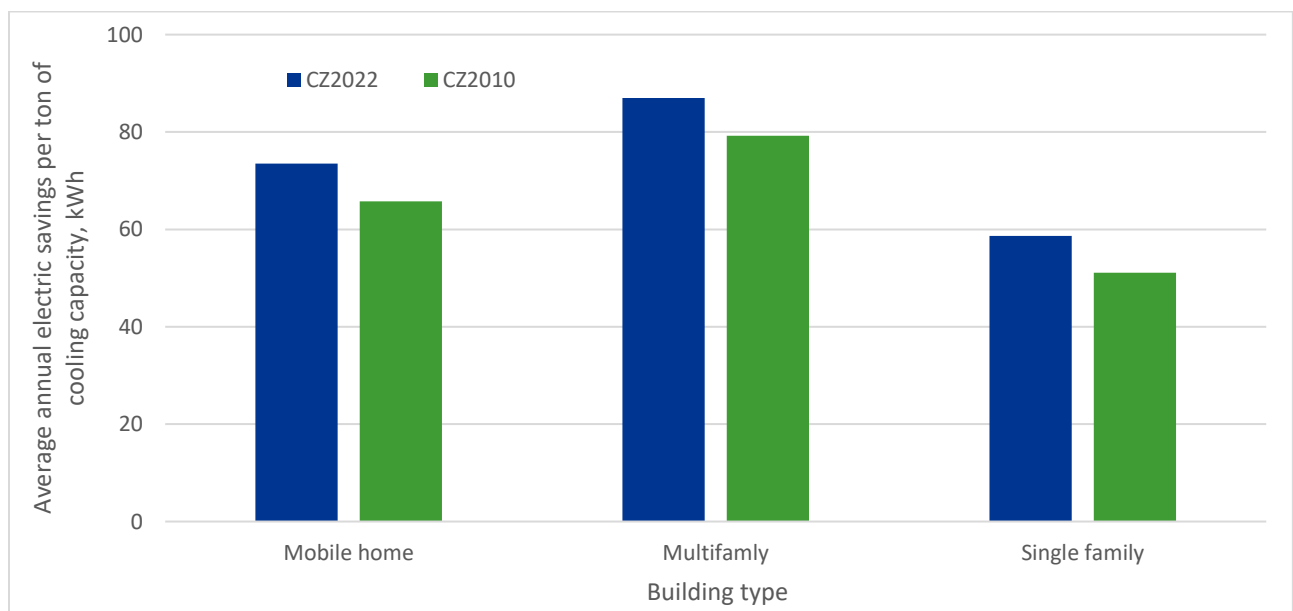
Description	Code	Description	Code
Assembly	Asm	Nursing Home	Nrs
Community College	ECC	Large Office	OfL
Primary School	EPr	Small Office	OfS
Secondary School	ESe	Fast-Food Restaurant	RFF
University	EUn	Sit-Down Restaurant	RSD
Grocery	Gro	Department Store	Rt3
Hospital	Hsp	Big Box Retail	RtL
Hotel	Htl	Small Retail	RtS
Bio/Tech Manufacturing	MBT	Conditioned Storage	SCn
Light Industrial Manufacturing	MLI	Refrigerated Warehouse	WRf
Motel	Mtl		

The following figures show the effects of the weather change on residential cooling and heating measures. Figure A-3-5 shows the savings from an 18 SEER air conditioner by climate zone and Figure A-3-6 shows the savings from the same equipment by building type. We see same trend as in the commercial measures of increased energy savings for cooling dominated measures and decreased savings for heating dominated measures.

**Figure A-3-5. Annual savings by climate zone for 18 SEER residential split/package AC systems**

Attachment A

**Figure A-3-6. Annual savings by building type for 18 SEER residential split/package AC systems**





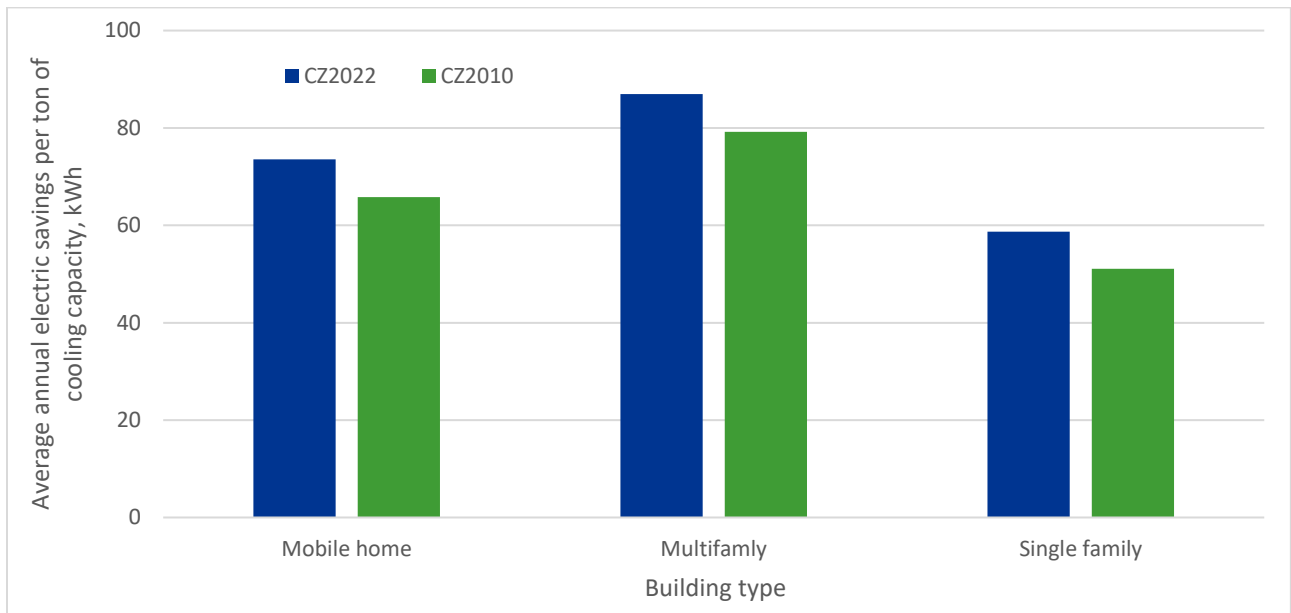
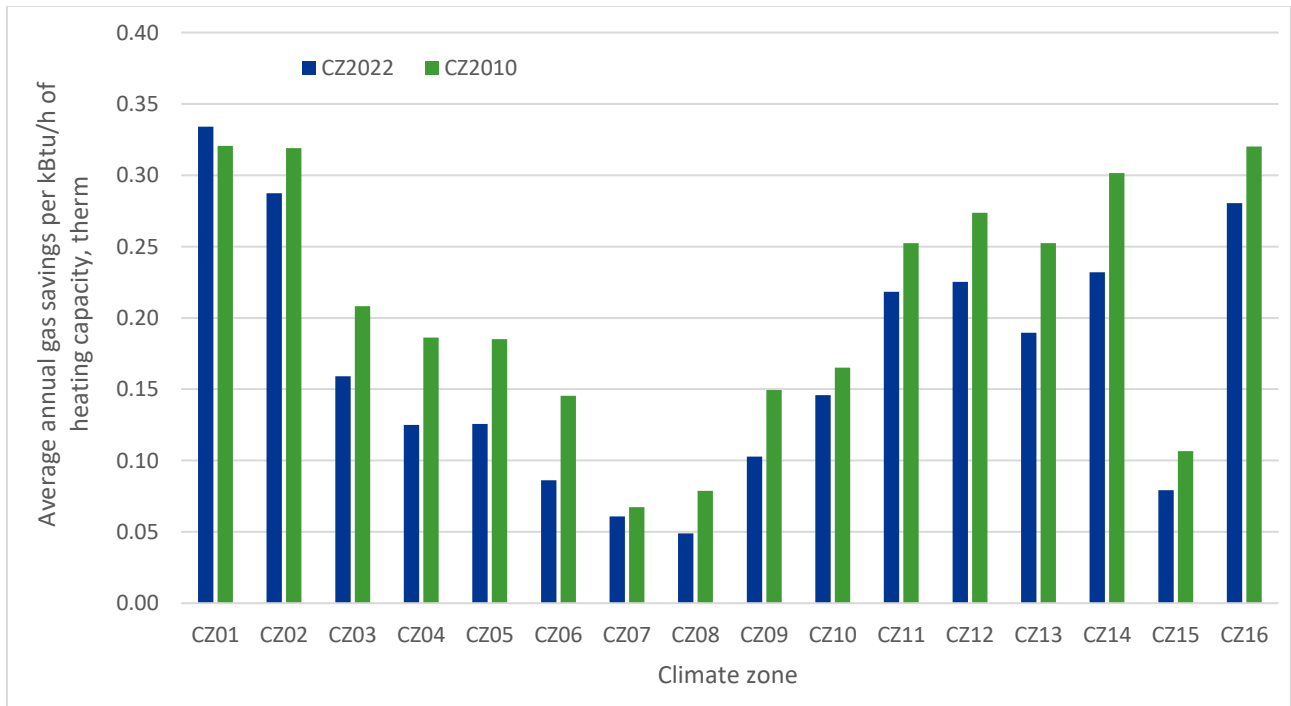
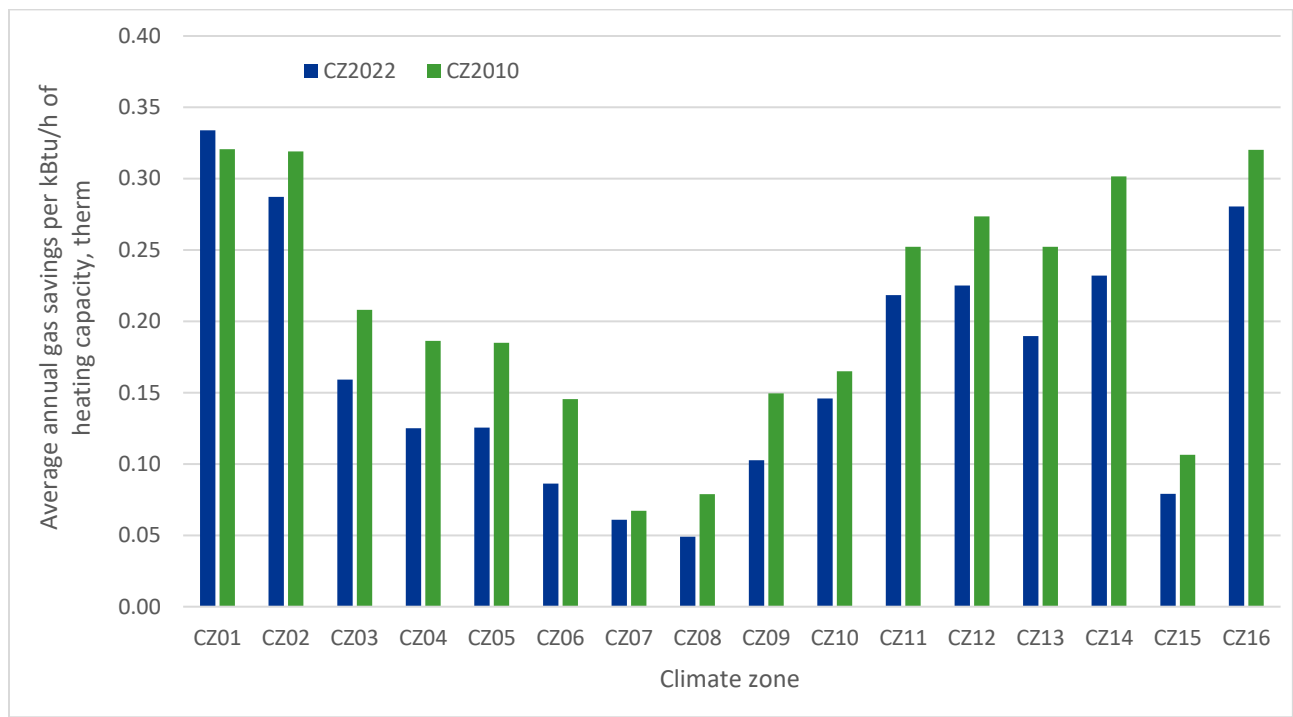


Figure A-3-7 shows the average annual savings for a 90 AFUE residential furnace by climate zone and

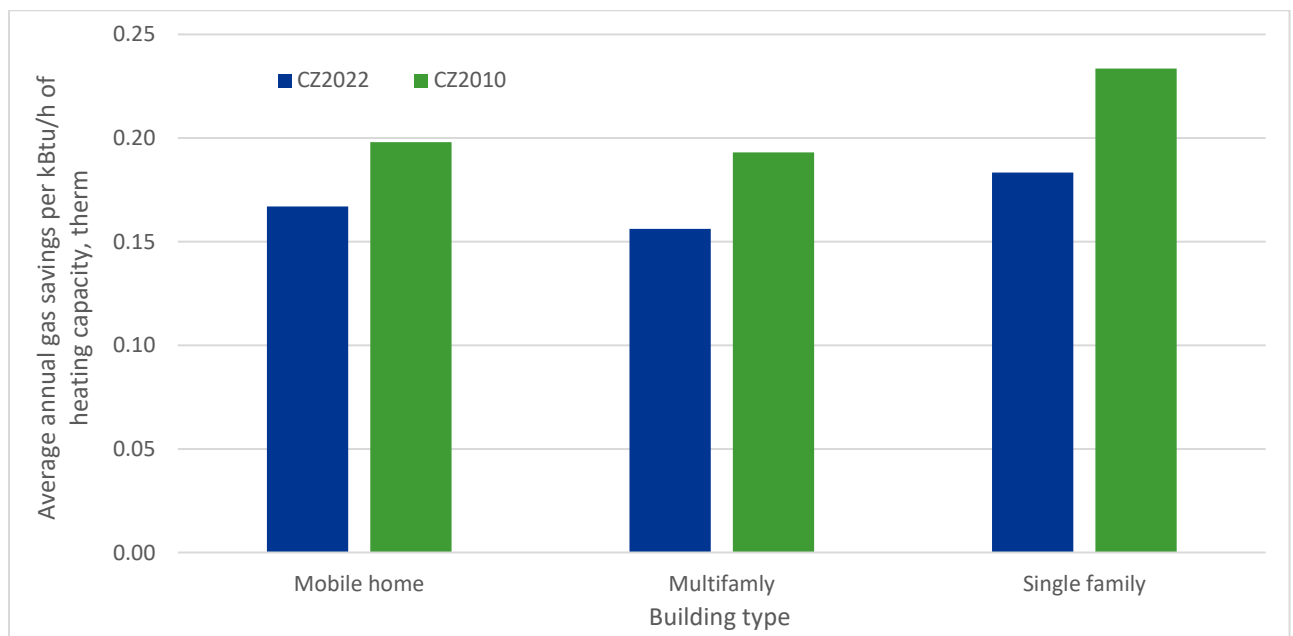
Figure A-3-8 shows the savings for the same equipment by building type. We see the same trend as in commercial heating dominated measures, where savings are reduced across all climate zones and building types using the new weather.

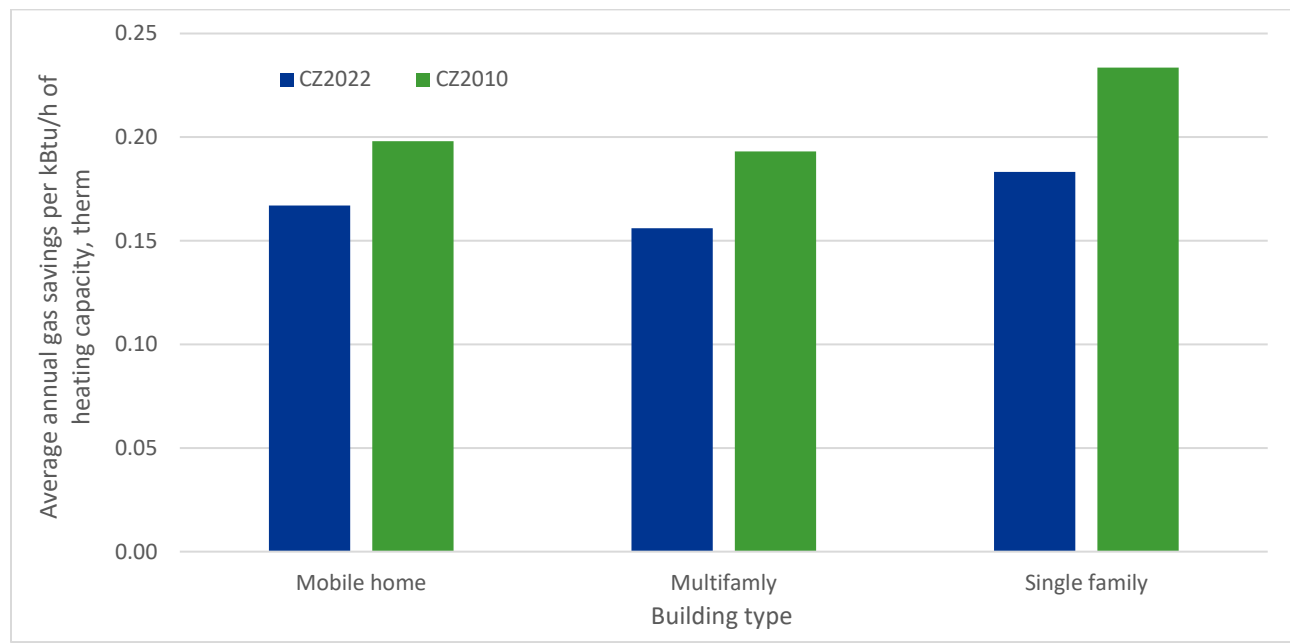
**Figure A-3-7. Annual savings by climate zone for 90 AFUE residential furnaces**





**Figure A-3-8. Annual savings by building type for 90 AFUE residential furnaces**





**Effective Program Year: 2022.** The switch from CZ2010 to CZ2022 weather data for DEER2023 measures calls for a new field—*Weather*—be added to the following tables in the PEAR/ExAnte databases: Measure, LoadShapeElec\_2022, and LoadShapeGas\_2022. If PY 2022 workpapers are submitted that use CZ2022 weather data, the new *Weather* field will need to be added to the Measure table within the submitted ExAnte Data (EAD) workbook.

**Effective Program Year: 2023.** The new *Weather* field will need to be added to the eTRM permutations table that is submitted with each new measure package. Coordination with the Reporting PCG, CEDARS, and the PAs will be necessary.

### 3.3 Peak demand period definition update

**Effective Program Year: 2023.** We must update the peak demand period dates to align with the new building simulation weather files, so the peak kW consumption is accurately calculated. The current approach to develop peak demand periods is as follows: Electric peak demand impacts for energy efficiency measures are represented by the average kWh reduction over a 15-hour window. The 15-hour window is from 4 p.m. to 9 p.m. (5 hours) over a three-day “heat wave” that occurs on consecutive days in June through September. The first day of that heat wave is determined for each climate zone and marks the start date for the peak demand period.

The rules used to identify the three-day peak demand periods for the new weather data can be summarized more simply as follows:

- Consistent with Title 24 and CZ2010, a 2009 calendar year is used to determine which days are weekends and holidays
- The heat wave occurs between June 1 and September 30
- The heat wave occurs on consecutive days and does not include weekdays or holiday

## Attachment A

- The heat wave has the highest index value computed by adding and giving equal weight to each of these values:
  - The peak temperature over the three-day period
  - Average temperature over the three-day period
  - The average temperature from 4 p.m. to 9 p.m. over the three-day period

This general approach is outlined in the previous 2014 weather update document<sup>7</sup> and the CPUC Energy Efficiency Policy Manual,<sup>8</sup> and an update to the peak demand period hours of 4 p.m. to 9 p.m. was specified in the DEER2020 Update.<sup>9</sup>

The peak demand period start-dates determined for the new CZ2022 weather file data are presented in Table A-3-2 along with the previous CZ2010 values.

**Table A-3-2. Comparison of CZ2010 and CZ2022 peak demand period start-dates**

Climate Zone	CZ2010 (Title 24 2013) Weather Files				CZ2022 (Title 24 2022) Weather Files			
	Start Date	Week-day	Temperature (°F)		Start Date	Week-day	Temperature (°F)	
			Maximum Peak	3-day Average			Maximum Peak	3-day Average
CZ01	Sep 16	Wed	81	59.8	Aug 26	Wed	86	60.2
CZ02	Jul 8	Wed	103	75.9	Aug 26	Wed	102	74.7
CZ03	Jul 8	Wed	91	69.2	Aug 26	Wed	87	71.3
CZ04	Sep 1	Tue	99	77.5	Aug 26	Wed	101	80.0
CZ05	Sep 8	Tue	87	64.8	Sep 16	Wed	93	68.3
CZ06	Sep 1	Tue	102	77.1	Sep 2	Wed	85	76.1
CZ07	Sep 1	Tue	90	73.9	Sep 2	Wed	83	74.4
CZ08	Sep 1	Tue	105	79.8	Sep 2	Wed	98	79.7
CZ09	Sep 1	Tue	107	86.6	Sep 1	Tue	100	82.9
CZ10	Sep 1	Tue	109	86.3	Jun 29	Mon	105	85.5
CZ11	Jul 8	Wed	113	88.3	Jun 29	Mon	110	90.2
CZ12	Jul 8	Wed	109	82.4	Jun 29	Mon	107	84.5
CZ13	Jul 8	Wed	108	86.7	Jun 29	Mon	109	90.6
CZ14	Aug 26	Wed	105	86.8	Jun 29	Mon	109	88.9
CZ15	Aug 25	Tue	112	97.5	Jun 29	Mon	120	100.8
CZ16	Jul 8	Wed	90	78.8	Aug 12	Wed	88	77.7

The first thing to notice about the new values is that for about half the climate zones the month of the peak has shifted, but not consistently in one direction. For some climate zones, the peak happens a

<sup>7</sup> "DEER2014-Codes and Standards Update for the 2013-14 Cycle," February 11, 2014, [http://deeresources.com/files/DEER2013codeUpdate/download/DEER2014UpdateDocumentation\\_2-12-2014.pdf](http://deeresources.com/files/DEER2013codeUpdate/download/DEER2014UpdateDocumentation_2-12-2014.pdf)

<sup>8</sup> CPUC Energy Efficiency Policy Manual, version 6, April 2020, pg. 36.

<sup>9</sup> Resolution E-4952, October 11, 2018. <http://www.deeresources.com/files/DEER2020/download/Resolution%20E-4952.PDF>

month sooner but for others it happens a month later. In addition, for some climate zones the CZ2022 peak temperatures are lower than the CZ2010 values, but that may be because the hottest day of the year has shifted outside of the conventional June-September summer period, for example for CZ08 the hottest days of the year for CZ2022 weather data occur in October. For these reasons, CPUC staff may explore revision to the peak demand period definition for the 2024 DEER update.

For reference, the weather stations used to represent the Title 24 climate zones are provided in Table A-3-3.

**Table A-3-3. Weather stations representing Title 24 climate zones**

Climate Zone	Weather Station locations	
	Location	Elevation (ft)
CZ01	Arcata	203
CZ02	Santa Rosa	125
CT03	Oakland	6
CZ04	San Jose-Reid	135
CZ05	Santa Maria	253
CZ06	Torrance	88
CZ07	San Diego-Lindbergh	13
CZ08	Fullerton	395
CZ09	Burbank-Glendale	741
CZ10	Riverside	840
CZ11	Red Bluff	348
CZ12	Sacramento	16
CZ13	Fresno	335
CZ14	Palmdale	2,523
CZ15	Palm Springs-Intl	475
CZ16	Blue Canyon	5,279

### 3.4 Modifications to allow new load shape sources

*Effective Program Year: 2023.* New sources of generalized load shape parameters (e.g., simulation-based tools or advanced metering infrastructure analysis) could originate from impact evaluations or from studies conducted to support measure packages will be noted. For 2023, PAs may also produce new load shapes using simulation outputs or advanced metering infrastructure (AMI) analysis and submit them using the load shape processing workbooks available on the DEEResources.com website.<sup>10</sup> These load shapes will be reviewed through the measure package review process and included as possible shapes for processing into avoided cost combinations for 2023 claims. The details of the 2023 processes may not be the same as current processes.<sup>11</sup> The expectation is that all

<sup>10</sup> <http://www.deeresources.com/index.php/deer-load-shape>

<sup>11</sup> At this time, limited avoided cost “combo” processing ability requires that load shapes are prioritized based on percent of overall claims in recent years.

measures with updates will use the deemed load shapes made available in as of 2022-01-01. Measures that have an urgent need for additional load shapes—for measure packages submitted after the DEER2023 Resolution and before the next avoided cost update—may either request creation of a new load shape or use any one of the load shape processes available next year.

Resolution E-5082 described the format and database changes that were made to accommodate load shapes. As we transition to the eTRM database, the load shapes will be incorporated into the eTRM database structure.

### 3.5 Measure cost methodology and documentation guidance

Effective Program Year: 2023. The DEER database contains tables with outdated gross incremental measure costs because the costs for all measures are updated in measure packages and are approved by the review team. When upstream lighting comprised the majority of portfolio savings, the cost information was quite detailed based on extensive review and EM&V. As the portfolio becomes more diversified and as cost effectiveness continues to be refined, the measure costs must also remain as up to date as the savings estimates and avoided costs. The DEER2023 update expires out-of-date values and revises the measure cost tables to align with the eTRM transition. The DEER2023 update also clarifies the following to ensure the gross incremental measure costs are aligned:

- For normal replacement measures, the costs for standard/code baselines should align (e.g., if two measures use the same baseline efficiency assumption in a model, they should both use the same baseline costs).
- For accelerated replacement measures, the costs need to address remaining useful life (RUL) and expected useful life (EUL) periods properly, as described in the Energy Efficiency Policy Manual.<sup>12</sup> The following equation summarizes the policy manual definition of accelerated replacement costs (ARC) based on full measure cost (FMC), standard efficiency measure cost (SMC), discount rate of capital (D) and the RUL of the replaced equipment:

$$ARC = FMC - \frac{SMC}{(1 + D)^{RUL}}$$

- For fuel-substitution measures, the labor costs do not often cancel out as they do for “like for like” replacement measures.
- For ~~packages of measures~~some measure groups and some specific measures, the costs should only include the energy saving measures/features and not include costs for non-energy impacts or aesthetic features.

### 3.6 Refrigerant avoided costs

Effective Program Year: 2023. D.21-05-031 directs program administrators to use the Refrigerant Avoided Cost Calculator (ACC) for portfolio forecasts, filings, and measure packages beginning in 2022-PY2022 where the retrofit involves adding (not replacing) equipment with refrigerant – these include fuel substitution, electric resistance to heat pump measures, or where low-GWP refrigerant

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<sup>12</sup> [https://www.cpuc.ca.gov/uploadedfiles/cpuc\\_public\\_website/content/utilities\\_and\\_industries/energy - electricity and natural gas/eepolicymanualv5forpdf.pdf](https://www.cpuc.ca.gov/uploadedfiles/cpuc_public_website/content/utilities_and_industries/energy_-_electricity_and_natural_gas/eepolicymanualv5forpdf.pdf)

benefits will be claimed. They further direct program administrators to “seek out all cost-effective opportunities to incorporate low-GWP measures in the energy efficiency portfolios.”<sup>13</sup>

The Refrigerant Avoided Cost Calculator (RACC) available on CPUC’s Cost Effectiveness information page is a new tool that will be used to calculate refrigerant carbon equivalent emission impacts to be included in cost effectiveness calculations in 2022.<sup>14</sup> The most recent copy of the refrigerant avoided cost calculator resides on CPUC website.<sup>15</sup> Updates to the refrigerant avoided cost calculator will coincide with IDER ACC update cycles.

Using the Intergovernmental Panel on Climate Changes (IPCC) methodology and leveraging emissions estimates from the California Air Resources Board (CARB), the tool predicts the refrigerant leakage impact in a dollar equivalent avoided cost value. ~~This calculator should be used to calculate the avoided costs of refrigerant leakage for any device containing a refrigerant (i.e. refrigerator, air conditioner, heat pump water heater, etc.). Refrigerants need to be addressed using the RACC only where the retrofit involves adding (not replacing) equipment with refrigerant – these include fuel substitution, electric resistance to heat pump measures, or where low-GWP refrigerant benefits will be claimed.~~ Note that the output field is labeled “net present value (NPV) avoided costs” regardless of whether that value represents a benefit or a cost, so users must be careful to input this data correctly into their cost-effectiveness tools. Positive avoided costs are a benefit while negative avoided costs are a cost in the California cost effectiveness tests.

The Refrigerant Avoided Cost Calculator tool calculates the refrigerant leakage for one piece of equipment at a time. To accurately account for the effect of a measure installed through an energy efficiency program, the NPV avoided cost of refrigerant leakage should be calculated for the baseline equipment and for the installed equipment, the difference of which is the NPV avoided cost attributable to the installed measure. ~~For a heat pump replacing a gas furnace and air conditioner, the net cost of refrigerant leakage from heat pump fuel substitution measures could be significantly over-estimated if the replacement of an existing or planned air conditioner is not reflected.~~ For statewide measures, the weighted average cost of capital (WACC) used should be a load-share based average (SW funding proportions). The GWP time horizon should be 100 years. Required device types are those that use refrigerant and are installed as part of an EE project and either a) are fuel substitution b) electric resistance to heat pump conversion or c) include low-GWP refrigerant (lower than standard equipment and regulation).

If an up/midstream measure uses a lower-than-standard GWP refrigerant, a measure package should be filed incorporating the benefit of the low-GWP (versus new “standard” refrigerant). Where fuel substitution measures are being claimed by up/midstream and/or where replacement of electric resistance with a heat pump is being claimed, the up/midstream program would rely on the deemed measure package values; these, in turn, rely on average installed equipment characteristics. To the extent that the baseline refrigerant may be difficult to identify, additional work may be needed. However, we have already stated that refrigerants used in typical installations in the 2019/2020 portfolio or the current regulation—whichever has lower GWP—may be used as baseline for normal

<sup>13</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Efile/G000/M378/K256/378256443.PDF>, p. 58.

<sup>14</sup> <https://www.cpuc.ca.gov/general.aspx?id=5267>

<sup>15</sup> See [ftp://ftp.cpuc.ca.gov/gopher-data/energy\\_division/EnergyEfficiency/CostEffectiveness/Refrigerant%20Calculator.xlsx](ftp://ftp.cpuc.ca.gov/gopher-data/energy_division/EnergyEfficiency/CostEffectiveness/Refrigerant%20Calculator.xlsx).



replacement. Accelerated replacement may use the removed refrigerant during the first baseline period and the standard refrigerant for the second baseline period after the RUL of the removed equipment.

The reporting of refrigerant leakage avoided costs is enabled by the refrigerant avoided cost calculator, ~~required for PY2022 claims, and is applicable to all measures that contain refrigerant. to be included in cost effectiveness calculations in 2022 and is applicable to all measures that add new refrigerant.~~ The reporting does not only apply to deemed measure reporting. It applies to all claimed measures: custom and deemed. If the custom project is fuel substitution with heat pump added, or replacing electric resistance technology with a heat pump, or if the project involves use of low-GWP refrigerants then it should use the calculator.

Documentation of the refrigerant avoided cost inputs and a copy of the refrigerant avoided cost calculator are required for applicable measure package submissions ~~as dictated by the CPUC schedule.~~ custom measures as dictated by the CPUC schedule. Documentation of refrigerant avoided cost inputs also apply to custom projects. Documentation of refrigerant avoided cost inputs and copy of the refrigerant avoided cost calculator also apply to custom projects and must be similarly included as part of the custom application documentation package. New refrigerant avoided cost fields will need to be added to eTRM permutation tables as described in section 9.4.

### 3.7 Expire all deemed refrigerant charge adjustment measures

*Effective Program Year: 2023.* The expiration of refrigerant charge adjustment (RCA) measures was based on a final report regarding the study conducted for the CPUC, "Proposer-Defined Study – A Roadmap for Accelerating the Adoption of Low-Global Warming Potential HVAC Refrigerants."<sup>16</sup> ~~The study was triggered by California legislation directing the CPUC to develop a strategy for including low-global warming potential (GWP) HVAC refrigerants in incentivized energy efficiency programs. The following conclusion supports this action:~~ In addition, the RCA measures have garnered relatively low realization rates across years of evaluations<sup>17</sup> partly because many RCA claims were for small adjustments to refrigerant levels<sup>18</sup> which has a small effect on HVAC efficiency.<sup>19</sup> We are discontinuing this measure because small adjustments to refrigerant charge are not necessary whereas large adjustments to refrigerant charge require the leak is fixed, but the repair is not currently required in the RCA measure.

***Refrigerant charge adjustments cause emissions to increase.*** *Our research on CARB and EPA emissions estimates shows operational refrigerant leakage stems from system leakage and incidental leaks when servicing the system. These types of emissions increase every time refrigerant is added to a system. Whenever hoses and gauges are attached to a unit, some minor leakage will occur. Significant leakage can occur because of a temperamental valve or a system getting overcharged. Feedback*

<sup>16</sup> "Proposer Defined Study. A Roadmap for Accelerating the Adoption of Low-Global Warming Potential HVAC Refrigerants," for CPUC by DNV GL, May 3, 2021, p. 8, <https://pda.energydataweb.com/#1/documents/2506/view>.

<sup>17</sup> The evaluated gross realization rates for residential RCA measures from 2006 through 2019 have averaged 33 percent; for commercial, it is slightly better at 47 percent.

<sup>18</sup> "Impact Evaluation of 2015 Commercial Quality Maintenance Programs" 2015. [http://www.calmac.org/publications/HVAC3\\_2015\\_FINAL\\_Impact\\_Report.pdf](http://www.calmac.org/publications/HVAC3_2015_FINAL_Impact_Report.pdf)

<sup>19</sup> R. Mowris, E. Jones, R. Eshom, K. Carlson, J. Hill, P. Jacobs, J. Stoops. 2016. Laboratory Test Results of Commercial Packaged HVAC Maintenance Faults. Prepared for the California Public Utilities Commission. [http://www.calmac.org/publications/RMA\\_Laboratory\\_Test\\_Report\\_2012-15\\_v3.pdf](http://www.calmac.org/publications/RMA_Laboratory_Test_Report_2012-15_v3.pdf)

~~from HVAC contractors indicates that the standard practice is to avoid even checking the refrigerant charge unless all other common issues are ruled out, but this was not standard practice until just recently. Historical evaluated energy efficiency performance for air conditioner maintenance programs focusing on refrigerant charge adjustments demonstrate these activities often provide minimal energy performance improvements.~~

Hence, the Measure IDs provided in Table A-3-4 will be expired at the end of 2022.

**Table A-3-4. Expired Refrigerant Charge Adjustment (RCA) measures**

Measure ID	Description	Version	Start Date	Expiry Date
<b>Non-residential</b>				
NE-HVAC-RefChg-Inc-High-ntxv	Small Pkg AC system with No TXV, increase refrigerant charge from High under-charge (10 - 50%) to factory specified level	DEER2020	2020-01-01	2022-12-31
NE-HVAC-RefChg-Inc-High-txv	Small Pkg AC system with TXV, increase refrigerant charge from High under-charge (10 - 50%) to factory specified level			
NE-HVAC-RefChg-Inc-Low-ntxv	Small Pkg AC system with No TXV, increase refrigerant charge from Low under-charge (4 - 5%) to factory specified level			
NE-HVAC-RefChg-Inc-Low-txv	Small Pkg AC system with TXV, increase refrigerant charge from Low under-charge (4 - 5%) to factory specified level			
NE-HVAC-RefChg-Inc-Typ-ntxv	Small Pkg AC system with No TXV, increase refrigerant charge from Typical under-charge (4 - 50%) to factory specified level			
NE-HVAC-RefChg-Inc-Typ-txv	Small Pkg AC system with TXV, increase refrigerant charge from Typical under-charge (4 - 50%) to factory specified level			

Measure ID	Description	Version	Start Date	Expiry Date
<b>Residential</b>				
RB-HV-RefChrg-DuctLoss-Typ-Inc	Increase Refrigerant Charge - Typical (8% rated charge) and Duct Sealing (medium to low)	DEER2020	2020-01-01	2022-12-31
RE-HV-RefChrg-Dec-NTXV-typ	Decrease Refrigerant Charge - System with No thermal expansion valve (TXV) - Typical (8% rated charge)			
RE-HV-RefChrg-Dec-TXV-typ	Decrease Refrigerant Charge - System with thermal expansion valve (TXV) - Typical (8% rated charge)			
RE-HV-RefChrg-Inc-NoTXV-typ	Increase Refrigerant Charge - System with No thermal expansion valve (TXV) - Typical (8% rated charge)			
RE-HV-RefChrg-Inc-NTXV-16pct	Increase Refrigerant Charge - System with No thermal expansion valve (TXV) - (16% rated charge)			
RE-HV-RefChrg-Inc-NTXV-4pct	Increase Refrigerant Charge - System with No thermal expansion valve (TXV) - (4% rated charge)			
RE-HV-RefChrg-Inc-TXV-16pct	Increase Refrigerant Charge - System with thermal expansion valve (TXV) - (16% rated charge)			
RE-HV-RefChrg-Inc-TXV-4pct	Increase Refrigerant Charge - System with thermal expansion valve (TXV) - (4% rated charge)			
RE-HV-RefChrg-Inc-TXV-typ	Increase Refrigerant Charge - System with thermal expansion valve (TXV) - Typical (8% rated charge)			

### 3.8 Clarify add-on equipment approach to EUL

Effective Program Year: 2023. Resolution E-4818 adopted the definition for Add-On Equipment (AOE) as presented in Section 2.2.5 of the Preponderance of Evidence guidance document.<sup>20</sup> The AOE definition states that ~~the~~

"An Add-on Equipment (AOE) measure installs new equipment onto an existing host improving the nominal efficiency of the host system. The existing host system must be operational without the AOE, continue to operate as the primary service equipment for the existing load, and is able to fully meet the existing load at all times without the add-on component. The AOE must not be able to operate on its own. The actual energy reduction occurs at the host equipment, not at the add-on component, although any add-on component energy usage must be subtracted from the host savings."

<sup>20</sup> "Early Retirement Using Preponderance of Evidence" (also Resolution E 4818, p. 24) <http://www.cpuc.ca.gov/WorkArea/DownloadAsset.aspx?id=5325>.

The EUL of add-on equipment measures is capped at the RUL of the host equipment being retrofitted, and for deemed measure a default RUL is set at one-third the EUL. As stated in the guidance document, the default may be amended in a measure package when evidence to do so is provided. Additionally, the host equipment is defined as the equipment that uses less energy as a result of the add-on measure.<sup>21</sup> However, in some cases the add-on measure is not installed directly on the host equipment allowing the measure to remain in place even if the host equipment is replaced. Current policy zeros out savings when the host equipment is replaced, but we recognize that savings for the remote AOE will in fact be greater than zero. if the AOE measure remains in place and is compatible with the new host equipment. We ~~have allowed~~ may allow changes to the host equipment to increase or remove the cap on the EUL of the add-on measure in some of these cases where the add-on measure is not installed directly on the host equipment. An example of this might be an ozone laundry add-on to a washing machine that would remain even if the washing machine was replaced.

The CPUC will review PA proposals for EULs not in DEER through the measure package review process on a case-by-case basis. Within the measure package for an add-on-equipment (AOE) measure, the host equipment needs to be clearly defined, the logic clearly explained for the choice of measure application type (MAT), and the EULs listed for all relevant equipment (including the measure, the equipment it is installed upon, and the equipment that saves energy by increasing efficiency due to the add-on measure). The AOE MAT should be used for measures that affect the operation or efficiency of energy-consuming equipment such as VFDs or other equipment-specific controls but is usually inappropriate for measures that affect the load on energy-consuming equipment. Some measures—including showerheads, faucet aerators, pipe insulation, and building insulation (which have been previously classified as AOE in resolution E-4952)—affect the load on the energy-consuming equipment, typically remain in place upon replacement of said equipment and should not be classified as AOE. When measure packages are naturally revised, the measure application type(s) (MAT) will be reviewed and revised in accordance with existing MAT definitions.

### 3.9 Clarify approach to DEER GSIA table updates

Effective Program Year: 2023. Decision D.11-07-030 (p. 22) initiated the tracking of installation rate values in DEER and populated them with EM&V results from the 2006-08 evaluation reports. The decision states that installation rates must be stored separately and not embedded in the gross savings for a measure. However, the DEER table name retains the Gross Savings and Installation Rate Adjustment factor (GSIA).<sup>22</sup> Rather than storing the values as a product, it would be clearer if the tables were separated into an installation rate table for deemed measures and a realization rate table for custom measures. The Decision does not provide a mechanism for updating the GSIA values and many have not been updated since inception. The DEER2023 Update will create a new installation rate table and update the installation rate values stored in the table.

<sup>21</sup> Resolution E-4818, Section 1.3.6.2 Add-On Equipment, pp. 26-27.

<sup>22</sup> The GSIA is a DEER adjustment factor that combines the Realization Rate and Installation Rate (CPUC Energy Efficiency Policy Manual). Note that for CEDARS claims, the reported deemed measure realization rate (RR) is always 1, and for custom projects the reported installation rate (IR) is always 1.

### 3.10 Custom measure usage of deemed values

*Effective Program Year: 2021.* Deemed measures can be processed through the custom programs as long as the deemed savings values are used ~~and deemed rebates amounts are not exceeded.~~<sup>23</sup> This is not a change from previous policy, it is a restatement of existing policy that has not been consistently followed.

### 3.11 Revisions to fieldnames for baseline clarity

*Effective Program Year: ~~2023~~2024.* Throughout the CEDARS, CET, and eTRM data platforms, the terms "1<sup>st</sup> baseline" and "2<sup>nd</sup> baseline" describe energy savings during either the RUL or EUL periods, depending upon the measure application type (MAT) of a given measure. In reviewing the claims reporting data for 2019, several thousand records were found to contain non-zero 2<sup>nd</sup>-baseline energy savings for measure application types other than "Accelerated Replacement" (AR). Since we anticipate that fewer mistakes will occur with field names that resemble more closely those long employed by DEER that describe the baselines in use, we are moving forward with this transition as presented in Table A-3-5 and Table A-3-6. The new field names should be used according to the default baseline policy for all sectors adopted by Resolution E-4818.<sup>24</sup> These revisions will require coordination with the Reporting PCG, CET, CEDARS, the PAs, and the eTRM.

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<sup>23</sup> ~~PG&E Customized Energy Efficiency Policy & Programs Rulebook version 1.0 (2016), p. 16.~~

<sup>24</sup> Resolution E-4818, Table 1, p. 4. (See <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M179/K264/179264220.PDF>.)

**Table A-3-5. Current and DEER2022 fieldnames for CET, CEDARS, and eTRM**

Unit-level, baseline-specific fieldnames	Rule by Measure Application Type (MAT)	
Current		
UnitkW1stBaseline	For NC and NR MATs: Non-zero, fuel-specific UES values using standard/code baseline	For AOE, BW, BRO and AR MATs: Non-zero, fuel-specific UES values using pre-existing baseline unless the delivery type is midstream or upstream where standard/code baseline is used <sup>25</sup>
UnitkWh1stBaseline		
UnitTherm1stBaseline		
UnitkW2ndBaseline	For all MATs but AR: Zero UES values	For AR MAT: Non-zero, fuel-specific UES values <sup>26</sup> using standard/code baseline
UnitkWh2ndBaseline		
UnitTherm2ndBaseline		
UnitMeaCost1stBaseline	For NC and NR MATs: Non-zero, fuel-specific incremental cost values using standard/code baseline or pre-existing baseline	For AOE, BW, BRO and AR MATs: Non-zero, fuel-specific full measure cost values using pre-existing baseline
UnitMeaCost2ndBaseline	For all MATs except AR: Zero or null incremental cost values	For AR MAT, only: Non-zero incremental measure cost values <sup>27</sup> using standard/code baseline
DEER2022 additions for fuel-substitution or interactive effects loads added		
UnitAddkW1stBaseline	For NC and NR MATs: Non-zero, fuel-specific added-load values using standard/code baseline	For AOE, BW, BRO and AR MATs: Non-zero, fuel-specific added-load values using pre-existing baseline
UnitAddkWh1stBaseline		
UnitAddTherm1stBaseline		
UnitAddkW2ndBaseline	For all MATs except AR: Zero or null added-load values	For AR MAT, only: Non-zero, fuel-specific added-load values using standard/code baseline
UnitAddkWh2ndBaseline		
UnitAddTherm2ndBaseline		

NC = new construction; NR = normal replacement; AOE = add-on equipment; AR = accelerated replacement; BW = building weatherization; BRO = behavioral, retro-commissioning or operational

<sup>25</sup> Ibid.

<sup>26</sup> There are a few deemed measure packages for which above-standard/code savings are disallowed in some instances (e.g., SCE17LG097.2, SWLG009-01, and SWCR004-01).

<sup>27</sup> The cost effectiveness tool (CET) calculates the accelerated replacement cost (ARC) from the full measure cost and the incremental measure cost entered in the 1<sup>st</sup> and 2<sup>nd</sup> baseline cost fields respectively.

**Table A-3-6. DEER2023 DEER2024 fieldnames for CET, CEDARS, and eTRM**

Unit-level, baseline-specific fieldname	Rule by Measure Application Type (MAT)	
<b>DEER2023DEER2024 version</b>		
UESkWStdBaseline	<u>For NC, NR, and AR MATs:</u> Non-zero UES values using standard/code baseline	<u>For AOE, BW, and BRO MATs:</u> Zero or null UES values unless the delivery type is midstream or upstream where standard/code baseline is used <sup>28</sup>
UESkWhStdBaseline		
UESThermStdBaseline		
UESkWPreBaseline	<u>For AOE, BW, BRO and AR MATs:</u> Non-zero UES values using pre-existing baseline unless the delivery type is upstream or midstream	<u>For NC and NR MATs:</u> Zero or null UES values
UESkWhPreBaseline		
UESThermPreBaseline		
UEAddkWStdBaseline	<u>For NC, NR, and AR MATs:</u> Non-zero, fuel-specific added-load values using standard/code baseline	<u>For AOE, BW, and BRO MATs:</u> Zero or null unit energy added-load values unless the delivery type is upstream or midstream
UEAddkWhStdBaseline		
UEAddThermStdBaseline		
UEAddkWPreBaseline	<u>For AOE, BW, BRO, and AR MATs:</u> Non-zero, fuel-specific unit energy added-load values using pre-existing baseline	<u>For NC and NR MATs:</u> Zero or null unit energy added-load values
UEAddkWhPreBaseline		
UEAddThermPreBaseline		
UnitMeaCostStd <sup>29</sup>	<u>For NC, NR, and AR MATs:</u> Non-zero incremental cost value using standard/code baseline.	<u>For AOE, BW, and BRO MATs:</u> Zero or null cost unless delivery type is midstream or upstream
UnitMeaCostPre <sup>30</sup>	<u>For AOE, BW, BRO, and AR MATs:</u> Non-zero full measure cost <sup>31</sup>	<u>For NC and NR MATs:</u> Zero or null cost

## 4 DEER error corrections and clarifications

DEER error corrections or clarifications are those that typically impact the actual DEER values or application of the values.

### 4.1 DEER water heater calculator corrections for commercial buildings

*Effective Program Year: 2021.* The commercial sector-wide energy savings (BldgType=Com) were incorrectly calculated in the *DEER\_WaterHeater\_Calculator\_v4.1.xlsm*. The savings calculations were corrected, the calculator was re-issued (*DEER\_WaterHeater\_Calculator\_v4.2.xlsm*), and both the

<sup>28</sup> Resolution E-4818, Table 1, p. 4. (See <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M179/K264/179264220.PDF>.)

<sup>29</sup> The standard/code baseline uses the incremental measure cost.

<sup>30</sup> The pre-existing baseline uses the full measure cost.

<sup>31</sup> Two cost values are entered for AR measures, both the full measure cost and the incremental measure cost.



Preliminary Ex Ante Review (PEAR) and Ex Ante energy impact records were updated to provide the corrected sector-wide energy savings (as of 2020-09-16).

## 4.2 Large commercial tankless water heater measure extensions

*Effective Program Year: 2021.* After discussions with Southern California Gas (SCG), it was agreed that the DEER water heater calculator methodology does not accurately estimate the energy savings provided by efficient large non-residential tankless water heaters ( $\geq 200$  kBtu/h input capacity) used to deliver service hot water. As a result, the following DEER measures—previously unavailable beyond December 31, 2020—have been extended for use through December 31, 2021 as shown in Table A-4-1. Note that new unit energy savings (UES) values will be generated for DEER2023 due to changes to the Federal standards as described in Section 6.1.

**Table A-4-1. Expiry date updates for large tankless commercial water heater measures**

DEER Measure ID	Version	Start Date	Expiry Date	
			Revised	Previous
NG-WtrHt-LrgInst-Gas-gt200kBtuh-Op80Et	DEER2014	2013-01-01	N/A	2020-12-31
NG-WtrHt-LrgInst-Gas-gt200kBtuh-Op85Et			2022-12-31	2020-12-31
NG-WtrHt-LrgInst-Gas-gt200kBtuh-Op90Et			2022-12-31	2020-12-31
NG-WtrHt-LrgInst-Gas-gte200kBtuh-lt2G-Op80Et	DEER2021	2021-01-01	2021-01-01	none
NG-WtrHt-LrgInst-Gas-gte200kBtuh-lt2G-Op90Et				
NG-WtrHt-LrgInst-Gas-gte200kBtuh-lt2G-Op96Et				

## 4.3 Water-cooled chiller measure tier definitions

*Effective Program Year: 2023.* For all liquid chilling machines (chillers), Resolution E-4952 defined two tiers of chiller measures. Tier 1 must exceed Title 24 by 10 percent for both full and part load efficiencies. Tier 2 must exceed Title 24 minimum requirements by 15 percent for both full- and part-load efficiencies. Resolution E-5082 changed the efficiency criteria for Path B<sup>32</sup> *air-cooled* chiller tiers, relaxing the full load efficiency requirement and increasing the part load efficiency requirement such that they exceed Title 24 minimum efficiency requirements as follows:

- Tier 1 at 7 percent full-load efficiency improvement and 12 percent part-load efficiency improvement
- Tier 2 at 7 percent full-load efficiency improvement and 20 percent part-load efficiency improvement

<sup>32</sup> Two efficiency compliance paths are available for chillers. Path A is used for single-speed chillers designed primarily to run at full load and Path B is used for variable speed chillers designed to operate primarily at part load. For example, for a <150-ton air-cooled chiller, Path A efficiency minimums are 10.1 EER/12.7 IPLV and Path B efficiency minimums are 9.7 EER/15.8 IPLV. The DEER tier 1 Path B air-cooled chiller minimum efficiency is  $(9.7) \times (1.07) = 10.38$  EER and  $(15.8) \times (1.12) = 17.7$  IPLV.

The 2023 DEER update will revise the efficiency tiers for Path B *water-cooled* chiller tiers such that they exceed Title 24 minimum efficiency requirements as follows:

- Tier 1 at 7 percent full-load efficiency improvement and 12 percent part-load efficiency improvement
- Tier 2 at 7 percent full-load efficiency improvement and 17 percent part-load efficiency improvement

#### 4.4 DEER2020 residential measures missing some energy impacts

Effective Program Year: 2020. Energy Impact records were missing for 92 residential measures at climate zones CZ14, CZ15, and CZ16 for the multifamily (MFm) and mobile home (DMo) building types. Along with providing the missing Energy Impact records, it was necessary to correct the sector-wide Energy Impact records for CZ14, CZ15, and CZ16 for the “Res” building type.

#### 4.5 Residential duct sealing measures normalizing unit modification

Effective Program Year: 2021. Historically, the normalizing unit for residential duct-sealing measures for all HVAC types was tons of cooling capacity (Cap-Tons). For furnace-only HVAC systems, however, it makes more sense to use Cap-kBTU/h as the normalizing unit. A conversion methodology was developed for revising the normalizing unit and presented in *Res\_Duct-*

*Sealing\_NormUnit=kBtuh\_rNCGF\_2020-11-19.xlsx*, available at [deeresources.com](http://deeresources.com). The resulting EnergyImpact records using Cap-kBTU/h as the normalizing unit for BldgHVAC=rNCGF were uploaded to the PEAR database.

#### 4.6 Residential duct sealing GSIA values expiration

Effective Program Year: 2021. The gross savings and installation adjustment (GSIA)<sup>33</sup> values for duct sealing GSIA\_IDs are out of date, based on EM&V from 2006-08, and will be expired as shown in Table A-4-2. Duct sealing measures will use the GSIA default value of 1.0 given that 2018 EM&V realization rate is 95 percent based on billing analysis that captures both realization rate and installation rate. The older 2006-08 EM&V report found a high incidence of duct sealing measures that had not been installed due to one contractor who was promptly removed from the program.

**Table A-4-2. GSIA IDs to be expired effective 2020-12-31**

GSIA_ID	GSIA Value
Res-DuctSeal-PGE-2000	0.490
Res-DuctSeal-PGE-2078	0.410
Res-DuctSeal-SCE-2502	0.410
Res-DuctSeal-SCE-2507	0.510
Res-DuctSeal-All	0.463
Res-DuctSeal-SDG	0.410

<sup>33</sup> The gross savings and installation adjustment (GSIA) is a DEER adjustment factor that combines the realization rate and installation rate according to the Energy Efficiency Policy Manual Version 6, p. 39.

GSIA_ID	GSIA Value
Res-DuctSeal-PGE	0.584
Res-DuctSeal-SCE	0.468

#### 4.7 Refrigerator/freezer measures normalizing unit modification

Effective Program Year: 2021. Historically, the normalizing unit for residential refrigerators/freezers was "Household." For the DEER2020 update, however, "Area-Ft2" was indicated as the normalizing unit (NormUnit). Since floor area was not the correct normalizing unit—and could have been misinterpreted to allow the unit energy savings (UES) to be multiplied by household floor area—NormUnit was changed to "Household" and no changes were made to the unit energy savings.

#### 4.8 Fuel substitution default NTG applicability clarification

Effective Program Year: 2020. The delivery type options for the "FuelSubst-Default" NTG ID were corrected so that this NTG ID is now available for use with fuel substitution measures offered through any delivery type.

#### 4.9 Align residential clothes washer measures with ENERGY STAR® tiers

Effective Program Year: 2023. The current ENERGY STAR Version 8.0 clothes washer program requirements became effective February 5, 2018. Only front- and top-loading clothes washers with capacities greater than 1.6 ft<sup>3</sup> and less than 8.0 ft<sup>3</sup>—and are not defined as combination all-in-one washer-dryers, residential clothes washers with heated drying functionality, or top-loading commercial clothes washers—are eligible for ENERGY STAR certification. There are currently no new versions in development.

The Consortium for Energy Efficiency (CEE) specification does not differentiate between top- and front-loading models, while ENERGY STAR does. The CEE specification defines standard sized clothes washers as greater than 2.5 ft<sup>3</sup>. As of February 5, 2018, top-loading clothes washers meeting ENERGY STAR minimum efficiency requirements would not qualify for any CEE tier.

The equipment efficiencies will be updated as shown in Table A-4-3.

**Table A-4-3. Residential clothes washer measure criteria, capacity between 1.6-8.0 ft<sup>3</sup>**

Category	Efficiency Tier	Minimum IMEF <sup>34</sup>		Maximum IWF <sup>35</sup>	
		DEER2023	DEER2020	DEER2023	DEER2020
Front-loading clothes washer	ENERGY STAR/CEE Tier 1	≥ 2.76	≥ 2.38	≤ 3.2	≤ 3.7
	ENERGY STAR Most Efficient/CEE Tier 2	≥ 2.92	≥ 2.74	≤ 3.2	≤ 3.2
	CEE Tier 3	≥ 3.10	≥ 2.92	≤ 3.0	≤ 3.2
	Code/Standard baseline	> 1.84	> 1.84	< 4.7	< 4.7
	Pre-existing baseline	> 1.84	> 1.79	< 4.7	< 4.8
Top-loading clothes washer	ENERGY STAR	≥ 2.06	≥ 2.06	≤ 4.3	≤ 4.3
	CEE Tier 1	≥ 2.76	≥ 2.06	≤ 3.2	≤ 4.3
	CEE Tier 2	≥ 2.92	≥ 2.32	≤ 3.2	≤ 4.0
	CEE Tier 3	≥ 3.10	≥ 2.76	≤ 3.0	≤ 3.2
	Code/Standard baseline	> 1.57	> 1.29	< 6.5	≤ 8.4
	Pre-existing baseline	> 1.29	> 1.08	< 8.4	≤ 9.4

To align existing DEER measures with the applicable standards, the measures shown in Table A-4-4 will be updated.

**Table A-4-4. Relevant DEER measures for residential clothes washers**

Category	DEER Measure ID	Version
Front-loading clothes washer	RB-Appl-EffCW-med-Tier1-Front	DEER2020
	RB-Appl-EffCW-med-Tier2-Front	
	RB-Appl-EffCW-med-Tier3-Front	
Top-loading clothes washer	RB-Appl-EffCW-med-Tier1-Top	
	RB-Appl-EffCW-med-Tier2-Top	
	RB-Appl-EffCW-med-Tier3-Top	

#### 4.10 Align residential dishwasher measures with ENERGY STAR® tiers

Effective Program Year: 2023. The current ENERGY STAR Version 6.0 dishwasher program requirements became effective January 29, 2016. The CEE revised dishwasher specification from 2015

<sup>34</sup> Integrated modified energy factor (IMEF) is the energy performance metric for ENERGY STAR-certified residential clothes washers as of March 7, 2015.

<sup>35</sup> Integrated water factor (IWF) is the water performance metric for ENERGY STAR-certified residential clothes washers as of March 7, 2015.

did not include a Tier 2 due to concerns around cleaning performance. While the ENERGY STAR market share has been reported to be 90 percent among dishwasher products,<sup>36</sup> this finding helped to spur the proposed update to the specification whereby the maximum annual energy consumption (kWh) will decrease. This also means that the ISP used for the baseline has to be updated. The Environmental Protection Agency (EPA) determined that approximately 15 percent of residential dishwashers qualify for the updated specification.

The Version 7.0 specification is currently under revision, with the first draft published March 10, 2020. For Version 7.0, the EPA has increased the minimum efficiency requirements for residential dishwashers, decreasing the annual energy consumption allowance for standard sized models to 240 kWh/year. According to the EPA, the expected effective date is the first quarter of 2021.

Equipment efficiencies will be updated as shown in Table A-4-5.

**Table A-4-5. Residential Dishwasher, Standard Capacity Measure Criteria**

MeasureID	Efficiency	Maximum Annual Energy Consumption, kWh	
		DEER2023	DEER2020
Appl-Dishwash-StdSize-Tier1	ENERGY STAR/CEE Tier 1	≤ 240	≤ 260
Appl-Dishwash-StdSize-Tier2	CEE Tier 2	N/A	≤ 220
Appl-Dishwash-StdSize-Tier3	CEE Tier 3	N/A	≤ 180
Appl-Dishwash-StdSize-Tier1/2/3	Code/Standard	≤ 307	≤ 307

To align existing DEER measures with the applicable standards, the measures shown in Table A-4-6 will be updated.

**Table A-4-6. Relevant DEER measures for residential dishwashers**

Category	DEER Measure ID	Version
Standard size dishwasher	Appl-Dishwash-StdSize-Tier1	DEER2020
	Appl-Dishwash-StdSize-Tier2	
	Appl-Dishwash-StdSize-Tier3	
	Appl-Dishwash-StdSize-Tier1/2/3	

#### 4.11 Whole-house fan measure updates

Effective Program Year: 2023. Whole-house fans became a Title-24 code requirement in 2014 for single-family homes in climate zones CZ08 through CZ14. Resolution E-4795 was the most recent update of the whole-house measure resulting in new whole-house fan UES values for DEER2017 and

<sup>36</sup> ENERGY STAR Program Requirements Product Specification for Residential Dishwashers, Eligibility Criteria, Draft 1 Version 7.0.  
<https://www.energystar.gov/sites/default/files/ENERGY%20STAR%20Residential%20Dishwasher%20Version%207.0%20Draft%201%20Sepcification.pdf>

the inclusion of whole-house fans in new construction baseline models in climate zones where they are required by code. The whole house fan is utilized in single-family homes and the eQUEST model for this measure assumes that the whole-house fan is on when outdoor cooling is available, the cooling load can be met by the whole-house fan, and the outdoor temperature is at least five degrees below the cooling thermostat setpoint. The whole-house fan will cool the space down to 70°F, if possible, regardless of the actual cooling thermostat setpoint.

At the time of the DEER2017 update, a number of changes were needed for the specification of whole-house fan parameters including flow rates, fan power, control sequences, and improvements to the definition and distribution of thermal mass in the residential prototypes. The new whole-house fan measures considered a range of capacities and fan efficiencies. There has been some concern about the accuracy of whole-house fan unit energy savings developed using DOE2-based modeling, particularly because the results are approximately one-third of the CEC-developed savings results<sup>37</sup> used in the publicly-owned utilities' (POU) 2017 Technical Reference Manual.<sup>38</sup> The CEC-developed savings are modeled using the 2013 version of the California Simulation Engine (CSE) that is the basis for CBECC-Res software. The CEC whole-house fan input assumptions are as follows such that the whole-house fan will:

- turn on if outdoor temperature is at least five degrees cooler than the indoor temperature
- cool the house to a fixed 68°F lower-limit setpoint, consistent with natural ventilation assumptions when the thermostat is in cooling mode
- turn off if the lower setpoint limit is reached, the 5°F minimum indoor-outdoor temperature difference no longer exists, or if the time is between 11 p.m. and 6 a.m. (with windows assumed closed, for security reasons)

The CEC-modeled home used their 2,700 ft<sup>2</sup> residential prototype with a whole-house fan airflow rate of 2,000 cfm, so the modeled ventilation rate was 0.74 cfm/ft<sup>2</sup>. The study noted a 25-percent derating of whole-house fan nominal airflow but provided no explanation for doing so. The study mentioned fan electricity consumption for a ducted economizer-type nighttime ventilation system but did not indicate the fan electricity consumption assumed for whole-house fans.

The DEER assumptions to model whole-house fans are very similar to those used by the CEC, though the lower limit setpoint is 70°F (causing slightly lower cooling savings, but also lowering the heating penalty). The DEER cooling availability schedule varies by climate zone and follows the 2017 T-24 Residential Alternative Compliance Manual (ACM) manual, allowing ventilation cooling only during shoulder months. DEER assumptions do not include a derating of nominal airflow, however it does assume that the windows will only be open half the time when there is an opportunity to use the whole-house fan.<sup>39</sup> This is the only factor used to account for windows open for cooling or airflow and windows closed at night for security reasons. A 2006 survey of ventilation behavior<sup>40</sup> found that 82 percent of respondents thought it was important to open the windows to cool the house and 70

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<sup>37</sup> Night Ventilation Cooling Compliance Option, Codes and Standards Enhancement Initiative, September 2011.

<sup>38</sup> 2017 Savings Estimation POU Technical Reference Manual published by the California Municipal Utilities Association.

<sup>39</sup> The probability fraction is set to 100% on peak kW days for accuracy of peak savings calculations.

<sup>40</sup> Price, Phillip and Max Sherman. Ventilation Behavior and Household Characteristics in New California Houses, LBNL #59620, 2006.

percent thought it was important to open windows to save energy. The study also reported 92 percent of respondents thought it important to close windows for safety/security. The 50-percent probability assumption should be checked by calibrating the simulation results to measured energy savings.

The DEER modeled savings were compared to measured savings from two evaluation studies of whole-house fans installed in California homes. The first study, a 2005 impact evaluation of Northern California Power Agency programs, found annual whole house fan measure savings from 18 homes were 203 kWh +/- 65 kWh.<sup>41</sup> These homes were presumably located in NCPA member areas in climate zones 4, 5, 11, and 12. The analysis method used billing data to report normalized annual consumption (NAC) on a per-home basis and compared consumption pre- and post-retrofit for homes installing exclusively whole house fans. The average savings of the sample with low pre-retrofit NAC (<1,000 kWh/yr) removed is 265 kWh. The annual DEER savings (average of all four PSC motor measures) for climate zones 11 and 12 average 141 kWh with a standard deviation of the 16 models at 53 kWh. The modeled savings are approximately 70% of the measured savings of the overall sample or 53% of the higher NAC portion of the sample.

The second study, a 2003 impact evaluation of the Statewide Low-Income Energy Efficiency (LIEE) Program showed average annual whole house fan measure savings from 88 homes was 108 kWh.<sup>42</sup> Eighty seven of these 88 homes were located in PG&E territory. Since the program installed multiple measures, the cooling end-use saving measures were disaggregated based on engineering models which assigned 20% of the cooling savings to whole house fans. PGE climate zones include primarily CZs 1, 2, 3, 4, 11, 12, 13, and 16. The DEER savings from those climate zones averaged across the old and existing eras are 53 kWh, about half the measured savings. Central valley savings (CZs 11-12-13) are much higher and more closely grouped at 153 kWh. Because this study uses engineering analysis to disaggregate the cooling load into the component measure savings, it is given less weight than the previous study.

Given these studies, it seems that whole house fan measure savings are underestimated by the DEER models, and the assumption with the highest uncertainty is that occupants will open windows half the time when outdoor cooling is available. This assumption will be adjusted, and DEER savings for whole house fans will be re-modeled.

For transparency, the whole-house fan measure input assumptions are documented in Table A-4-7 and Table A-4-8.

**Table A-4-7. DEER input parameters for the whole-house fan measure**

Parameter	Parameter name <sup>43</sup>	Pre-existing baseline case value	Measure case value
Ventilation method	VentMethod	AIR-CHANGE	AIR-CHANGE+FAN
Fan ventilation, cfm/sq.ft.	FanVentCFMpSF	0	0.7, 1.5, 2, 3

<sup>41</sup> Mowris, Robert. Measurement and Verification Load Impact Study for NCPA SB5X Miscellaneous Rebate Programs, 2005. (M&V\_Load\_Impact\_Study\_for\_NCPA\_SB5X\_Miscellaneous.pdf at <http://calmac.org/results.asp?flag=&searchtext=NCPA+SB5X+Miscellaneous&Submit=Search>)

<sup>42</sup> KEMA-Xenergy. Impact Evaluation of the 2001 Statewide Low Income Energy Efficiency (LIEE) Program, 2003.

<sup>43</sup> DOE2 Key words are documented in Volume 2 Dictionary file; specifically, VENT-METHOD is described on pg. 442. [https://doe2.com/Download/DOE-23/DOE23Vol2-Dictionary\\_50d.pdf](https://doe2.com/Download/DOE-23/DOE23Vol2-Dictionary_50d.pdf)

Parameter	Parameter name <sup>43</sup>	Pre-existing baseline case value	Measure case value
Ventilation control, °F	VentMaxT	70	70
Ventilation fan power, W/cfm	VentFanWperCFM	0	0.15, 0.125

**Table A-4-8. Enabled periods by climate zone for the whole-house fan measure**

Climate Zone	Period 1		Period 2		Period 3		Period 4		Period 5	
	Start	End	Start	End	Start	End	Start	End	Start	End
CZ01	never enabled									
CZ02	20-May	30-Sep	7-Oct	21-Oct						
CZ03	22-May	18-Jun	1-Jul	8-Oct	18-Oct	24-Oct				
CZ04	30-Apr	3-May	15-May	31-Oct						
CZ05 <sup>a</sup>	28-May	28-May	31-May	2-Jun	12-Jun	13-Jun	10-Jul	15-Aug	29-Aug	29-Aug
CZ06	30-Apr	2-May	7-May	6-Nov	16-Nov	19-Nov				
CZ07	17-Feb	20-Feb	25-Apr	2-May	13-May	23-Nov	18-Dec	18-Dec	20-Dec	25-Dec
CZ08	18-Mar	27-Mar	20-Apr	21-Apr	24-Apr	10-Nov	15-Nov	21-Nov	18-Dec	23-Dec
CZ09	17-Mar	26-Mar	24-Apr	7-May	12-May	6-Nov	17-Nov	22-Nov	17-Dec	22-Dec
CZ10	18-Mar	25-Mar	25-Apr	7-May	13-May	6-Nov	17-Dec	22-Dec		
CZ11	28-Apr	4-May	15-May	29-Oct						
CZ12	28-Apr	4-May	15-May	29-Oct						
CZ13	2-Apr	2-Apr	26-Apr	8-May	12-May	1-Nov				
CZ14	27-Apr	6-May	15-May	30-Oct						
CZ15 <sup>b</sup>	14-Jan	24-Jan	3-Feb	9-Feb	19-Feb	28-Feb	14-Mar	10-Apr	14-Apr	24-Nov
CZ16	24-May	1-Oct								

<sup>a</sup> Climate zone 5 has three additional enabled periods: 3-Sep. to 17-Sep., 30-Sep. to 2-Oct., and 5-Oct. to 12-Oct.

<sup>b</sup> Climate zone 15 has one additional enabled period: 16-Dec. to 25-Dec.

## 4.12 Residential gas furnace fan efficiency revision

Effective Program Year: 2022. Per the federal Energy Conservation Program for Consumer Products—as of July 3, 2019—new residential furnace fans must be driven by electrically commutated motors (ECMs).<sup>44</sup> Since these have long been a deemed measure in California, those updated for DEER2020 and listed in Table A-4-9 will be expired as of 2021-12-31. The standard description will be revised as shown beginning 2022-01-01.

<sup>44</sup> [https://www.energy.gov/sites/prod/files/2014/06/f17/furnace\\_fans\\_final\\_rule.pdf](https://www.energy.gov/sites/prod/files/2014/06/f17/furnace_fans_final_rule.pdf)



**Table A-4-9. Residential furnace measures to be expired**

MeasureID	Standard Description	Measure Description
Res-GasFurnace-AFUE81-ECM	<p><u>Through 2021-12-31:</u></p> <p>Furnace AFUE 80 with Standard Efficiency supply fan motor</p> <p><u>As of 2022-01-01:</u></p> <p>Furnace AFUE 80 with ECM supply fan motor</p>	Efficient Residential Gas Furnace - AFUE 81 with ECM supply fan motor
Res-GasFurnace-AFUE90-ECM		Efficient Residential Gas Furnace - AFUE 90 with ECM supply fan motor
Res-GasFurnace-AFUE91-ECM		Efficient Residential Gas Furnace - AFUE 91 with ECM supply fan motor
Res-GasFurnace-AFUE92-ECM		Efficient Residential Gas Furnace - AFUE 92 with ECM supply fan motor
Res-GasFurnace-AFUE93-ECM		Efficient Residential Gas Furnace - AFUE 93 with ECM supply fan motor
Res-GasFurnace-AFUE94-ECM		Efficient Residential Gas Furnace - AFUE 94 with ECM supply fan motor
Res-GasFurnace-AFUE95-ECM		Efficient Residential Gas Furnace - AFUE 95 with ECM supply fan motor
Res-GasFurnace-AFUE96-ECM		Efficient Residential Gas Furnace - AFUE 96 with ECM supply fan motor
Res-GasFurnace-AFUE97-ECM		Efficient Residential Gas Furnace - AFUE 97 with ECM supply fan motor
Res-GasFurnace-AFUE98-ECM		Efficient Residential Gas Furnace - AFUE 98 with ECM supply fan motor

#### 4.13 LED commercial lighting measure clarification

Effective Program Year: N/A. Almost all LED lighting measures were expired in 2020 due to LED becoming the baseline. Three measures were retained by using a higher-performance LED, as measured in lumens per watt (lm/W). DEER Resolution E-4952 (published in 2018) set the base and measure cases using the Lighting Facts database, a compendium of the majority of LED lighting products available in the marketplace. The bottom tier products set the baseline (concluding that a 100 lm/W TLED base efficacy was appropriate) while the top quartile products set the measure case efficacy. The Lighting Facts database was not updated after 2018 and could no longer be used to set efficacy standards.

After 2018, updates to LED efficacy (for both base and efficient cases) were based on multiple sources, including the Southern California Edison Industry Standard Practice (ISP) Study (October 2019), DesignLights Consortium updates (DLC, the DLC is a compendium of qualifying products that must meet standards of efficacy and quality), and the Department of Energy (DOE) Lighting R&D Opportunities Study (January 2020). Aggregate analysis of these resources and their subsequent revisions resulted in updates to LED efficacies which are exemplified by the TLED baseline efficacy trend of 100, 111, and 128 lm/W in 2019, 2020, and 2021 measure packages, respectively.

After the base updates were made, the measure case efficiencies were similarly adjusted. The updated measure case efficacies were compared to the DLC database and it was observed that about 45 percent of high-bay products qualified. The DLC qualified products are intended to represent the best products in the market and would correspond to the top tier of all products on the market, possibly

the top quartile, although that could not be confirmed since the Lighting Facts database no longer exists.

The 2021 measure packages expiration dates were extended to 2022-12-31 (November 3, 2020 disposition) due to the impact of COVID on markets and a conclusion that it would be unlikely that clear market trends would emerge in time to update measure packages for 2022. The expiration date was later extended to 2023-12-31. Program administrators are instructed to submit revised measure packages reflecting revised efficacy assumptions by June 1, 2022 such that those measure packages can become effective no later than January 1, ~~2023~~2024.

## 5 Review of energy efficiency EM&V and special studies

EM&V market sector evaluation results and/or special studies will continue to be some of the primary sources for DEER measure and measure package updates. Evaluation results with sufficient rigor and precision will be used to update DEER and measure package assumptions. Parameters in need of data to reduce uncertainty or increase accuracy will also be identified and fed back into the next EM&V cycle. The current evaluation is focused on program year 2019 (PY2019) claims. Year 2019 is an important milestone for deemed measures and the application of EM&V results because it was the last year for PA-specific measure packages. For PY2020, the use of statewide measure packages for nearly all measures will make application of the EM&V results prospectively much easier.

The DEER team has examined the 2019 EM&V draft impact evaluation reports and other studies to identify findings that may result in updates to deemed measure parameters and/or savings estimation approaches. Additional updates may be made looking across studies for recent years such as looking across measures at the default NTG by delivery type (e.g. direct install, rebate, upstream).

A complete list of the studies to consider is provided in Appendix E of the CPUC's 2019-2021 EM&V Plan.<sup>45</sup> As for other studies, we only considered studies that were essentially final by April 2021. A summary of the recommended updates to gross unit energy savings (UES), EUL, load shapes and/or net-to-gross (NTG) values resulting from PY2019 impact evaluations is provided in Table A-5-1.

**Table A-5-1. Assessment of expected 2019 EM&V study results**

Market Sector	Measure	Gross Savings*	NTG Ratio	
			Current	DEER2023*
Residential	Tankless Water Heaters	None	0.55	0.40
Residential	Storage Water Heaters	None	0.55	0.40
Residential	MF Recirc Pump Demand Control	None	0.55	1.00 (DI)
Residential	MF Temperature Controller	None	0.55	0.94 (DI)
Residential	Smart Controllable Thermostat	UES, LS**	No change	
Lighting	Nonresidential Indoor LED Tube	None	0.60	0.65

<sup>45</sup> "Energy Division & Program Administrator Energy Efficiency Evaluation, Measurement and Verification Plan FINAL, 2019-2021, Version 10," California Public Utilities Commission, 12/30/2020, [https://pda.energydataweb.com/api/downloads/2462/2019-21\\_EMV\\_Plan\\_final.pdf](https://pda.energydataweb.com/api/downloads/2462/2019-21_EMV_Plan_final.pdf).

Market Sector	Measure	Gross Savings*	NTG Ratio	
			Current	DEER2023*
Lighting	Nonresidential Indoor LED Fixture	None	0.91	0.65
HVAC-Residential	Fan Motor Control	None	0.55	0.88
HVAC-Residential	Condenser Coil Cleaning	None	0.65-0.67	0.80
Small Commercial	Process Ozone Laundry	UES**	0.60	0.70

\*Per E-4952, values are only changed if EM&V studies show a trend and if change is greater than +/- 0.05.

\*\*UES=unit energy savings; LS=load shape; HOU=hours of use; EUL=effective useful life; and VFD=variable frequency drive

## 5.1 Upstream and midstream program tracking data requirements

~~Effective Program Year: 2022-2023. Commission Staff will address the overarching issue of low installation rates and lowering net to gross values resulting from insufficient tracking data for measure verification and evaluation of upstream and midstream programs. These 2023. Tracking data~~ issues were raised in last year's DEER Resolution and encountered again for the PY2019 EM&V of Upstream Lighting (PY2017-2019), Upstream HVAC (PY2018-19), and Small/Medium Commercial Measures (PY2018-19).

~~Currently~~ CPUC reemphasizes the requirement for site information data for all claims for upstream and midstream delivery types. The general requirements are provided in the California EM&V Protocols<sup>46</sup> pages 209-210. The guidance in the EM&V protocols that PA's should collect additional data for upstream and midstream resource programs and have them available for data requests remains in effect for IOU's and third party implementers. CEDARS ~~does not require~~ already requires site information for upstream or midstream claims. ~~Starting,~~ though the quality of the data provided may vary.

For PY2023, the CPUC directs the PAs to work with 2022 claims, a Site ID CPUC staff to develop more specific tracking data specifications for each measure via the workpaper process or EM&V project coordination groups (PCGs). The data specification should also consider primary inputs and contextual data needed for proper application and evaluation of the savings such as building type and climate zone. The developed requirements should be posted into the "Data Collection Requirements" section of the deemed measure template. Although these data requirements might more typically be included in program implementation plans or manuals, placing them in the workpapers ~~will be required for all claims and the upstream exception dropped.~~ ensure maximum visibility and communication to all stakeholders including implementers and evaluators.

For 2022 Workpapers and Claims: There is no change needed for 2022 workpapers (measure packages) nor the CEDARS data specification. PY2022 claims information must be provided in the existing Site Table related to the claim recipient. Commission Staff expect that the information will

<sup>46</sup> "California Energy Efficiency Evaluation Protocols: Technical, Methodological, and Reporting Requirements for Evaluation Professionals," April 2006, <https://www.cpuc.ca.gov/WorkArea/DownloadAsset.aspx?id=5212>.

Attachment A

include the incentive recipient consistent with the referenced EM&V Protocols and not the customer/ratepayer ~~which will be addressed for 2023.~~ The site data will contain location and contact information for stores, contractors, or other service providers where the ultimate customer purchase occurs.

~~Starting with 2023, upstream and midstream claims will require additional~~ An example of the new data on the equipment adding a new table specific requirements for this program type. The new table will include the following information specific to upstream and midstream programs: is provided below:

- ~~• SiteID~~
- ~~• EquipmentID~~
- SiteID – A unique identifier for the installed location of the incentivized equipment
- EquipmentID – A unique identifier for each unit of incentivized equipment on the site
- Measure Size category: ~~Small~~ – General size or ~~Large~~ capacity range specific to each measure type, for example HVAC equipment would be AHRI product type and size range
- Equipment manufacturer – Manufacturer of the incentivized equipment, e.g., Carrier, Trane, Nest, Philips, GE, etc.
- ~~• Equipment model #~~
- Equipment model number – Manufacturer number that can be used to lookup size, features, performance, etc. for the incentivized equipment
- Rated capacity (~~ref. NormUnit~~) – Actual size, capacity, load rating, etc. for the incentivized equipment
- Rated efficiency unit (EfficUnit), e.g., – The engineering unit basis for the efficiency or performance rating, e.g., Unit Energy Factor (UEF ~~or~~), thermal efficiency (TE), SEER (seasonal energy efficiency ratio)
- Rated efficiency (ref. EfficUnit) – Efficiency or performance rating value for the Rated efficiency unit basis
- ~~• Quantity per sales transaction ~~or~~ project, or site~~
- ~~FuelSub\_ReplaceType: Confirm replaced~~ – Total units were gas storage water heater, gas furnace, etc. (baseline eligibility requirement) of incentivized equipment located at the site or project
- ~~• AR\_Replace Model #: Proof that the existing equipment is functional and still operating as intended.~~

Additional information verifying incentives or equipment are installed in ratepayer sites will continue to be required via data requests from Commission staff to the PAs.

## 5.2 Updates per residential 2019 EM&V reports

Two residential program evaluation reports for PY2019 were reviewed for potential deemed measure updates: water heating equipment and smart thermostats. The PY2019 evaluation of residential domestic water heating equipment included efficient storage, tankless, and heat pump water heater (HPWH) measures, as well as multifamily central water heating measures and controls. This was primarily a NTG and market-measure characterization study, and it illustrates the complexity of the water heating market caused by the variety of technology/efficiency options. The study also collected

residential hot water use information that can potentially be used for a future update to the deemed savings methodology and the DEER water heating calculator. The smart thermostat evaluation continued the effort begun in 2018 to update the previous and dated savings values with values developed from billing analysis.

### 5.2.1 Residential water heaters

Effective Program Year: 2023. Commission Staff reviewed the 2019 impact evaluation of residential water heaters.<sup>47</sup> Efficient water heaters were offered via plug-load/appliance, multifamily, and general residential energy efficiency programs. The measures evaluated were primarily storage, tankless, and heat pump water heaters (HPWHs) for single family, multifamily and mobile homes, but also targeted multifamily boiler controls. The evaluation explored uncertainties around key savings parameters including installation rate, realization rate, NTG, effective useful life (EUL), and unit energy savings (UES). However, the only updates from the evaluation are NTG values and EUL for one of the technologies as discussed below.

**Natural Gas Storage and Tankless Water Heaters.** The evaluated NTG values for gas tankless water heaters was 0.36 with 90/7 confidence/precision, and for gas storage water heaters was 0.40 with 90/13 confidence-precision. Both of these values are substantially lower than the current value of 0.55 which is the default NTG value for residential measures 2 years and older. Since the NTG values for the two technologies are within the same error band and within the same technology group, it is sensible to use a single value for both measures. Therefore, we direct the use of a NTG value of 0.40 for both storage and tankless natural gas water heaters. This is the actual evaluated NTG value for storage water heaters and a small rounding up of the tankless water heater NTG value.

In addition, evaluation results included the customer-reported ages of failed equipment as presented in Table A-5-2. The table shows that 78 percent of tankless water heaters failed much earlier than the current 20-year EUL for this measure. A recommendation to reduce the EUL for this measure to 12-15 years was considered but not adopted because these findings are customer self-reports. However, based on these results, tankless water heaters should be a priority for future EUL research. The life of a storage water also appears to be longer than the current EUL but only slightly ~~higher~~ more so.

**Table A-5-2. Customer-reported age of replaced water heaters**

Replaced Unit Type	Sample size	EUL per tracking data	<del>Net-to-gross (NTG) ratio</del> <u>Proportions of Responses</u>		
			Less than 10 years	10-15 years	Older than 15 years
Natural Gas Storage Water Heater	738	10	0.26	0.40	0.26
Natural Gas Tankless Water Heater	171	20	0.36	0.42	0.17
Heat Pump Water Heater	9	10	0.53	0.39	<del>0.89</del> <u>0.08</u>

<sup>47</sup> "Impact Evaluation of Water Heating Measures – Final, Residential Sector - Program Year 2019, EM&V Group A", California Public Utilities Commission, 4/21/2021. [https://pda.energydataweb.com/api/downloads/2503/CPUC Group A Report Water Heating PY 2019 final PDA.pdf](https://pda.energydataweb.com/api/downloads/2503/CPUC%20Group%20A%20Report%20Water%20Heating%20PY%202019%20final%20PDA.pdf)

**Heat Pump Water Heaters (same-fuel).** The 2019 evaluation also included some heat pump water heaters. Although the evaluation produced a NTG of value 0.50 for this measure, the sample was not robust enough and the measures were primarily conventional same-fuel rather than fuel substitution measures. In addition, we anticipate that the 2020 EM&V effort will target fuel-substitution HPWH measures (which have a policy-stipulated NTG value of 1.0) and that the samples and findings will be much more robust. As such, we will not apply these interim findings to HPWH measures.

### 5.2.2 Multifamily boiler demand control at recirculation pumps

The results for this measure are labeled as "Recirculation Pump" in the evaluation report. The evaluated NTG value of 1.00 for this measure is an upward adjustment from the current default value of 0.55. The updated NTG value is based on a robust survey sample size of 53 respondents, and. The NTGRs for the multifamily water heater technologies were almost uniformly 100%. This high NTG value is because program incentives do spur multifamily property managers to implement upgrades that they would have otherwise delayed or never undertaken. Multifamily central equipment can usually be repaired almost indefinitely without requiring a replacement or adding new features, and the property manager may be indifferent to system inefficiencies if the tenants pay for energy use either directly or indirectly. This NTG value shows that program awareness and incentives can impact this cycle. Therefore, we direct the use of a NTG value of 1.00 for multifamily direct install boiler demand control recirculation pumps.

### 5.2.3 Multifamily hot-water loop temperature controller

The results for this measure are labeled as "Water Heater Boiler Controls" in the evaluation report. The evaluated NTG value of 0.94 for this measure is a significant increase from the current default value of 0.55. The updated NTG recommendation is based on a survey sample size of 48 respondents and has a relative precision of 7.6 percent at 90% confidence. As explained for the previous measure, these high NTG values reflect the unique situation for multifamily properties. Therefore, we direct the use of a NTG value of 0.94 for multifamily direct install hot-water loop temperature controllers.

### 5.2.4 Smart Controllable Thermostats

Effective Program Year: 2022. Commission staff reviewed the PY2019 Impact Evaluation of Smart Thermostats report.<sup>48</sup> Evaluated parameters include UES, NTG, and load shapes and the evaluation encompassed thermostat installations for direct install and rebate delivery types and all residence types. This evaluation completes the two-part effort begun in 2020 to update the previous dated and PA-specific values with statewide values derived from billing analysis. Only NTG value updates are discussed here because ~~the only those~~ values are stored in the DEER database. Savings (and not the UES and load shapes). NTG, UES, and load shape update guidance will be provided via the measure package ~~process since these values were developed from billing analysis not DEER prototype models.~~ NTG ratios for both direct install and downstream program SCTs were updated last year: A NTG value of 0.90 is used for direct install and 0.55 is used for downstream rebate programs. Results from this

<sup>48</sup> "Impact Evaluation of Smart Thermostats - Draft Residential Sector Program Year 2019, EM&V Group A", California Public Utilities Commission, 3/23/2021. [https://pda.energydataweb.com/api/downloads/2487/CPUC Group A Residential SCT draft Report\\_PDA.pdf](https://pda.energydataweb.com/api/downloads/2487/CPUC%20Group%20A%20Residential%20SCT%20draft%20Report_PDA.pdf)

~~year validated these values so no NTG updates are needed. NTGR values are not revised if the change is less than 0.05 percentage points and therefore the NTG for D.I. remains at 0.90. UES values and load shapes were also developed this year but because those values are developed from billing analysis not DEER prototypes so any updates will be discussed and directed by disposition as part of the measure package update process.~~

### 5.3 Updates per nonresidential lighting 2019 EM&V report

Effective Program Year: 2023. Commission Staff reviewed the PY2019 impact evaluation of non-residential lighting.<sup>49</sup> Only two of the six measures considered for evaluation—the two that accounted for 90 percent of the savings—were evaluated: Indoor Linear LED Fixtures and Indoor Linear LED Tubes. These measures are offered in and were evaluated for downstream and midstream program delivery types and are still offered in the current PA portfolio as statewide measures.

The PY2019 evaluation is the first opportunity to conduct NTG research of lighting measures with the new LED baselines. The deemed lighting baselines and offerings have continued to increase in efficacy with the benchmark baseline efficacy increasing from 100 lumens per watt (lm/W) in PY2019 to the current assumption of 128 (lm/W). While the underlying assumptions of baseline and offerings have evolved, their relationship to the market has remained consistent and similar attribution is expected, hence the PY2019 evaluation findings are applicable to the current statewide measures.

For LED fixtures, the evaluated NTG values were significantly lower than the current ex ante value of 0.91 established by the previous DEER Resolution E-4952. In Resolution E-4952, lighting fixture baselines were revised to reflect either an all-LED or significantly-LED (small fraction of linear fluorescent), and the 0.91 NTG value was stipulated to reflect this change, and the expected substantial barriers and reduced opportunity for free-riders.<sup>50</sup> For TLEDs, the evaluated NTG values were slightly higher (0.71 and 0.63) than the ex ante default value of 0.60.

2019 EM&V Statewide average NTG results are summarized in Table A-5-3, which also includes a mapping to the currently-active eTRM nonresidential lighting measures. Downstream values are slightly higher than midstream ones, but the entire range is relatively tight. Because the range of values is relatively small and to simplify the NTG implementation while providing a good representative value, we direct the use of a NTG value of 0.65 for both TLED and LED fixtures and for all delivery types.

**Table A-5-3. PY2019 evaluated net-to-gross ratio by nonresidential lighting type**

Lighting Type	Current Statewide eTRM Measures	Net to gross (NTG) ratio	
		Downstream	Midstream
Fixtures	SWLG011-03 (LED, High or Low Bay) SWLG012-01 (LED Ambient Fixtures and Retrofit Kits, Commercial)	0.67	0.63

<sup>49</sup> "CPUC Group A Lighting Sector: PY 2019 Nonresidential Deemed Lighting Impact Evaluation Final Report," 3/26/2021, <https://pda.energydataweb.com/#!/documents/2489/view>. "Final Impact Evaluation, NonResidential Lighting Sector Program Year 2019", 3/26/2021, [https://pda.energydataweb.com/api/downloads/2489/PY2019\\_NonresLgtImpact\\_FinalRpt.pdf](https://pda.energydataweb.com/api/downloads/2489/PY2019_NonresLgtImpact_FinalRpt.pdf)

<sup>50</sup> "2018 Disposition Update for High and Low Bay LED Fixtures based on resubmission of measure package PGECLTG178 Revision 3 in response to a 2017 Phase Disposition", May 7, 2018.



Lighting Type	Current Statewide eTRM Measures	Net to gross (NTG) ratio	
		Downstream	Midstream
TLEDs	SWLG009-02 (LED, Tube) SWLG018-01 (LED, Tube, Type B and Type C)	0.71	0.63

## 5.4 Updates per HVAC sector 2019 EM&V reports

Commission staff reviewed the PY2019 HVAC Sector Commercial HVAC<sup>51</sup> and Residential HVAC<sup>52</sup> evaluation reports. All measures were reviewed for potential UES and NTG updates. The two Commercial measures were Rooftop and Split Systems and package terminal air conditioner/heat pump (PTAC/PTHP) controls. Neither one of these measures will be updated this cycle. The Rooftop and Split System measure NTG value was updated last year, and this year's evaluation validated the updated value. And although the PTAC/PTHP Controls measure was being evaluated for the first time, it has since been discontinued and is no longer offered because it is now required by Title 24. The Residential HVAC evaluation covers the package of measures described below.

### 5.4.1 Residential HVAC sector 2019 EM&V updates

*Effective Program Year: 2022, 2023.* The Residential HVAC evaluation covered a package of six measures. All measures were reviewed for potential UES and NTG updates. In addition, one of the measures – refrigerant charge adjustment is also immediately impacted by the low-GWP refrigerant report findings. The measures and evaluation results are described in detail below.

**High-Efficiency Furnaces.** The 2019 EM&V results for this upstream program indicated significant issues for both the UES and NTG savings as indicated by the GRR and the NTG values. The evaluated gross savings was 84 percent lower than claimed savings (average GRR of 14%). Ninety percent of the measure claims were wall furnaces and the programs had no way to verify that the furnaces had actually been installed at a customer site due to a lack of tracking information. In addition, for central furnaces the evaluated savings was half of the claimed savings. However, these are tracking data issues rather than UES algorithm issues. The evaluation also found a statewide NTG ratio of 0.28 compared to a claimed average NTG value of 0.60, due primarily to participants indicating they would have installed the same furnace without the program. For reference, the current statewide residential furnace measures use the default residential sector NTG value of 0.55. Because the relative precision of the evaluated NTG value was more than 20 percent, the NTG will not be updated, ~~however the~~ The evaluation findings, however, indicate significant changes should be considered for the upstream wall furnace program and it should be targeted for EM&V in 2020 to provide a more robust NTG value.

**Fan motor replacement.** This measure was evaluated last year for the PY2018 EM&V cycle and the NTG value was updated to 0.85. The PY2019 evaluated statewide NTG ratio of 0.90 is higher but within 0.05 points of the updated NTG value, so no additional updates will be made. This year's

<sup>51</sup> "Impact Evaluation Draft Report Commercial HVAC Sector, Program Year 2019", 3/12/2021.

<https://pda.energydataweb.com/api/downloads/2483/CPUC> Group A Commercial HVAC Impact Evaluation Report PY2019 Draft for PDA (1).pdf

<sup>52</sup> "Impact Evaluation Draft Report Residential HVAC Sector, Program Year 2019", 3/17/2021.

<https://pda.energydataweb.com/api/downloads/2484/CPUC> Group A Residential HVAC Impact Evaluation Report PY2019 Draft for PDA.pdf



PY2019 evaluation found GRRs of 27, 29, and 17 percent for kWh, therms, and peak kW respectively, primarily due to interactive effects with measures co-installed with duct sealing measures. Although we do not make any specific recommendations for UES updates, the low GRRs and interaction with other measures should be investigated further and the UES estimates adjusted accordingly.

**Fan motor control.** PY 2019 evaluation results indicated low GRR values of 65 and 63 percent respectively for fan motor control kW and kWh savings. The evaluation also found that this measure is often installed alongside other efficiency measures - such as smart thermostats that may have similar controls - leading to reduced savings due to the interactive effects between these measures. Although specific UES updates cannot be specified, we recommend investigating whether fan controls and smart communicating thermostat fan-delay functionality is redundant, and adjusting UES values, measure offerings, or measure eligibility requirements if needed. The evaluation also found an average electric NTG ratio of 0.88, which is much higher than both the PY2019 average value of 0.65 and the default residential value of 0.55 used by the current statewide eTRM measure.

**Duct testing and sealing.** This measure was also evaluated for last year's 2018 EM&V cycle, and the NTG ratio was updated to 0.95, so it will not be updated again this year. The PY2019 evaluation, we found GRR values of 33, 25, and 86 percent for kWh, therm, and peak kW respectively. These reductions are primarily due to interactive effects with other measures co-installed with the duct sealing measure. The evaluation again found an NTG ratio of 95 percent, which validates last year's update.

**Condenser Coil cleaning.** The 2019 EM&V evaluation GRR was 130% percent for kWh and 95 percent for kW. The increased kWh savings is the result of improved cooling capacity and efficiency from improved air flow values from the HVAC3 evaluations compared to PA measure package claims. These results are consistent with previous evaluation efforts that coil cleaning provides a small amount of electrical energy savings. The evaluation also found an average NTG value of about 0.80 (range of 78 to 83 percent) determined from phone and web surveys. This evaluated value is significantly higher than the current residential sector default value of 0.55. The increased higher attribution is a result of the program delivery approach. The programs proactively offer reduced and no cost coil cleaning options to the consumer, and many customers report they would not have done the measure without program intervention. Many respondents (33 percent) even indicated they were unaware of the need for condenser coil cleaning. Therefore, we direct the use of a NTG value of 0.80 for the condenser coil cleaning measure to be revised from the current eTRM value of 0.55.

**Refrigerant Charge Adjustment (RCA).** The evaluation found a 96 percent reduction in savings compared to the claimed savings values. In addition, the simulated impacts of RCA are the smallest of any measure group evaluated. Evaluation NTG values were also relatively high at 84 percent versus the current statewide measure default NTG value of 0.55. However, no additional effort will be made to update either of these values as the measure is expired as per Section 3.7.

## 5.5 Updates per small\medium commercial 2019 EM&V report

Effective Program Year: 2023. Commission staff reviewed the 2019 EM&V report for the 2019 small/medium commercial sector.<sup>53</sup> The four measures selected for evaluation represented the most significant percent of the savings encompassed by this market/sector evaluation group. Results and findings were strongly dependent on the delivery method used (upstream, midstream, downstream, direct install). One of the measures – Agricultural (drip) Irrigation – is no longer offered by PAs so is not discussed in this review. All other measures were reviewed for potential UES and NTG updates and are discussed below.

### 5.5.1 Process ozone laundry

This is the first time this measure has been evaluated by the current EM&V team. The UES evaluation indicated several possible changes to the savings calculation should be considered for future updates. One recommendation was that very large-scale and unique projects consider a Custom program approach to maximize site-level savings and ensure the projects are vetted through the program application process. Since very large sites can represent a large fraction of overall program savings, this would also stabilize overall deemed measure results. The second recommendation is to consider using the calculator developed by the evaluation team to estimate savings for the more typical projects like nursing homes. The evaluation team amassed industry knowledge, tools, and experience in assembling the calculator which should be considered for improving the deemed savings calculation. A detailed list of the key parameters and parameter estimates are provided in the report.

The evaluated overall average NTG value was 0.70 versus the PA-specific NTG ratios evaluated for PG&E, SCG and SDG&E of 0.55, 0.79 and 0.73, respectively. The current eTRM Statewide measure uses the commercial default greater than 2 years (Com-Default>2yrs) NTG value of 0.60. Because the evaluated NTG value is in-line with the previous SCG and SDGE NTG values and more than 0.05 points different than the existing eTRM value, we direct the NTG value for the Ozone Laundry measure to be updated from the current value of 0.60 to 0.70.

### 5.5.2 Process pump VFD

This measure was evaluated last year for PY2018 EM&V. No UES changes were made but PAs were directed to change the NTG value to 0.40 (from 0.60) for PY2022. For the PY2019 evaluation the evaluated NTG value of 0.34 was lower than last year's update. However, Commission staff will not update the NTG value again but will continue to monitor for a downward trend. No UES updates will be made either, although the evaluation recommended that an enhanced deemed measure savings algorithm with some site-level customization be incorporated into measure packages. A detailed list of the recommended inputs for the algorithm is provided in the report. The evaluation also found that AMI (advanced metering infrastructure) data was invaluable for considering and analyzing pump operation, but if readily available could also be considered for creating a more realistic measure savings load shape for the measure.

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<sup>53</sup> "Final Impact Evaluation, Small/Medium Commercial Sector PY2019", 3/30/2021, [https://pda.energydataweb.com/api/downloads/2488/\\_\\_\\_SmCom\\_Full\\_4Posting.pdf](https://pda.energydataweb.com/api/downloads/2488/___SmCom_Full_4Posting.pdf)

### 5.5.3 Commercial tankless water heaters

No updates are recommended for commercial tankless water heater UES parameters or NTG values. This measure was evaluated last year for PY2018 EM&V and no updates were made at that time either. The findings this year were very similar to last year's: The evaluated NTG value of 0.62 was within 0.05 of the reported NTG ratios of 0.57 (PG&E) and 0.60 (SCG) and the current eTRM measure NTG of 0.60. Regarding possible UES updates, the evaluation did find differences in water temperature and uniform energy factor (UEF) values relative to the measure packages. For example, the evaluation found UEF values of 0.952 (large) and 0.934 (small) compared to the assumed measure package values of 0.90 (PG&E and SCG) and 0.87 (SCG) which would lead to greater energy savings. These discrepancies, however, were more closely related to tracking data improvement issues rather than changes to algorithms.

## 6 Review of codes and standards

The following sections describe updates to DEER measures based on changes to federal and state codes and standards.

### 6.1 Federal standards for commercial natural-gas packaged boilers

*Effective Program Year: 2023.* Changes to the federal standard, effective January 10, 2023<sup>54</sup> increase the minimum efficiency ratings for all but the very large commercial packaged boilers as shown in Table A-6-1.

**Table A-6-1. Federal standards update for commercial natural gas packaged boilers**

Equipment	Size Category (input)	Minimum Efficiency <sup>55</sup>	
		New	Previous
Small Gas-Fired Hot Water Commercial Packaged Boilers	≥300 kBtu/h and ≤2,500 kBtu/h	0.84 E <sub>T</sub>	0.80 E <sub>T</sub>
Large Gas-Fired Hot Water Commercial Packaged Boilers	>2,500 kBtu/h and ≤10,000 kBtu/h	0.85 E <sub>C</sub>	0.82 E <sub>C</sub>
Very Large Gas-Fired Hot Water Commercial Packaged Boilers	>10,000 kBtu/h	0.82 E <sub>C</sub> (no change)	
Small Gas-Fired Steam Commercial Packaged Boilers	≥300 kBtu/h and ≤2,500 kBtu/h	0.81 E <sub>T</sub>	Natural draft: 0.77 E <sub>T</sub> All others: 0.79 E <sub>T</sub>
Large Gas-Fired Steam Commercial Packaged Boilers	>2,500 kBtu/h and ≤10,000 kBtu/h	0.82 E <sub>T</sub>	
Very Large Gas-Fired Steam Commercial Packaged Boilers <sup>56</sup>	>10,000 kBtu/h	0.79 E <sub>T</sub>	

<sup>54</sup> Table I.1, CFR §431.87 at <https://www.govinfo.gov/content/pkg/FR-2020-01-10/pdf/2019-26356.pdf>.

<sup>55</sup> E<sub>T</sub> means "thermal efficiency;" E<sub>C</sub> means "combustion efficiency."

<sup>56</sup> Prior to March 2, 2022, for natural draft very large gas-fired steam commercial packaged boilers, a minimum thermal efficiency level of 0.77 is permitted and meets Federal energy conservation standards for commercial packaged boilers.

As a result, the energy savings for high-efficiency space-heating boilers and instantaneous tankless water heaters are expected to change. Affected DEER Measure IDs are provided in Table A-6-2.

**Table A-6-2. DEER measures affected by update to federal standards**

Use Category	DEER Measure ID	Version
SHW	NG-WtrHt-LrgInst-Gas-gt200kBtuh-0p80Et	DEER2014
	NG-WtrHt-LrgInst-Gas-gt200kBtuh-0p85Et	
	NG-WtrHt-LrgInst-Gas-gt200kBtuh-0p90Et	
HVAC	NG-HVAC-Blr-Stm-300to2500kBtuh-81p0Et-Drft	DEER2020
	NG-HVAC-Blr-Stm-300to2500kBtuh-82p0Et-Drft	
	NG-HVAC-Blr-Stm-gt2500kBtuh-80p0Et-Drft	
	NG-HVAC-Blr-Stm-gt2500kBtuh-81p0Et-Drft	
	NG-HVAC-Blr-Stm-gt2500kBtuh-82p0Et-Drft	

## 6.2 Federal standard for residential gas furnace fan

*Effective Program Year: 2022.* As of July 3, 2019, new residential furnace fans must be driven by electrically commutated motors (ECMs) per the federal Energy Conservation Program for Consumer Products.<sup>57</sup> Hence, the baseline for efficient furnace measures will be updated to have fan motors driven by ECMs, as listed in Table A-6-3.

**Table A-6-3. New residential furnace measures**

MeasureID	Version	Standard Description	Measure Description
Res-GasFurnace-AFUE90-ECM	DEER2022	Furnace AFUE 80 with ECM supply fan motor	Efficient Residential Gas Furnace - AFUE 90 with ECM supply fan motor
Res-GasFurnace-AFUE91-ECM	DEER2022	Furnace AFUE 80 with ECM supply fan motor	Efficient Residential Gas Furnace - AFUE 91 with ECM supply fan motor
Res-GasFurnace-AFUE92-ECM	DEER2022	Furnace AFUE 80 with ECM supply fan motor	Efficient Residential Gas Furnace - AFUE 92 with ECM supply fan motor
Res-GasFurnace-AFUE93-ECM	DEER2022	Furnace AFUE 80 with ECM supply fan motor	Efficient Residential Gas Furnace - AFUE 93 with ECM supply fan motor
Res-GasFurnace-AFUE94-ECM	DEER2022	Furnace AFUE 80 with ECM supply fan motor	Efficient Residential Gas Furnace - AFUE 94 with ECM supply fan motor
Res-GasFurnace-AFUE95-ECM	DEER2022	Furnace AFUE 80 with ECM supply fan motor	Efficient Residential Gas Furnace - AFUE 95 with ECM supply fan motor
Res-GasFurnace-AFUE96-ECM	DEER2022	Furnace AFUE 80 with ECM supply fan motor	Efficient Residential Gas Furnace - AFUE 96 with ECM supply fan motor
Res-GasFurnace-AFUE97-ECM	DEER2022	Furnace AFUE 80 with ECM supply fan motor	Efficient Residential Gas Furnace - AFUE 97 with ECM supply fan motor
Res-GasFurnace-AFUE98-ECM	DEER2022	Furnace AFUE 80 with ECM supply fan motor	Efficient Residential Gas Furnace - AFUE 98 with ECM supply fan motor

<sup>57</sup> [https://www.energy.gov/sites/prod/files/2014/06/f17/furnace\\_fans\\_final\\_rule.pdf](https://www.energy.gov/sites/prod/files/2014/06/f17/furnace_fans_final_rule.pdf)

### 6.3 New low global warming potential refrigerant standards

*Effective Program Year: 2022.* In California, greenhouse gas (GHG) emissions from refrigerants in HVAC equipment is the fastest growing global warming pollutant. To address this climate threat, California State Senate Bill (SB) 1383, 2016, calls for the emissions of hydrofluorocarbons (HFCs) to be reduced so that by 2030, California's HFC emissions will be 40 percent of what they were in 2013 based on GWP impact. California SB 1013, 2018, was passed shortly after SB 1383 to help define the rules and timeline California needs to follow to reach the 2030 HFC emissions reduction goal.<sup>58</sup> While the act's original timeline has already changed, the latest pending amendment to SB 1013 calls for new stationary AC equipment installed after January 1, 2025 to contain a refrigerant with a 100-year GWP value below 750. Furthermore, under SB 1013, the CPUC and other state regulatory agencies are called upon to assess the operational performance of refrigerants with low-GWPs and to develop a strategy to encourage the adoption of those low-GWP refrigerants in equipment funded by energy efficiency programs overseen by the CPUC.

When SB 1383 was written, it appeared the United States was also planning to phase down the use of HFCs. After the passage of SB 1383, a 2017 US District Court ruling limited the US Environmental Protection Agency's (EPA) ability to regulate refrigerants based on GWP. The ruling effectively stalled national efforts to transition away from high-GWP refrigerants. Despite this, California is following the goals set by the Montreal Protocol and moving forward with a state-led phasedown of HFCs.

The most recent California Air Resources Board (CARB) proposed amendment to regulations on HFCs will delay the transition timing from 2023 to 2025. Many indications point to updates in the next cycle of California building and fire codes that will include revised policies and guidelines to allow for the use of mildly flammable HFC refrigerants in most major HVAC equipment. Once the building and fire codes are finalized, potentially starting in June or July of 2021, the policy and timing of California's transition, will be more certain. When the new regulations are in place, the baselines for affected deemed measures containing refrigerant will need to be updated. The 2021 Proposer Defined Study on HVAC Refrigerants, referenced in Section 3.7, provides a roadmap for accelerating the adoption of low-GWP HVAC refrigerants through a literature review and interviews with HVAC refrigerant related experts.<sup>59</sup>

### 6.4 CEC Title 24 building energy efficiency code updates

*Effective Program Year: 2023.* While the 2022 Building Energy Efficiency Standards have not yet been finalized, listed below are some of the possible changes we anticipate seeing in 2022 Title 24. These changes will need to be incorporated as measures are naturally revised. They will be applicable to the "New" era prototype that will be developed and become effective in the future when newly constructed buildings reflect the 2022 Title 24 code.

- Some of Title 24 equipment efficiencies given in Table 110.2-A through 110.2-K are going to change to match their values with equipment efficiencies given in Table 6.8.1-1 through 6.8.1-16

<sup>58</sup> [https://leginfo.legislature.ca.gov/faces/billTextClient.xhtml?bill\\_id=201720180SB1013](https://leginfo.legislature.ca.gov/faces/billTextClient.xhtml?bill_id=201720180SB1013)

<sup>59</sup> <https://appliance-standards.org/products-and-links>

of 2019 ASHRAE 90.1. For some equipment, efficiency tables in 2019 ASHRAE 90.1 have different requirements before and after 1/1/2023. The new Title 24 will adopt most of them. They include air-cooled air conditioners and heat pumps—both split system and single package—variable-refrigerant flow (VRF) water-source (cooling mode), warm-air furnace (gas-fired and oil-fired), and boiler (hot water and steam), etc.

- 2019 ASHRAE 90.1 includes efficiencies for computer room air conditioners and condensing units and heat-recovery chillers. New Title 24 may include them as well.
- Dedicated outside-air systems (DOAS) units may be included in 2022 Title 24 along with associated efficiency metrics:
  - Integrated Seasonal Coefficient of Performance (ISCOP)
  - Integrated Seasonal Moisture Removal Efficiency (ISMRE)
- Occupant-sensor ventilation-control devices
- Some changes expected regarding the airflow rates for demand-control ventilation (DCV)
- Code will define the airflow rate for bathroom and kitchen exhaust fans
- 2018 AMCA 208 defined new fan efficiency metric called fan energy index (FEI) which is the ratio of actual fan efficiency to the baseline fan efficiency (or baseline fan energy to the actual fan energy) while both measured at the same flow and pressure conditions. AMCA introduced this as part of DOE effort and ASHRAE has already adopted the new term. The code will require all fans with nameplate ratings greater than 1 hp to have an FEI greater than or equal to 1.0.
- In line with ASHARE 90.1, the new Title 24 will define the fan power allowance for the calculation of fan power budget. This will include all fan types (supply, return, make-up air, and relief) for all fans exceeding 1 hp.
- Efficiency requirement update expected for high-capacity space-heating gas boiler systems, requiring a minimum thermal efficiency of 90 percent for boilers greater than 1 MMBtu/h of input capacity. This also comes with an additional condition of a maximum heating hot water return temperature (HHWRT) setpoint of 120°F. Service water heating system may have the same requirement.
- Lighting power density (LPD) of some area categories will change and they will be even better than ASHRAE's latest values. New areas will be added to multi-level lighting control including library, warehouse, parking garages, and staircases.
- Some of the areas that need occupancy-based ventilation control will be controlled with lighting sensors.
- Single family housing updates include:
  - Dimming control for kitchen and living area lighting
  - Solar access roof area (SARA) requirement for single family homes
  - Mandatory requirement for "electric ready building"
- Multifamily housing updates include:
  - Local mechanical exhaust for kitchen and bathroom. Code will define the minimum airflow rate for kitchen and bathroom exhaust fans along with the capture efficiency (CE) of kitchen range hoods
  - Demand controlled mechanical exhaust
  - Community shared solar electric generation system requirement
  - Energy storage system (ESS) requirement
  - Electric cooktop and clothes dryer—for all-electric homes

- We can expect higher insulation requirements and vapor barrier requirements
  - Thermostatic requirement for temperature setback and occupancy-controlled ventilation
- Requirement for infiltration testing for envelope and between the floors of commercial building. There are new testing requirements for air barriers.
- New requirement for exhaust air heat recovery for different climate zones. These recovery efficiencies will define the part-load efficiency, i.e., varying recovery efficiency with flowrates.
- New efficiency requirement for CO<sub>2</sub>-based refrigeration systems. CO<sub>2</sub>-based refrigeration system will be proposed for refrigerated warehouse and commercial refrigeration.
- New mandatory requirement for Controlled Environment Horticulture (CEH). It will define new metric for dehumidification.
- New mandatory requirement for indoor grow lighting and horticultural lighting and their controls. There will be updated envelope requirements as well.
- Demand response-enabled system requirements will be based upon the minimum connected kW instead of building area.
- Steam traps and compressed air systems will get new requirements.
- Improvement expected for most of the covered processes.

## 6.5 CEC Title 20 appliance efficiency code updates

No updates are currently anticipated because most Federal appliance and equipment efficiency standard updates were suspended, as noted on the Appliance Standards Awareness Project website.<sup>60</sup> However, if these updates are restarted and fast-tracked, they would be expected to be incorporated into measure revisions for PY 2024-25. There are a significant number (22) of suspended commercial and residential equipment and appliance updates.

## 7 Review of market and research studies

Market and research studies, including baseline studies,<sup>61</sup> are a rich source of update information but are only periodically conducted. These types of studies can be used for calibration of whole site and end use energy use, establishing industry standard and/or best practices, developing operating hours, and developing model prototype characteristics.

### 7.1 Update EULs based on Group A Effective Useful Live (EUL) study

*Effective Program Year: 2023.* Since an EUL study report by Guidehouse<sup>62</sup> has been finalized, updates to the whole building EULs are anticipated for the DEER2023 update. Those affected are listed in Table A-7-1 as supported by the report. The whole-building EUL values may be further updated if a related

<sup>60</sup> <https://appliance-standards.org/products-and-links>

<sup>61</sup> For example, CEC's Commercial End-Use Survey (CEUS) and Residential Appliance Saturation Study (RASS), and CPUC's Commercial Saturation Study-Commercial Market Share Tracking (CSS-CMST) studies and California Lighting and Appliance Saturation Study (CLASS).

<sup>62</sup> "EMV Group A, Deliverable 16 EUL Research – Residential Whole Building Retrofits, Final Report," by Guidehouse, for CPUC, June 3, 2021. (See <https://pda.energydataweb.com/#!/documents/2512/view>.)



report by Guidehouse about the measure life of building insulation is finalized before the Resolution is voted out.<sup>63</sup>

**Table A-7-1. Updated effective/remaining useful life values for whole-building retrofit**

EUL_ID	Description	EUL	RUL	Start Date	Expiry Date
WB-####-w## (45 IDs)	Varies	17.7 (avg.)	5.89 (avg.)	2013-01-01	2022-12-31
WhlBldg-WBInsFen-NEW-MfrHse	SCE: Whole Building new construction manufactured housing building shell improvements	20.0	6.67	2013-01-01	2022-12-31
WhlBldg-WBInsFen-NEW-SF	SCE: Whole Building new construction single family building shell improvements	18.0	6.00	2013-01-01	2022-12-31
WhlBldg-WBInsFen-RET-SF	SCE: Whole Building retrofit single family building shell improvements	14.0	4.67	2013-01-01	2022-12-31
WhlBldg-0-lt25pctElecSvgs	Whole building retrofit with <25 percent electric savings <sup>64</sup>	10.6 <sup>a</sup>	3.53	2023-01-01	
WhlBldg-25-lt75pctElecSvgs	Whole building retrofit with 25 to <75 percent electric savings <sup>64</sup>	15.7 <sup>b</sup>	5.23	2023-01-01	
WhlBldg-75-100pctElecSvgs	Whole building retrofit with ≥75 percent electric savings <sup>64</sup>	15.9 <sup>c</sup>	5.30	2023-01-01	

<sup>a</sup> If the RUL of building-shell insulation increases to 20 years, this EUL nearly doubles to 19.0 years.

<sup>b</sup> If the RUL of building-shell insulation increases to 20 years, this EUL increases to 17.7 years.

<sup>c</sup> If the RUL of building-shell insulation increases to 20 years, this EUL increases slightly to 16.0 years.

## 8 New measure additions

This section describes the addition of two water heating measures: Commercial tankless water heaters and multifamily central water heating systems that will be added to the DEER database for 2022 and 2023, respectively.

### 8.1 Commercial tankless water heater

Effective Program Year: 2022. Given the efficiency improvements that have occurred among large tankless water heaters since 2014, a new measure tier will be generated for 2022 claims having a thermal efficiency of 0.96 (see Table A-8-1). ~~This will be generated using MASControl2 and the same building prototypes used for the other DEER2014 large tankless water heaters as list in Section .~~

<sup>63</sup> "EMV Group A, Deliverable 16 EUL Research – Residential Attic, Floor, and Wall Insulation, Draft Report," by Guidehouse, for CPUC, April 2021.

<sup>64</sup> Conversion factors used to determine proportion of electric savings: 1 kWh=3.412 kBtu and 1 therm=100 kBtu



**Table A-8-1. New large tankless commercial water heater measure**

DEER Measure ID	Version	Start Date	Thermal Efficiency	
			Measure	Standard
NG-WtrHt-LrgInst-Gas-gte200kBtuh-lt2G-Op96Et	DEER2022	2022-01-01	0.96	0.80

Although SoCalGas has requested permission to scale the UES from the extended MeasureIDs discussed in Section 4.2 for use in 2021 to provide tankless water heaters having a thermal efficiency of 0.96 or higher, further discussion is necessary since extrapolation of DEER UES values is generally disallowed.

## 8.2 Multifamily central water heating systems

Effective Program Year: 2023. There is a need for deemed energy savings for efficient centralized service hot water systems that are typical at some multifamily buildings. These will be generated by first replicating the DEER MFm eQUEST building prototype family in EnergyPlus™ (E+) and then modeling a central hot-water system. While there has been much interest in providing this update as early as PY2022, it is not anticipated that this will be feasible. Efforts will be made to accelerate this priority as much as possible.

## 9 Support table updates

Throughout the year, additions and modifications must be made to the PEAR database. Once the changes to the PEAR database have been adopted via resolution, these additions and modifications are migrated to the Ex Ante database during the month following the resolution adoption. Events that typically trigger additions and modifications to the PEAR database include new IOU measure packages and changes resulting from new CPUC guidance documents and new CPUC policies. As changes are made to the PEAR database, they are announced via the PEAR Change Log.<sup>65</sup> On the following day, all changes to the PEAR database are reflected in CEDARS and in the CPUC Support Tables in the eTRM. The changes in the subsections that follow were made since the adoption of Resolution E-5082 for DEER2023 Update.

### 9.1 New EUL values

Effective Program Year: 2020. EUL and RUL values were added to PEAR as shown in Table A-9-1.

**Table A-9-1. Effective/remaining useful life values**

EUL_IDs	Description	EUL	RUL	Start Date
NonRes-WhlBldg-SEM	Strategic Energy Management (SEM)	5.0	1.67	2020-01-01
ComLau-EffCW-Leased	Leased High-efficiency Clothes Washer (CEE Tiers 1,2,3), 5-year min. term	5.0	1.67	2020-09-24

<sup>65</sup> Available at <http://www.deeresources.com/files/deerchangelog/pearchangelog.html>.

The first new EUL ID, *NonRes-WhlBldg-SEM*, is consistent with CPUC D.17-09-025 Decision Adopting Energy Efficiency Goals for 2018-2030 and supported by Table 3-26 of the *Energy Efficiency Potential and Goals Study for 2018 and Beyond*.<sup>66</sup> It is being applied retroactively.

The second new EUL ID, *ComLau-EffCW-Leased*, is limited to the 5-year lease term required per the program design; the median lifecycle of a commercial clothes washer is significantly longer than five years.

Additional new EUL IDs are added as indicated in Section 7.1.

## 9.2 Expired EUL values

Effective Program Year: 2022-23. Many of the legacy EUL and RUL values for lighting measures will be expired in PEAR. Only those that are currently in use in approved measure packages will remain. Final lists of non-lighting and lighting EUL IDs to be retired are provided in Table A-9-2 and Table A-9-3, respectively. These are in addition to those already indicated in Section 7.1.

**Table A-9-2. Expired non-lighting effective useful life (EUL) IDs as of 2021-12-31\***

Expired non-lighting EUL IDs		
Agr-DripIrr	EUC_6.6	WB-38962-w10*
Agr-LPSNperm	PGE-EUC-LM005-1975	WB-41522-w06*
Agr-LPSNport	PGE-EUC-LM005-1985	WB-43723-w10*
AppPlug-AllEquip-Audio	PGE-EUC-LM005-1996	WB-43750-w06*
AppPlug-AllEquip-BRDVD	PGE-EUC-LM081-1975	WB-45456-w10*
AppPlug-DesktopComp	PGE-EUC-LM081-1985	WB-52042-w09*
AppPlug-TV	PGE-EUC-LM081-1996	WB-52395-w10*
EnergyPolicyManual-Min	PGE-EUC-LM125-1975	WB-53613-w10*
EUC_3.7	PGE-EUC-LM125-1985	WB-56139-w10*
EUC_3.8	PGE-EUC-LM125-1996	WB-56999-w08*
EUC_3.9	PGE-EUC-LM141-1975	WB-57634-w10*
EUC_4.1	PGE-EUC-LM141-1985	WB-64074-w06*
EUC_4.2	PGE-EUC-LM141-1996	WB-64187-w08*
EUC_4.3	PGE-EUC-LM162-1975	WB-64721-w10*
EUC_4.4	PGE-EUC-LM162-1985	WB-66984-w10*
EUC_4.5	PGE-EUC-LM162-1996	WB-68399-w10*
EUC_4.6	PGE-EUC-LM165-1975	WB-69986-w08*
EUC_4.7	PGE-EUC-LM165-1985	WB-71850-w09*
EUC_4.8	PGE-EUC-LM165-1996	WB-72848-w10*
EUC_4.9	Plug-HiEffCopier	WB-75270-w10*
EUC_5.1	Plug-Software	WB-76805-w10*
EUC_5.2	Res-Plug-Soundbar	WB-79171-w06*

<sup>66</sup> Navigant. *Energy Efficiency Potential and Goals Study for 2018 and Beyond*, 2017, p. 73.

Expired non-lighting EUL_IDs		
EUC_5.3	WB-13590-w10*	WB-80419-w06*
EUC_5.4	WB-16063-w06*	WB-81881-w10*
EUC_5.5	WB-18288-w06*	WB-82988-w10*
EUC_5.6	WB-18720-w10*	WB-87309-w10*
EUC_5.7	WB-19122-w10*	WB-87379-w10*
EUC_5.8	WB-19550-w10*	WB-87576-w10*
EUC_5.9	WB-20558-w09*	WB-92396-w10*
EUC_6	WB-22378-w10*	WB-95864-w06*
EUC_6.1	WB-26618-w10*	WB-95984-w10*
EUC_6.2	WB-28890-w06*	WB-98013-w10*
EUC_6.3	WB-29480-w08*	WhlBldg-WBInsFen-NEW-MfrHse
EUC_6.4	WB-33387-w08*	WhlBldg-WBInsFen-NEW-SF

\* Marked whole-building EUL\_IDs will expire as of 2022-12-31 as per Table A-7-1.

**Table A-9-3. Expired lighting effective useful life (EUL) IDs as of 2021-12-31**

Expired Lighting EUL_IDs		
ILtg-CFL-12000hr-Com	ILtg-LED-seas	OLtg-CFLfix-Dusk-to-Dawn
ILtg-CFL-12000hr-ResCmn	ILtg-Lfluor-CommArea	OLtg-CFLfix-ResCmnArea
ILtg-CFL-6000hr-Com	ILtg-Lfluor-fix	OLtg-HID
ILtg-CFL-6000hr-ResCmn	ILtg-Lfluor-Mag	OLtg-HID-Cmn
ILtg-CFL-8000hr-Com	ILtg-Lfluor-T12Mag	OLtg-HPS
ILtg-CFL-8000hr-ResCmn	ILtg-MH	OLtg-Incand-Com
ILtg-CFL-Com	ILtg-T5	OLtg-Incand-Res
ILtg-CFLfix-Com	LtgFixture-Default	OLtg-Incand-Res-Cmn
ILtg-CFLfix-Res	OLtg-CFL	OLtg-Induct
ILtg-CFLfix-ResCmnArea	OLtg-CFL-12000hr-Res	OLtg-Lfluor-CommArea
ILtg-CFL-ResCmn	OLtg-CFL-12000hr-Res-Cmn	OLtg-Lfluor-Dusk-to-Dawn
ILtg-Com-CldCthd-25000hr	OLtg-CFL-6000hr-Res	OLtg-Lfluor-Mag
ILtg-HID	OLtg-CFL-6000hr-Res-Cmn	OLtg-Lfluor-Res
ILtg-HID-Cmn	OLtg-CFL-8000hr-Res	OLtg-MH
ILtg-HPS	OLtg-CFL-8000hr-Res-Cmn	OLtg-T5
ILtg-Incand-Com	OLtg-CFL-Cmn	Recreate-LED_fixt-Res
ILtg-Incand-Res	OLtg-CFL-Dusk-to-Dawn	
ILtg-Induct-Elec	OLtg-CFLfix	

### 9.3 New technology type

*Effective Program Year: 2021.* A new DEER database technology type (TechType) was added for commercial heat pump water heaters that are rated using Coefficient of Performance (COP)—*HP\_COP*.

This TechType belongs to the pre-existing “WaterHtg-eq” technology group for all water heating equipment.

#### 9.4 New support table fields for refrigerants

Effective Program Year: 2022-23. Six new fields are needed for avoided costs of refrigerant leakage calculated using the Refrigerant Avoided Cost Calculator. For PY2022 measures, the calculator must be submitted as an addendum to each approved measure package where the measure-and/or-baseline technology contains retrofit involves adding (not replacing) equipment with refrigerant – these include fuel substitution, electric resistance to heat pump measures, or where low-GWP refrigerant benefits will be claimed. For PY2023—and consistent with direction provided in Section 3.11—fields will need to be added to the eTRM permutations as shown in Table A-9-4.

**Table A-9-4. New fields for refrigerant NPV avoided costs**

Fieldname	Field description
RefrigerantNPVBenefitsPre Baseline	NPV avoided costs calculated using the Refrigerant Avoided Cost Calculator for pre-existing baseline equipment
RefrigerantNPVBenefitsStd Baseline	NPV avoided costs calculated using the Refrigerant Avoided Cost Calculator for standard baseline equipment
RefrigerantNPVBenefitsMea	NPV avoided costs calculated using the Refrigerant Avoided Cost Calculator for installed measure equipment
RefrigerantNPVCostsPre Baseline	NPV costs calculated using the Refrigerant Avoided Cost Calculator for pre-existing baseline equipment (should be entered as a positive value)
RefrigerantNPVCostsStd Baseline	NPV costs calculated using the Refrigerant Avoided Cost Calculator for standard baseline equipment (should be entered as a positive value)
RefrigerantNPVCostsMea	NPV costs calculated using the Refrigerant Avoided Cost Calculator for installed measure equipment (should be entered as a positive value)

#### 9.5 DEER2008/2011 records added to DEER2022 load shape tables

Effective Program Year: 2022. The DEER2008 and DEER2011 electric load shape parameters have been uploaded to the PEAR database. The parameters for the following three natural gas load shapes have been generalized and uploaded to PEAR: Annual, WinterOnly, and SummerOnly.

## 10 APPENDIX I: Assessment of eTRM and Data Source of Record criteria

Resolution E-5082 for the DEER2022 update listed software enhancements needed for the eTRM to meet the Energy Division's standard for a data source of record for deemed energy efficiency measures. Phase 1 enhancements were designed to meet public user requirements for access to approved deemed values; phase 2 enhancements were designed to meet CPUC user requirements for review of deemed measures, budget filing and program reporting, and evaluation activities. Table A-10-1 lists both the enhancements and criteria used by the Energy Division in assessing the eTRM's suitability as the data source of record for phases 1 and 2 in the development process. As of the timing of this public comment draft resolution, the eTRM shared parameter and value tables are updated by a manual process in coordination with the Energy Division and the eTRM developers. By third quarter, the necessary API end points for an automated and seamless nightly synchronization with the ex ante data tables will be installed by the eTRM developers. Therefore, this table reflects a "TBA" status for criteria associated with enhancements underway in the second quarter.

In the tables that follow, "TBA" means that, at the time this resolution is circulating for comment, developers are still working on the enhancement. "TBA (production)" means that the staff working group has reviewed and tested the enhancement in staging, but not in production, at the time that this resolution is circulating for comment. "Yes" means that it meets the requirement.

**Table A-10-1. Phase 1 eTRM enhancements assessment**

Enhancement				
No.	Priority	Criterion	Compliance	Due date <sup>67</sup>
1	Critical	Data fields added at staff direction to meet the Deemed Data Standard.	See Table A-10-2	Release 2.2 2021
2	Critical	Process (API with specific views designed by the ex ante team for the eTRM) syncs with the Ex Ante tables and updates the eTRM shared tables daily.	TBA	Release 2.2 2021
3	Critical	Versioning of shared parameters and value tables occurs at the object (table/parameter) level rather than at the shared data library level.	Yes (staging) TBA (production)	Release 2.2 2021
4	Slight	Measure package developers can choose what columns appear (and their order) in the measure characterization when a shared value table is embedded (both shared and measure-specific).	TBA	Release 2.2 2021

<sup>67</sup> We anticipate the implementation of these enhancements to be complete by the release of eTRM v2.2 on July 19, 2021.

Enhancement				
No.	Priority	Criterion	Compliance	Due date <sup>67</sup>
62	Slight	The measure characterization PDFs contain: - Logical page breaks, where possible (not splitting up object/table names from its host object/table, etc.) - Maintain proportionality and consistency in text style levels (headings) and sizes (esp. static vs. dynamic table headings) - Floated elements retain their size, instead of erroneously expanding to full width in the PDF - All symbols in the measure characterization text and calculations will render correctly in the PDF	Yes (staging) TBA (production)	Release 2.2 2021

Table A-10-2 provides the phase 1 requirements for data fields to include in an eTRM shared parameter/value table and/or a measure permutation table.

**Table A-10-2. Phase 1 data field requirements assessments**

Description	PEAR/ExAnte field	eTRM field	Compliance	Due date <sup>68</sup>
Measure description	Description	OfferingDesc	Yes	-
DEER/Workpaper version	Version	Version	Yes	-
First-baseline case description	PreDesc	Existing Description	Yes	-
Second-baseline case description	StdDesc	Standard Description	Yes	-
Measure case description	MeasDesc	MeasureCase	Yes	-
Sector	Sector	Sector	Yes	-
Technology Group	TechGroup	TechGroup	Yes	-
Technology Type	TechType	TechType	Yes	-
End-use category	UseCategory	UseCategory	Yes	-
End-use sub-category	UseSubCategory	UseSubCategory	Yes	-
Effective useful life ID	EUL_ID	EUL_ID	Yes	-
Effective useful life, years	EUL_Yrs	EUL (YR)	Yes	-
Remaining useful life ID	RUL_ID	RUL_ID	Yes	-
Gross savings & installation adjustment factor	GSIA_ID	GSIA_ID	Yes	-
Net-to-gross ID	NTG_ID	NTG_ID	Yes	-
Measure Impact Type (MIT)	MeasImpactType	MeasImpactType	Yes	-

<sup>68</sup> Due dates are only shown for future or incomplete data additions. We anticipate the addition of these data fields to be complete by the release of eTRM v2.2 on July 19, 2021.

Description	PEAR/ExAnte field	eTRM field	Compliance	Due date <sup>68</sup>
Start date of measure, etc.	StartDate	Spec_Measure. EffStartDate	TBA	Release 2.2 2021
Expiration date of measure, etc.	ExpiryDate	Spec_Measure. Sunset Date	TBA	Release 2.2 2021
Energy impact ID	EnergyImpactID	EnergyImpactID	Yes	-
Flag for interactive effects	ApplyIE	IE_Applicable	Yes	-
Interactive effects table	IETableName	IETableName	Yes	-
Energy Impact Calculation Type	EnImpCalcType	MeasImpactCalcType	Yes	-
First baseline kW savings	APreWBkW APreEUKW	UnitkW1stBaseline	Yes	-
First baseline kWh savings	APreWBkWh APreEUKWh	UnitkWh1stBaseline	Yes	-
First baseline therm savings	APreWBTherm APreEUTherm	Unittherm1stBaseline	Yes	-
Second baseline kW savings	AStdWBkW AStdEUKW	UnitkW2ndbaseline	Yes	-
Second baseline kWh savings	AStdWBkWh AStdEUKWh	UnitkWh2ndbaseline	Yes	-
Second baseline therm savings	AStdWBTherm AStdEUTherm	Unittherm2ndbaseline	Yes	-
HVAC system type	BldgHVAC	BldgHVAC	Yes	-
Climate zone	BldgLoc	BldgLoc	Yes	-
Measure Impact Calculation Type for DEER measures	MeasImpactCalcType	MeasureImpact CalculationType	Yes	-
Building type	BldgType	BldgType	Yes	-
Building vintage bin	BldgVint	BldgVint	Yes	-
Delivery method of measure	DeliveryType	Delivtype	Yes	-
Electric impact profile ID	ElecImpactProfileID	ElecImpactProfileID	Yes	-
Gas impact profile ID	GasImpactProfile ID	GasImpactProfileID	Yes	-
Description	Description	MeasureCase	Yes	-
Measure application type (MAT)	MeasAppType	MeasAppType	Yes	-
Measure Impact Type (MIT)	MeasImpactType	MeasImpactType	Yes	-
Normalizing unit	NormUnit	NormUnit	Yes	-
Program administrator	PA	PA Type	Yes	-

Table A-10-3 provides the phase 2 enhancement requirements that were listed in Resolution E-5082 to improve user experience and meet CPUC requirements. In the second quarter development period of 2021, it became clear to the working group that some priorities had shifted. The group coordinated to update the priorities in E-5082, as listed in the table below. Staff may continue to modify enhancements in coordination with the working group as necessary. Enhancements with an "X" in the "E-5082" column were ordered by Resolution E-5082; those without were later established by staff and the working group to address technical gaps discovered during development. Those enhancements that have no compliance assessment or due date have not yet been scheduled as of the time of this draft resolution; they are expected to be available with Release 2.3 in Q4 2021 or 2.4 in 2022.

**Table A-10-3. Phase 2 eTRM enhancements assessments**

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
5	X	Moderate	CPUC-specific shared data library for DEER measures to support versioned parameters and value tables managed by CPUC and available for eTRM measures to import. Create CPUC-specific workspace to allow for storage and viewing of DEER Measure and Energy data that could be imported into the eTRM Measure. Create template for users who wish to develop a new measure based upon a valid DEER Measure (a DEER measure that has not expired) to be able to import DEER Energy data into the eTRM Measure template for further development of a statewide measure.	Yes (staging) TBA (production)	Release 2.2 2021
6	X	Critical	Ability to maximize production system uptime during deployment of enhancements and fixes. Deployments that require system downtime should be deployed outside typical business hours.		
7	X	N/A	Ability to utilize the staging environment as a User Acceptance Testing platform for all enhancements.		
8	X	Moderate	Ability to retain independent user profiles between staging and production environments during deployment of enhancements and fixes.	-	Release 2.3 2021
9	X	Critical	Ability to deploy enhancements to production environment without compromising existing measures, measure data and user tracking data.		

<sup>69</sup> Due dates are only shown for future or incomplete enhancements.



Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
10	X	Critical	Ability to roll-back changes in the event of failed deployment, without loss of data.		
11	X	Moderate	Ability to associate load shapes--either 8,760-hour or compressed format--to measure permutations based on permutation attributes. eTRM should store library of load shapes, which may be associated at the permutation level. Ability to add load profile IDs and finalize at a later time.	-	Release 2.3 2021
12	X	N/A	Ability to select any available public field in any order, downloadable as Excel or PDF file. Ability for user to save report format associated with their specific log-in credentials so they can use repeatedly.	-	Release 2.4 2022
13	X	N/A	Incorporate interactive report rendering system (e.g., Tableau Public Version) with eTRM. Build presentment into dedicated eTRM page (via iframe) and add Report link to global navigation.	Yes (staging) TBA (production)	Release 2.2 2021
14	X	Slight	Add an extension of notifications available on measure and permutation reports. Any change to the data in the report, where the source is from a commit (NOT a saved draft) would trigger a notification to subscribers. Notifications would be aggregated so that a user only receives one notification per report per day, in the case that someone is committing updates to a measure multiple times in one day.	-	Release 2.3 2021
15	X	Moderate	Ability to download master report (flat file) of measure permutations that is not limited to a single measure.	Yes	-
16	X	Moderate	Developed master report (flat file) of measure permutations that is not limited to a single measure.	Yes	-
17	X	Critical	Software hard-coded roles and permissions matrix. Roles are categorized into system roles or measure roles. CPUC Roles included.	Yes (staging) TBA (production)	Release 2.2 2021
18	X	Critical	Workflows to eTRM for Energy Division ex ante workpaper review, tracking, approval, and value updating functionality.	Yes (staging) TBA (production)	Release 2.2 2021

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
19	X	Critical	Added functions that support email notifications as a measure changes status and assignee.	Yes (staging) TBA (production)	Release 2.2 2021
20	X	Moderate	When Shared Table updates occur, the system will determine which measures are affected and permit the administrator to notify the appropriate parties who have registered for notification when specific measures or measure technology types change. Update notification would be at discretion of administrator.	Yes (staging) TBA (production)	Release 2.2 2021
21	X	Critical	Ability for the eTRM to generate a flat-file export that is compatible with the CET measure import specification: --The user shall be able to select measures from an available list --The user should be able to filter the measures based on parameters that define permutations (e.g., Delivery type, MAT) eTRM shall be able to import permutation-level cost-effectiveness values from a flat file that is compatible with CET measure results file specifications: --Values will be loaded and stored at the measure permutation level.	Yes (staging) TBA (production)	Release 2.2 2021
22	X	N/A	Develop filters for dashboards that are specific to individual users. The dashboards and filters shall only be viewable by a specific user, as determined by the user's log-in credentials. as specified. Filters include end use, sector, and delivery type.	-	Release 2.4 2022
23	X	Slight	Update the measure data model to include a new field for delivery type, so that it can be filtered on. Delivery type shall be added to the table of measures on the user's dashboard.	-	Release 2.4 2022
24	X	N/A	Allow an editor to sort Value Tables based upon any column (parameter or value) and save that sort to embed that sort into a characterization field.	-	Release 2.4 2022

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
25	X	N/A	Implement the ability for an editor to transpose value tables. This applies to both static (RTE) tables and dynamic (embedded) tables. In the case of an embedded value table, this display configuration only applies to the table in the characterization, and does not affect the underlying value table (located in the Supporting Data page of the measure)	-	Release 2.4 2022
26	X	N/A	Design new table styles (to be added to existing "Zebra" and "Plain" table style options). One example new style is a table with smaller font and narrower column widths. Enable measure editors to set a table style to both static (RTE) and dynamic (embedded) tables.	-	Release 2.4 2022
27	X	Slight	Implement functions that assign a reference to a value table row.	Yes	-
28	X	N/A	Add ability for users to hide columns and rearrange columns based on individual session needs.	-	Release 2.4 2022
29	X	N/A	Allow users to save their permutation table preferences for next time (including sorting, filtering, hiding columns, etc.)	-	Release 2.4 2022
30	X	N/A	Add capability to show calculated values in value tables	-	Release 2.4 2022
31	X	Slight	Support the Boolean type, with TRUE and FALSE displayed/imported/exported instead of 0 and 1.	-	Release 2.4 2022
32	X	N/A	Implement enhancement to the equation editing interface to allow editors to type directly into the editing bar (including autocomplete suggestions) without having to click (+) to see the list of terms to choose from.	-	Release 2.4 2022
33	X	N/A	When viewing calculations, implement a way to provide insight as to the source of a calculation's variable – which could be a value table, parameter or another calculation – and a link to travel to the object detail page.	-	Release 2.4 2022

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
34	X	N/A	Add capability to have global or imported calculations. Global calculations are calculations that are used in more than one measure.	-	Release 2.4 2022
35	X	N/A	In the configure permutation fields panel, fields that are not mapped will be color-coded so that they are easier to see and correct.	-	Release 2.4 2022
36	X	N/A	Pre-map values from shared parameters/shared value tables to data spec field.	-	Release 2.4 2022
37	X	N/A	Add capability for users to filter and sort permutation table. When filtered, system would automatically hide the rows that are no longer unique due to missing columns	-	Release 2.4 2022
38	X	N/A	Include ability to hide columns of the permutation table that user may consider unnecessary. Column-hiding functionality should also hide duplicate rows if columns being hidden were distinguishing columns to unique permutations	-	Release 2.4 2022
39	X	Moderate	In the exclusion table, implement a visual design for exclusion table rows that are NOT excluded (e.g. unchecked), so that they are easier for editors to scan in the table.	-	Release 2.4 2022
40	X	Critical	Implement feature that allows range-checking on value tables. An editor would be able to specify a maximum value, minimum value, allowable data type (such as text or number only) and/or "cannot be empty" validation on a value table column. Value table cells that do not meet the validation criteria would be flagged to the measure developer or measure reviewer. --Prior to implementing this feature, ensure that error-checking features to be developed for eTRM are consistent with and at least as robust as the error-checking features for CET and CEDARs. --Note: This does not apply to static (RTE) tables.	-	Release 2.3 2021

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
41	X	Moderate	Implement text comparison and redlining feature for all measure text fields so textual differences between different versions of a measure are readily apparent. This feature shall allow an editor to compare two versions of a measure, selected by the editor, marked up with differences.	-	Release 2.3 2021
42	X	Moderate	Once necessary license with the WebSpellChecker CKeditor plugin is obtained, ensure it is used as the spell-checking source for all rich text fields in the eTRM.	-	Release 2.3 2021
43	X	Slight	Reference file download green rectangle element is reduced in footprint for an individual reference and when seen in a long list of references.	Yes	-
44	X	Slight	Explore adding a drag-to-resize image capability to measure characterization rich text fields. If that solution is not recommended or stable, add up to two new image styles. One desired new style is a small stamp-size image style.	-	Release 2.4 2022
45	X	Moderate	Ability for a reference to be associated with a measure, value table, parameter or calculation by a specific page or table number. This eliminates the need to duplicate references in the reference library.	Yes	-
46	X	N/A	Add a field to the reference data model for Publication Date. Add a filter to the manage references list that allows filtering by publication date.	-	Release 2.4 2022
47	X	N/A	Add "Year" field to search matrix for reference search	-	Release 2.4 2022
48	X	N/A	References that have file attachments will display an attachment icon in the manage references list. Implement the ability to filter the manage references list by "has attachment".	-	Release 2.4 2022
49	X	N/A	Implement a list of common reference sponsor organizations. When adding or editing a reference, a user can select a sponsor organization from the list or add their own.	-	Release 2.4 2022

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
50	X	N/A	Add an API endpoint that provides a master list of value tables across latest published versions of all measures and including the shared data library.	-	Release 2.4 2022
51	X	Moderate	Added API endpoint that provides a master list of permutations across latest published versions of all measures.	Yes	-
52	X	Slight	Add an API endpoint that provides a master list of all references. The list can be filtered by reference type.	-	Release 2.3 2021
53	X	N/A	Include "at a glance" capability for viewing tables without clicking into them (using mouse-over or hover-enabled popup).	-	Release 2.4 2022
54	X	Slight	Added pagination selector to all paginated eTRM lists (except panel lists), offering pagination by 25, 50, 100 objects.	Yes	-
55	X	Slight	Design and implement a new reference detail page. --This reference detail would be viewable through site search, including the ability to include/exclude reference results from the search results list. All references shall be accessible through site search.	-	Release 2.3 2021
56	X	N/A	Design and implement a new calculation detail page. Implement the addition of shared value tables, shared parameters and shared calculations being accessible through site search.	-	Release 2.4 2022
57	X	N/A	Modify home page to allow view access without login. Such anonymous access would deny user features such as subscriptions, saved preferences, etc., that rely on a registrant's email address.	-	Release 2.4 2022
58	X	Critical	Add additional fields to the "Configure permutation fields" panel, "Data spec" tab.	TBA	Release 2.2 2021
59	X	Slight	Ability to download the measure characterization PDF separately from the full measure download package. Only the PDF is contained in the download.	Yes	-

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
60	X	N/A	Allow ability to perform 8,760-hour array calculations (for example, developing annual carbon impact using hourly values that can be summed over full year), as well as over measure lifetime.	-	Release 2.4 2022
61	X	Slight	Add measure name and version number to the characterization PDF file name.	Yes	-
63	X	Slight	Update parameter .csv files to identify which values from shared parameters are selected for measure.	-	Release 2.4 2022
64	X	Slight	Add $\geq$ and $\leq$ to the list of available symbols in the rich text editor toolbar.	TBA	Release 2.2 2021
65	X	Slight	Applies to site search, measure list, manage measure list. Ensure the statewide measure ID is always displayed next to the measure name when viewing a list of measures.	-	Release 2.3 2021
66	X	N/A	Allow ability to calculate Greenhouse Gas impacts using 8,760-hour GHG lookup data and 8,760-hour measure energy savings.	-	Release 2.4 2022
67	X	Slight	Reconsider all places where an API name is presented to the user and consider the use of a friendly name instead. If we pursue a friendly name, effort includes: --Ability for users to manage the friendly name --Effort to migrate existing measures to use their friendly name, eliminating the need for Cal TF to update all measures	TBA	Release 2.2 2021
68	X	Critical	Provide necessary enhancements to fulfil the CPUC's updated eTRM Workpaper workflow process once it is complete (develop workpaper management backend and front end infrastructure, CPUC user roles, workpaper and parameter-level version control management, integrated communication tools, user interface design, and other requirements as needed).		
69	X	N/A	Develop infrastructure as needed to ensure CEDARS can eventually use a live eTRM data connected for claims and reporting purposes.		

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
70	X	N/A	Provide the framework that would allow the eventual sunseting of the PEAR/Ex-Ante database, at which point the CPUC user roles may absorb all administrative permissions		
71	X	Critical	Develop unanticipated enhancements that the CPUC determines essential within Phase 2 but did not foresee during the publication of this appendix		
72	X	Moderate	Creation of workpaper space for PAs to submit "Workpaper in Development" with ability for CPUC to provide early feedback on workpapers prior to submittal.		
73	X	Moderate	Add ability for the eTRM to generate a workpaper revision history by parameter	-	Release 2.3 2021
74	X	Critical	Create a dedicated schema on the server where views for all of the shared tables that will be read by CEDARS can be created, stored and modified. Provide assistance on how to make the 21 existing views work with the JSON tables, which includes the two new tables for 'source_status' and 'Measure'.	-	Release 2.3 2021
75	X	N/A	Ability for PAs to assign their measure/solution codes to eTRM measures and permutations	TBA	Release 2.2 2021
100		Slight	Ability to download Multi-measure CET import file	TBA	Release 2.2 2021
101		Critical	Ability for a CPUC Administrator to Add/Change a "Sunset Date" for a published measure (that does not change the version). Part of this would be to append a line of text in the "Change Description" field.	Yes	-
102		Slight	PDF direct download - improve user experience	-	Release 2.4 2022
103		Critical	Auto-sync CPUC Support Tables to Shared Data Library	TBA	Release 2.2 2021
104		N/A	#51, Unique field validation	-	Release 2.4 2022
105		N/A	#47, Ability to note that a health warning has been checked	-	Release 2.4 2022
106		Slight	#46, Data health warning should identify not just that a difference exists, but also that it affects this measure.	-	Release 2.4 2022



Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
107		Moderate	#45, Dashboard should include your measure role	-	Release 2.4 2022
108		N/A	#44, Update API-Single measure to match other API endpoints	TBA	Release 2.2 2021
109		Slight	#43, Information indicating shared objects have changed should be viewable by limited group	-	Release 2.4 2022
110		Critical	#42, Measure's Version History panel presents start/end dates	-	Release 2.4 2022
111		Critical	#41, Default view of measure should be the active measure	-	Release 2.4 2022
112		Critical	CPUC would like eTRM to offer the following reports: 1. A report of measure log entries (report for CPUC team would only include CPUC viewable items and report for measure development would only include utility viewable items) 2. Weekly digest (high priority) 3. Workpaper status report (high priority) 4. Monthly workpaper submission plan	-	Release 2.3 2021
113		Critical	Repurpose the measure data spec field Workbook Excel file for the workpaper cover sheet. This field will work like the current Workbook Excel File measure field and allow the following file types. Repurpose the measure data spec field Characterization source file for the workpaper plan. This field will work like the current Characterization source file measure field and allow the following file types. .doc .docx .pdf	TBA	Release 2.2 2021
114		Critical	Implement the ability for a user to assign a measure to another user. When a measure is assigned to a user, they will receive an email notification.	-	Release 2.3 2021

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
115		Critical	Ability to attach files to measure log entry. A user who has permission to view the log entry may download the file attachment. Ability to filter the measure log listing by "has attachment". This feature does not refer to the current workpaper package (attachment of workpaper references, cover sheet, or measure characterization). Implement the ability for a user to assign a measure to another user. When a measure is assigned to a user, they will receive an email notification.	TBA	Release 2.2 2021
116		Moderate	Implement the ability for a user to assign a measure to another user. When a measure is assigned to a user, they will receive an email notification.	-	Release 2.4 2022
117		N/A	(merged with 115)	-	-
118		Moderate	The CPUC/EAR team desires a dashboard that would show all measures in the CPUC review workflow. Dashboard contents to be determined by CPUC/EAR team, but could include: <ul style="list-style-type: none"> <li>Measures in CPUC review: <ul style="list-style-type: none"> <li>Hyperlinks to latest draft</li> <li>Measure status</li> <li>List of measure contributors</li> <li>Last log entry</li> </ul> </li> <li>Hyperlinks to CPUC status reports <ul style="list-style-type: none"> <li>Weekly digest</li> <li>Workpaper submission status</li> <li>Others, TBD</li> </ul> </li> </ul>	-	Release 2.3 2021

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
119		Moderate	A user with appropriate permissions will be able to take assignment of a measure, create a draft, and insert comments directly into the measure characterization. Additional stakeholder input will determine if ability to insert comments to value tables and calculations is needed. <ul style="list-style-type: none"> <li>• Comment feature similar to Word – user and timestamp of each comment, ability for multiple replies</li> <li>• Comments will not be shown when version is published</li> <li>• All comments will be viewable and retained in draft versions</li> <li>• Comments will be viewable by any user with a measure contributor role (i.e., measure development and CPUC review will be able to see comments/replies)</li> </ul>	-	Release 2.3 2021
120		Slight	Workflow - Assignment restriction	Deleted	-
121		b. Moderate	Workflow - Status transitions / Additional emails	-	Release 2.4 2022
122		Critical	Workflow - Measure log notifications / Daily digest (Measure log notifications; now notifications are instantaneous; this will provide the option to have an option to have a daily notification instead)	-	Release 2.3 2021
123		N/A	Increase the number of measures returned per call to “Measures” method; we need all eTRM measures	-	Release 2.3 2021
124		Slight	Provide a method to get the current, active, published version by measure ID, without specifying a version number (this will be important if users are not permitted to select older versions)	-	Release 2.3 2021
125		N/A	Provide a single method to get all shared data versions, parameters, and value tables; alternatively, provide a method to get all measure parameters and value tables, like the “Measure Package” download in the eTRM UI	-	Release 2.3 2021
126		N/A	Provide filtered access to measure permutations; this is a critical update to avoid serious and unnecessary performance problems	-	Release 2.3 2021
127		N/A	Ability to improve the application performance. (in Sprint 6)	TBA	Release 2.2 2021

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
128		Critical	Limit the edit permissions of start date and end date fields to CPUC administrator	-	Release 2.4 2022
129		Moderate	Rename "sunset date" to "end date"	-	Release 2.4 2022
130		Critical	Require that a version is committed when it is submitted to the CPUC Review workflow (i.e., when a measure status is changed to "Submitted")	-	Release 2.4 2022
131		Slight	Add a pop up to verify action when a user changes status to "Submitted to CPUC", "Resubmitted to CPUC", "POU Ready", "CPUC Approval", "Cancelled" to confirm that's what they really want to do	-	Release 2.4 2022
132		Moderate	Add a pop up to verify action when a user chooses to make a measure log entry "open" to confirm that's what they really want to do	-	Release 2.4 2022
133		Slight	Add the date that measure status changed to "Submitted to CPUC" in the right-hand detail panel, below "Last Status Change"	-	Release 2.4 2022
134		Slight	Add the last date that measure status changed to "Resubmitted to CPUC" in the right-hand detail panel, below "Last Status Change"? (below Submitted to CPUC date as per #6)	-	Release 2.4 2022
135		Critical	Rename Measure Packet to Measure Package (as per OMBU: This would be a global change to the measure UI, so the sidebar of all measure versions would read Measure Package instead of Packet. If this work is approved, then we will audit the system for any use of the word "packet" to make sure no references sneak through.)	TBA	Release 2.2 2021
136		Slight	Add list of measure contributors (name, role) to the measure log at a glance	-	Release 2.4 2022
137		Moderate	Limit publishing to only be available when a measure is in "POU Ready" or "CPUC Approved" status	-	Release 2.4 2022
138		N/A	Send the user an email when they are assigned a measure contributor role	-	Release 2.4 2022
139		Slight	Allow selection of multiple attributes for table column filtering	-	Release 2.4 2022

Enhancements					
No.	E-5082	Priority	Criterion	Compliance	Due date <sup>69</sup>
140		Critical	Display SW ID (and version if applicable) with measure name in all eTRM views (exception is user-configured tables)	-	Release 2.4 2022
141		Critical	Sync staging test environment with production ("live") application data to enable validation of data.	TBA	Release 2.2 2021
142		N/A	API to allow PAs to upload implementation data into the PA workspace.	-	Release 2.4 2022
143		Critical	Ability for users to select measures and filter by permutations for use in CET. This feature will limit output to 100,000 records for at least one measure.	-	Release 2.4 2022
144		Slight	Ability for System Admin or CPUC Admin to modify fields without changing the Source Description (e.g., measure package ID + version.sub-version)	-	Release 2.4 2022

Table A-10-4 reiterates the phase 2 requirements that were established in Resolution E-5082 and the status of the eTRM's compliance.

**Table A-10-4. Phase 2 data field requirements assessments**

Description	PEAR/ExAnte field	eTRM field	Compliance	Due date
DEER Measure ID	MeasureID	DEER Measure IDS	Yes	-
HVAC system type description	BldgHVACDesc	Description	Yes	-
Climate zone description	BldgLocDesc	Description	Yes	-
Building type description	BldgTypeDesc	Description	Yes	-
Building vintage bin description	BldgVintDesc	Description	Yes	-
Coincident demand factor	CDF	CDF	Yes	-
Flag for values available for claims reporting	ClaimSpec		TBA	Release 2.2 2021
Date record created	Created		TBA	Release 2.2 2021
Record creator	CreatedBy		TBA	Release 2.2 2021
Record documentation source	CreatedCitation		TBA	Release 2.2 2021
Default equivalent full-load hours	defEFLH	Default EFLH (HR/YEAR)	Yes	-

Description	PEAR/ExAnte field	eTRM field	Compliance	Due date
Delivery type description	DeliveryTypeDesc	Description	Yes	-
NTG ID description	Desc	Description	Yes	-
Energy Impact Profile	EnergyImpactProfile	Electric Impact Profile ID	Yes	-
Interactive effects factor for kW savings	IE_kW	IEkW (Ratio)	Yes	-
Interactive effects factor for kWh savings	IE_kWh	IEkWh (Ratio)	Yes	-
Interactive effects factor for therm savings	IE_therm	IETherm (Ratio)	Yes	-
Flag for values available for percent filing	FilingSpec		TBA	Release 2.2 2021
Notice of planned studies	FutureComment		TBA	Release 2.2 2021
Gas impact profile	GasImpactProfile	Gas Impact Profile ID	Yes	-
Gross savings & installation adjustment type	GSIAType	GSIA-BLDG Type	Yes	-
Gross savings & installation adjustment type description	GSIATypeDesc	Description	Yes	-
Gross savings & installation adjustment factor	GSIAValue	GSIA (Ratio)	Yes	-
Hours of use	HOU		TBA	Release 2.2 2021
Hours of use categories	HOU_cat	Hours-of-Use Category	Yes	-
Flag indicating DEER building type	IsDEERBldg		TBA	Release 2.2 2021
Proposed content flag (not yet approved)	IsProposed	PROPOSED FLAG	Yes	-
Date of last modification to record	LastMod		TBA	Release 2.2 2021
Party last modified record	LastModBy		TBA	Release 2.2 2021
Supporting documentation for last modification to record	LastModCitation		TBA	Release 2.2 2021
Comment regarding last modification to record	LastModComment		TBA	Release 2.2 2021
Lighting category	LightingType	Lighting Types	Yes	-
Measure Application type (MAT) description	MeasAppTypeDesc	Description	Yes	-
Measure impact calculation Type description for DEER measures	MeasImpactCalcDesc	Description	Yes	-
Normalizing unit description	NormUnitDesc	Description	Yes	-

Description	PEAR/ExAnte field	eTRM field	Compliance	Due date
NTG ratio for electric savings	NTG_Elec	NTGRkWh (Ratio)	Yes	-
NTG ratio for gas savings	NTG_Gas	NTGRTherm (Ratio)	Yes	-
Flag for building type parent	ParentType		TBA	Release 2.2 2021
Workpaper revision number	revision		TBA	Release 2.2 2021
Remaining useful life value, years	RUL_Yrs	RUL Years (YR)	Yes	-
Sector description	SectorDesc	Description	Yes	-
End-use sub-category	SubUseCategory	Use Sub-Category	Yes	-
Technology group description	TechGroupDesc	Description	Yes	-
Technology type description	TechTypeDesc	Description	Yes	-
Technology type name	TechTypeName	Technology Type	Yes	-
End-use category description	UseCategoryDesc	Description	Yes	-
End-use sub-category description	UseSubCategoryDesc	Description	Yes	-

New enhancements identified during phase 1 and 2 development have been added to the scope of work for an additional production release, 2.4, in 2022, as described in Table A-10-5.

**Table A-10-5. Phase 3 enhancements for release 2.4 in 2022**

Number	Description
200	Eliminate timeout issues when generating/exporting shared value tables
201	Eliminate timeout issues when exporting permutation tables
<del>202</del>	<del>Enable multiple parameter filtering in shared value tables</del>
<del>203</del> 202	Create table for electric generalized load shape parameters in the CPUC Support Table area that is equivalent to the <i>currentbp.costeff.LoadShapeElec_2022</i> table in the PEAR database. If a companion shared object is generated, this will be synchronized on a nightly basis with the version in the CPUC Support Table area.
<del>204</del> 203	Create table for natural gas generalized load shape parameters in the CPUC Support Table area that is equivalent to the <i>currentbp.costeff.LoadShapeGas_2022</i> table in the PEAR database. If a companion shared object is generated, this will be synchronized on a nightly basis with the version in the CPUC Support Table area.
<del>205</del> 204	Create a VersionSource parameter object to supplement the Version table in the CPUC Support Table. This table provides more resolution than the records in the Version table. This will need to be synchronized on a nightly basis with the VersionSource table in the CPUC Support Table area.

Table A-10-6 lists the acceptance criteria that will be used by the Energy Division for data performance (API), data integrity, and system performance prior to the production release of each new eTRM version. More requirements may emerge as needs arise and best practices dictate.

**Table A-10-6. Acceptance criteria for system performance**

Number	Category	Acceptance Criteria
300	User experience	No page will take more than three seconds to load
301	User experience	Users will not encounter time outs during page loading or data downloads
302	User experience	Emails providing data exports will be transmitted within 5 minutes of request
303	Data integrity	Data validation: 100% agreement of contents of EAD tables for approved workpapers and permutations
304	Data integrity	Data validation: 100% agreement between Shared Data tables and CPUC Support tables
305	Data integrity	The application ensures data quality and consistency
306	Member security	Email address and password storage meet industry standards. Passwords are never stored in plain text. Industry standard password hashing algorithms are to be used at all times— <u>and updated as they evolve.</u>
307	Site reliability	The site will be available at all times during the work week (Monday-Friday, between 8 a.m. and 6 p.m.). Site down time occurring outside of the work week will be limited to brief pre-announced windows.
308	Site security	Only current software and dependencies that are actively being security patched are used by the application. <u>At no time will the system operate with known vulnerabilities.</u>
309	Site security	All site permissions (system and user) follow the principle of least privilege.
310	System performance	Application and database performance will not limit the development of features.
311	Acceptance testing	When requested by the test team, production data are synced to the staging environment as new features are deployed to staging for testing.