

PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE
SAN FRANCISCO, CA 94102-3298

April 29, 2022

Proposed Resolution W-5241

Agenda ID: 20569

To: All Interested Persons

Enclosed is Proposed Resolution W-5241 of the Water Division, which authorizes California-American Water Company to implement a multi-family assistance pilot program and track associated costs in a modified Low-Income Ratepayer Assistance balancing account and a Multi-family Meter Retrofit memorandum account. Proposed Resolution W-5241 is scheduled to appear on the June 2, 2022 Commission Meeting Agenda (ID#20569).

The Commission may act on this resolution or it may postpone action until later. When the Commission acts on a proposed resolution, the Commission may adopt all or part of the proposed resolution, as written, or amend or modify the proposed resolution; or the Commission may set the proposed resolution aside and prepare a different resolution. Only when the Commission acts does the resolution become binding.

Interested persons may submit comments on Proposed Resolution W-5241 via email to Water.Division@cpuc.ca.gov on or before **May 19, 2022**. Please reference **"Proposed Resolution W-5241"** in the subject line.

Interested persons must also serve a copy of their comments on the utility on the same date that the comments are submitted to the Water Division. If email is unavailable, please submit comments to:

California Public Utilities Commission
Water Division, Third Floor
505 Van Ness Avenue
San Francisco, CA 94102

Comments should focus on factual, legal, technical errors, or policy issues in the proposed resolution.

Persons interested in receiving comments submitted may contact the Water Division at Water.Division@cpuc.ca.gov or (415) 703-1133. Please reference "Proposed Resolution W-5241."

/s/TERENCE SHIA

Terence Shia, Director
Water Division

Enclosures: Proposed Resolution W-5241
Certificate of Service
Service List

PROPOSED RESOLUTION

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Agenda ID #20569

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

WATER DIVISION

RESOLUTION W-5241

June 2, 2022

RESOLUTION

(RES. W-5241), CALIFORNIA-AMERICAN WATER COMPANY, ORDER AUTHORIZING IMPLEMENTATION OF A MULTI-FAMILY ASSISTANCE PILOT PROGRAM AND ASSOCIATED COST TRACKING IN A MODIFIED CUSTOMER ASSISTANCE PROGRAM BALANCING ACCOUNT.

By Advice Letter AL 1320, filed January 4, 2021.

SUMMARY

By Advice Letter (AL) 1320, filed on January 4, 2021, California-American Water Company (Cal-Am) seeks authority to implement a multi-family assistance pilot program as directed by Decision 20-08-047, Ordering Paragraph 5, and track associated costs in a modified Customer Assistance Program (CAP) balancing account and a new Fruitridge Vista Multi-family Meter Retrofit (MFMR) Memorandum Account. This Resolution authorizes Cal-Am to implement a multi-family assistance pilot program and track associated costs in a modified CAP balancing account.

BACKGROUND

In Order Instituting Rulemaking (R.) 17-06-024, the Commission seeks to examine rate assistance for all low-income water users of investor-owned water utilities. Decision (D.) 20-08-047, adopted by the Commission on August 27, 2020, directs California-American Water Company (Cal-Am) to outline a pilot program that provides a discount to water users in low-income multi-family housing:

“Ordering Paragraph 5. California-American Water Company shall file a Tier 3 advice letter, within 120-days of the issuance of this decision,

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outlining a pilot program that provides a discount to water users in low-income multi-family through their housing providers.”

In Advice Letter (AL) 1320, filed on January 4, 2021, Cal-Am seeks to implement a multifamily assistance pilot program through four components:

1. Program Component 1 – Multi-family Housing Discounts in Disadvantaged Communities – San Diego Service Area.
2. Program Component 2 – Multi-family Low-Income Housing Tax Credit Recipients – Sacramento and Monterey Area.
3. Program Component 3 – Meter Retrofit for Fruitridge Vista Multi-family Units.
4. Program Component 4 – Low-Income Joint Water and Energy Install Program – Recently Acquired Systems.

Through Component 1, Cal-Am will identify eligible tenants in the San Diego Service Area within master metered buildings and work with Community Based Organizations to provide CAP discounts directly to tenants, who pay a share of the master metered bill. A total CAP discount for the building would be calculated by using the proportion of CARE/CAP eligible tenants out of the total amount of housing units, which would then be divided equally among eligible tenants.

Component 2 would provide discounts in the Sacramento and Monterey Service Areas to affordable housing properties,¹ which as a whole are eligible for California Low-Income Housing Tax Credits for all units. The building owner would receive the discount because tenants are charged a fixed fee for utilities, regardless of the actual master metered bill. The tenants would not receive a discount directly.

Component 3 would provide individual water meters to tenants in duplexes and four-unit multifamily buildings in the Fruitridge service area. The metered tenants will be able to utilize Cal-Am’s ratepayer assistance programs, conservation programs, and payment options.

¹ Affordable housing properties have verified affordable housing tax credit status for 100 percent of its units.

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Component 4 would expand existing water energy retrofit programs in all of Cal-Am's service areas that are currently conducted jointly with energy providers to multifamily buildings and mobile home parks. The retrofit program that currently extends hot and cold-water measures, including appliances, fixtures, and weatherization, to low-income housing is funded jointly by Cal-Am and the energy investor-owned utilities and has predominantly been utilized by single-family households. Typically, the participating energy utility covers the cost of hot water measures, such as water heater, showerhead, and washing machine upgrades, with the water utility covering cold water measure costs, such as toilet upgrades, aerators, and leak repairs. This component would explore extending the program applicability to multifamily buildings and mobile home parks, both master metered and individually metered.

Costs of Components 1, 2, and 4 would be tracked in Cal-Am's existing Customer Assistance Program (CAP)² balancing account. Component 3 costs would be tracked in Cal-Am's proposed Multi-family Meter Retrofit (MFMR) memorandum account.

In AL 1320, Cal-Am requests to implement a multi-family assistance pilot program, track costs in a sub-account of the CAP balancing account, and establish the MFMR memorandum account with the proposed modified tariffs attached to this Resolution (Attachment A³):

1. Customer Assistance Program (CAP) Balancing Account. This balancing account will contain a sub-account which records low-income discounts and incremental costs associated with the multi-family assistance pilot program. Costs accumulated in this sub-account may be requested for recovery as part of the annual surcharge in Cal-Am's first General Rate Case Proceeding following approval of this Resolution.
2. Fruitridge Vista Multi-family Meter Retrofit Memorandum Account (MFMR). This memorandum account would track incremental costs incurred to individually meter the currently master-metered duplex and multi-plex units in the newly acquired Fruitridge Vista service area. Recovery of the tracked costs may be requested by Tier 3 advice letter to place into rates the costs tracked in the MFMR memorandum account.

² Cal-Am's Low Income Ratepayer Assistance (LIRA) program was renamed the Customer Assistance Program (CAP) by advice letter 1326 filed on March 5, 2021.

³ MFMR removed from requested tariffs in Attachment A.

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Cal-Am would include a report in the General Rate Case Proceeding expected to be filed in July 2025 that examines the effectiveness, enrollment figures, and a quantification of benefits of the first project component. The report would also describe in detail the progress of implementing the fourth component.

NOTICE AND PROTESTS

Consistent with General Order (GO) 96-B, General Rule 4.2 and Water Industry Rule 3.1, Cal-Am provided notice of this request in customer bills.

In accordance with GO 96-B, General Rules 4.3 and 7.2, and Water Industry Rule 4.1, Cal-Am mailed or electronically transmitted a copy of this advice letter on January 4, 2021 to competing and adjacent utilities and other utilities or interested parties having requested such notification.

No protests were received.

DISCUSSION

D.20-08-047 authorizes Cal-Am to outline a pilot program that provides a discount to water users in low-income multi-family through their housing providers modeled after Cal-Am's previously filed and rejected AL 1221. In AL 1221, Cal-Am requested to provide CAP discounts to operators of "Affordable Housing Facilities" that qualify through California Tax Credit Allocation Committee compliance. The advice letter was rejected because the request did not provide detail on how the cost of water service is factored into "Affordable Housing Facilities" lease rates and would not provide substantial assistance to the majority of low-income tenants in multi-family residences. The new discounts would have only applied to a low number of facilities in the Monterey District and tenants would not receive discounts directly.

In compliance with D.20-08-047, Cal-Am filed AL 1320 on January 4, 2021 seeking to implement a multifamily assistance pilot program through four components. Program Component 1 offers a discount to water users in low-income multi-family housing as prescribed in D.20-08-047.

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Through Program Component 1, Cal-Am would target one or more master metered building(s) in a disadvantaged or severely disadvantaged community and establish a partnership to provide Customer Assistance Program (CAP) discounts to tenants. For Program Component 1, Water Division has determined that there are approximately 2,057 potential discount recipients in the San Diego district. The cost of these discounts, based on typical customer usage, is estimated to be \$209,000 per year, or \$101.60 per recipient.

Program Component 2 would offer a discount to the owners of affordable housing properties with verified affordable housing tax credit status and who charge a fixed fee for utilities. For Program Component 2, Water Division has determined that there are approximately 2,488 potential discount recipients in the Sacramento and Monterey districts. The cost of these discounts is estimated to be \$160,000 per year, or \$64.31 per recipient.

Program Component 3 proposes retrofitting meters to multi-family buildings in the Fruitridge Vista service area to individual metering. Water Division has determined that Program Component 3 costs to retrofit Fruitridge Vista's 150 duplex and 50 four-unit buildings are estimated to be \$6,945 per unit for a total of \$3,472,500. The costs would be tracked in the MFMR memorandum accounting and Cal-Am would request recovery by Tier 3 advice letter if this memorandum account was approved.

Program Component 4 proposes to expand Cal-Am's existing water energy retrofit programs to include multi-family buildings and mobile home parks. Cal-AM proposes that the budget for the water energy multi-family retrofit program will not exceed \$200,000 for the duration of the pilot program. Costs would be tracked in a sub-account of the CAP balancing account to be recovered by surcharge in Cal-Am's General Rate Case expected to be filed in July 2025.

We find that the multi-family assistance pilot program consisting of Components 1 and 4 are reasonable and in compliance with D.20-08-047. These pilot program components offer an opportunity to deliver benefits to low-income renters in multi-family buildings that do not pay a water bill directly. Costs for Program Component 1 should be capped at \$250,000 per year to capture the estimated costs and allow for a degree of uncertainty. We find Cal-Am's proposed \$200,000 budget for component 4 is reasonable and should be approved.

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We find that Program Component 2 is not consistent with the parameters outlined for pilot programs in D.20-08-047. Specifically, this decision required among other things that an advice letter proposing pilot programs outline and address “[h]ow the utility will trace the program benefit directly to the users who do not receive water bills?” D.20-08-047 page 81. Program Component 2 does not deliver discounts or benefits directly to low-income renters as described in D.20-08-047, and therefore the program benefits do not trace directly to the users of water. For this reason, Program Component 2 should be rejected.

We also find that Program Component 3 is not a prudent and reasonable component of the multi-family low-income discount pilot program outlined by D.20-08-047. The installation of individual meters is not based on the discount program proposed in Advice Letter 1221. Furthermore, the installation costs are high given that there are no quantifiable benefits. Program Component 3 of the proposed multi-family low-income discount pilot program should be rejected, as should the request to open a memorandum account for the purpose of implementing Component 3.

Cost tracking of for pilot program Components 1 & 4 through modifications to Cal-Am’s existing CAP balancing account will allow for review of the pilot program and associated costs. Program Component 1 and 4 costs should be capped at the amounts outlined above. Costs accumulated in this sub-account may be requested for recovery as part of the annual CAP surcharge collected from all non-customer assistance program customers in Cal-Am’s General Rate Case Proceeding expected to be filed in July 2025. All associated tariffs are attached to this Resolution as Attachment A.

In the General Rate Case proceeding expected to be filed in July 2025, Cal-Am should include a report that examines the effectiveness of Program Components 1 and 4. This report shall examine the effectiveness, enrollment figures, and a quantification of benefits of the first project component. The report shall describe in detail the progress of implementing the fourth component. In the aforementioned General Rate Case proceeding, continuation of the pilot program can be determined.

ENVIRONMENTAL AND SOCIAL JUSTICE

In February 2019, the Commission adopted version 1.0 of its Environmental and Social Justice Action Plan (ESJ Action Plan) to serve as a roadmap to expand public inclusion in Commission decision-making processes to targeted communities across

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California. The ESJ Action Plan establishes a series of goals related to health and safety, consumer protection, program benefits, and enforcement in all the sectors the Commission regulates. On October 26, 2021, the Commission issued for public comment a Draft of its ESJ Action Plan Version 2.0, which enhances the underlying objectives of the nine goals identified in Version 1.0 of the adopted ESJ Action Plan. All goals remained the same with the exception of goal #7 related to workforce development, which has been revised to include emphasis on job quality and access. With this Resolution, the Commission addresses Goals #1 and #3 of the ESJ Action Plan, “Consistently integrate equity and access considerations throughout Commission regulatory activities; and strive to improve access to high-quality water, communications, and transportation services for ESJ communities.”

The Commission acknowledges that some populations in California, such as those served in multi-family housing situations, are unable to take advantage of the Commission’s Consumer Assistance Programs. The ESJ Action Plan tasks the Commission with the responsibility to serve Californians in a way that helps address these inequities. The actions proposed in this Resolution for establishing a multi-family assistance pilot program creates a pathway to provide affordable water service to communities that currently do not have access to the Commission’s Consumer Assistance Programs.

Meadowbrook, located in Merced County, is classified as a disadvantaged community, as defined by Health and Safety Code Section 116275, subd. (aa). The California Communities Environmental Health Screening Tool, Version 3 (CalEnviroScreen 3.0) provided by the California Environmental Protection Agency, identifies disadvantaged communities by collecting multiple metrics and outputting a single value at the census tract scale. CalEnviroScreen 3.0 ranks Meadowbrook in the 90-95th percentile of the highest scoring census tracts statewide. The census tract falls into the 84th percentile for Impaired Water and in the 78th percentile for Groundwater Threats.

A portion of Rosemont, located in Sacramento County, is classified as a disadvantaged community, as defined by Health and Safety Code Section 116275, subd. (aa). CalEnviroScreen 3.0 ranks Rosemont in the 90-95th percentile of the highest scoring census tracts statewide. The census tract falls into the 72nd percentile for Impaired Water and in the 93rd percentile for Groundwater Threats.

Fruitridge, located in Sacramento County, is classified as a disadvantaged community, as defined by Health and Safety Code Section 116275, subd. (aa). CalEnviroScreen 3.0

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ranks Fruitridge in the 75-80th percentile of the highest scoring census tracts statewide. The census tract falls into the 49th percentile for Impaired Water and in the 85th percentile for Groundwater Threats.

Cal-Am's San Diego service area is not classified as a disadvantaged community, as defined by Health and Safety Code Section 116275, subd. (aa). CalEnviroScreen 3.0 ranks San Diego in the 60-65th percentile of the highest scoring census tracts statewide. The census tract falls into the 29th percentile for Impaired Water and in the 64th percentile for Groundwater Threats.

Cal-Am's Monterey service area is not classified as a disadvantaged community, as defined by Health and Safety Code Section 116275, subd. (aa). CalEnviroScreen 3.0 ranks Monterey in the 20-25th percentile of the highest scoring census tracts statewide. The census tract falls into the 63rd percentile for Impaired Water and in the 90th percentile for Groundwater Threats.

Dunnigan, located in Yolo County, is not classified as a disadvantaged community, as defined by Health and Safety Code Section 116275, subd. (aa). CalEnviroScreen 3.0 ranks Dunnigan in the 55-60th percentile of the highest scoring census tracts statewide. The census tract falls into the 96th percentile for Impaired Water and in the 93rd percentile for Groundwater Threats.

Hillview, located in Madera County, is not classified as a disadvantaged community, as defined by Health and Safety Code Section 116275, subd. (aa). CalEnviroScreen 3.0 ranks Hillview in the 20-25th percentile of the highest scoring census tracts statewide. The census tract falls into the 15th percentile for Impaired Water and in the 61st percentile for Groundwater Threats.

Given these definitions and considerations, we find that Cal-Am's pilot program will provide rate relief to low-income water users not otherwise eligible under the Commission's Consumer Assistance Program.

AFFORDABILITY OF PROPOSED RATES

The affordability impact of the pilot program shall be evaluated in Cal-Am's pilot program report in the General Rate Case expected to be filed in July 2025.

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COMMENTS

Public Utilities Code Section 311(g)(1) provides that resolutions generally must be served on all parties and subject to at least 30 days public review and comment prior to a vote of the Commission.

Accordingly, this Proposed Resolution was mailed for public comment on April 29, 2022.

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FINDINGS AND CONCLUSIONS

1. On January 4, 2021, California-American Water Company (Cal-Am) filed Advice Letter (AL) 1320 requesting authority to implement a multifamily assistance pilot program as directed by Decision 20-08-047, Ordering Paragraph 5, and track associated costs in a modified Customer Assistance Program (CAP) balancing account and a new Fruitridge Vista Multi-family Meter Retrofit (MFMR) Memorandum Account as laid out in Attachment A, with exception for the MFMR Memorandum Account, to this Resolution.
2. The Customer Assistance Program (CAP) Balancing Account will contain a sub-account which records low-income discounts and incremental costs associated with the multi-family assistance pilot program. Costs accumulated in this sub-account may be requested for recovery as part of the annual CAP surcharge collected from all non-customer assistance program water and wastewater customers in Cal-Am's General Rate Case expected to be filed in July 2025.
3. On February 2, 2021, Water Division suspended AL 1320 for additional time to review.
4. Program Component 1 proposes to offer a CAP discount to water users in low-income multi-family housing in the San Diego service area.
5. Program Component 1 pilot costs are estimated to total \$209,000 per year.
6. Program Component 1 should be approved with costs capped at \$250,000 per year and tracked in a sub-account of the CAP balancing account.
7. Program Component 2 proposes to offer a discount to owners of affordable housing properties with verified affordable housing tax credit status and who charge a fixed fee for utilities.
8. Program Component 2 pilot costs are estimated to total \$160,000 per year.
9. Program Component 2 should be rejected because it does not provide discounts directly to low-income renters and consequently the program benefits to water users as contemplated in Decision 20-08-047.
10. Program Component 3 proposes retrofitting multi-family buildings in the Fruitridge Vista service area with individual water meters.

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11. Program Component 3 pilot costs are estimated to total \$3,472,500 and would be tracked in a newly established memorandum account.
12. Program Component 3 of the pilot program to retrofit meters in the Fruitridge district is not a prudent use of funding from the CAP program because the benefits to prospective recipients do not exceed the program costs and should be rejected.
13. Program Component 4 proposed expanding existing water energy retrofit programs available to single-family residences to include multi-family buildings and mobile home parks.
14. Program Component 4 pilot costs are estimated to total \$200,000 per year.
15. The Program Component 4 should be approved with a budget that should not exceed \$200,000 for the duration of the pilot program.
16. California-American Water Company should be allowed to implement a sub-account to the Customer Assistance Program (CAP) Balancing Account as set forth in this Resolution (Attachment A).
17. The tariff schedules attached to this Resolution (Attachment A) should be approved in a subsequent Tier 1 advice letter filing.
18. Cal-Am should include a report in the General Rate Case proceeding expected to be filed in July 2025 that examines the effectiveness, enrollment figures, and a quantification of benefits of the first project component. The report shall describe in detail the progress of implementing the fourth component. In the aforementioned General Rate Case proceeding, the continuation of the pilot program should be determined.

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THEREFORE, IT IS ORDERED THAT:

1. California-American Water Company's Advice Letter 1320 requesting Commission authorization to implement a multi-family assistance pilot program as directed by Decision 20-08-047, Ordering Paragraph 5, and track associated costs in a modified Customer Assistance Program (CAP) balancing account is approved as modified and set forth in this Resolution.
2. Program Component 1 of the pilot program to offer low-income discounts to water users in low-income multi-family housing in the San Diego service area is approved.
3. Program Component 1 pilot costs shall be capped at \$250,000 per year.
4. Program Component 2 of the pilot program to offer low-income discounts to owners of affordable housing properties is rejected.
5. Program Component 3 of the pilot program to retrofit multi-family buildings in the Fruitridge Vista service area to individual metering is rejected.
6. The request for establishment of a new Fruitridge Vista Multi-family Meter Retrofit Memorandum Account is rejected.
7. Program Component 4 of the pilot program to expand the water energy retrofit program to include multi-family buildings and mobile home parks is approved.
8. The Program Component 4 budget shall not exceed \$200,000 for the entirety of the pilot program.
9. California-American Water Company is authorized to file a Tier 1 advice letter to make effective the tariff schedules in Attachment A to this Resolution.
10. California-American Water Company is authorized to cancel the presently-effective tariff schedules corresponding to the tariff schedules in Attachment A to this Resolution.
11. Cal-Am shall include a report in the General Rate Case expected to be filed in July 2025 that examines the effectiveness, enrollment figures, and a quantification of benefits of the first project component. The report shall also describe in detail the progress of implementing the fourth component.

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12. In the General Rate Case proceeding expected to be filed in July 2025, continuation of the pilot program shall be determined.

This resolution is effective today.

I certify that the foregoing resolution was duly introduced, passed, and adopted at a conference of the Public Utilities Commission of the State of California held on June 2, 2022; the following Commissioners voting favorably thereon:

Rachel Peterson
Executive Director

PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

ATTACHMENT A

CALIFORNIA-AMERICAN WATER COMPANY
655 W. Broadway, Suite 1410
San Diego, CA 92101

Cancelling

Revised
Revised

Cal. P.U.C. Sheet No.
Cal. P.U.C. Sheet No.

XXXX-W
9648-W

PRELIMINARY STATEMENT (Continued)

Sheet 1

R. Low-Income Ratepayer Assistance Program ("LIRA") Balancing Account

1. PURPOSE:

The purpose of the LIRA Balancing Account is to track the LIRA discounts provided, the LIRA surcharges collected, and to adjust the LIRA surcharges on January 1 of each year. The surcharge will be applicable to all non-low-income water and wastewater customers. California American Water was granted authority to continue this account in Decision (D.) 18-12-021. Decision (D.) 20-08-047 ordered California American Water to implement a pilot program providing low-income customer discounts for water users in master metered multi-family housing. This balancing account contains a sub-account which records low-income discounts and incremental costs associated with the: 1) San Diego Service Area Multifamily Housing in Disadvantaged Communities Program, 2) Sacramento and Monterey Service Area Multifamily Low-Income Housing Tax Credit Recipients Program, and 3) Low-Income Joint Water and Energy Install Program in Recently Acquired Systems. Specifics of the pilot program are included in California American Water Low-Income Ratepayer Assistance Program tariff. This pilot will run from the time of actual implementation of all components of the pilot program for a period of 12-month period, after which time a report will be submitted to the Commission. However, the component costs and low-income discounts will continue to be tracked in this account until such time as the Commission approves the component to become a permanent part of the low income program, or rejects the particular component and all customers currently receiving discounts from the program are notified 3-months in advance of the termination of the program component. Costs accumulated in this subaccount will be recovered as part of the annual surcharge in the first Rate Case after the Commission rejects, partially accepts/rejects or fully accepts the components of the pilot program.

(N)

(N)

2. APPLICABILITY:

All areas served by California American Water.

3. ANNUAL SURCHARGE ADJUSTMENT:

The surcharge will be evaluated and adjusted annually in the annual Step Rate filings and will reflect:

- a. A forecast of the December 31st balance in the LIRA for the current year that reflects:
 - i. The most recent recorded balance;
 - ii. The assumption that the proportion of LIRA to non-LIRA residential enrollment in September will remain constant as a proportion of adopted numbers for October through December; and
 - iii. The assumption that current LIRA surcharges will be applied to the estimated non-LIRA portion of adopted sales (adopted sales minus estimated LIRA sales based on the proportion of LIRA to non-LIRA residential customers in September), plus interest; and

(Continued)

| (TO BE INSERTED BY UTILITY) | | ISSUED BY | (TO BE INSERTED BY C.P.U.C.) | |
|-----------------------------|------|-------------------------------|------------------------------|--|
| Advice | 1320 | J. T. LINAM | Date Filed | |
| Decision | | DIRECTOR - Rates & Regulatory | Effective | |
| | | | Resolution | |

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CALIFORNIA-AMERICAN WATER COMPANY
655 W. Broadway, Suite 1410
San Diego, CA 92101

Cancelling
Revised

Cal. P.U.C. Sheet No.
Cal. P.U.C. Sheet No.

XXXX-W
9649-W

PRELIMINARY STATEMENT (Continued)

Sheet 2

R. Low-Income Ratepayer Assistance Program (LIRA) Balancing Account (continued):

b. A forecast of the December 31 balance in the LIRA for the following year that reflects:

- i. The assumption that the proportion of LIRA to non-LIRA residential enrollment in September of the previous year will remain constant as a proportion of adopted numbers; and
- ii. The assumption that the new surcharges will be applied to the estimated non-LIRA portion of adopted sales (adopted sales minus estimated LIRA sales based on the proportion of LIRA to non-LIRA residential customers in September of the previous year), plus interest.

(L)

(L)

4. ACCOUNTING PROCEDURE:

The following entries will be recorded continued with the date of Decision (D.) 18-12-021:

- a. A debit entry equal to the recorded customer discounts.
- b. A credit entry equal to the surcharges collected from the customers not qualified to participate in the LIRA.
- c. A debit or credit entry equal to interest on the balance in the account at the beginning of the month and half the balance after the above entries, at a rate equal to one-twelfth of the rate on 90-day non-financial Commercial Paper, as reported in the Federal Reserve Statistical Release, H.15 or its successor.

5. RATEMAKING PROCEDURE:

Low income discount of 20%, for all districts except for the Monterey Service Area within Central Division, shall be applied to all monthly service fees, the tier one billed usage amount and the tier two usage amount. A low-income discount of 30% for Monterey Service Area shall be applied to all monthly service fees, and the first four tiers billed usage. Surcharges will be evaluated and adjusted annually in the annual Step Rate filings to ensure appropriate collection.

(Continued)

| (TO BE INSERTED BY UTILITY) | | ISSUED BY | (TO BE INSERTED BY C.P.U.C.) | |
|-----------------------------|------|-------------------------------|------------------------------|--|
| Advice | 1320 | J. T. LINAM | Date Filed | |
| Decision | | DIRECTOR - Rates & Regulatory | Effective | |
| | | | Resolution | |

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June 2, 2022

CALIFORNIA-AMERICAN WATER COMPANY
655 W. Broadway, Suite 1410
San Diego, CA 92101

Cancelling Revised
Revised

Cal. P.U.C. Sheet No. XXXX-W
Cal. P.U.C. Sheet No. XXXX-W

Schedule No. CA-LIRA
California American Water
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

Sheet 1

APPLICABILITY

Applicable to individually metered and flat rate residential customers, qualified non-profit group living facilities, qualified agricultural employee housing facilities, and migrant farm worker housing centers, and qualified Multifamily housing providers under the Multi-family Ratepayer Assistance Pilot Program (N) where the customer meets all the special conditions of this schedule.

TERRITORY

All territories served by California American Water Company

RATES:

Northern Division:

Sacramento Service Area

Quantity Rates:

| | <u>Base Rate</u> <u>Per 100 gal (CGL)</u> | |
|--|--|-----|
| For the first 74.8 CGL | \$0.3170 | (P) |
| For next 74.8 CGL | \$0.4250 | (P) |
| For all water delivered over 149.6 CGL | \$0.8315 | (P) |
| Multi-Family Pilot Customers: | \$0.3696 | (N) |

Service Charge: General Metered

| | <u>Per Meter Per Month</u> | |
|-------------------------------|----------------------------|-----|
| For 5/8 x 3/4-inch meter..... | \$11.94 | (P) |
| For 3/4-inch meter..... | \$17.92 | |
| For 1-inch meter..... | \$29.86 | |
| For 1-1/2-inch meter..... | \$59.71 | |
| For 2-inch meter..... | \$95.53 | |
| For 3-inch meter..... | \$179.12 | |
| For 4-inch meter..... | \$298.54 | |
| For 6-inch meter..... | \$597.08 | |
| For 8-inch meter..... | \$955.33 | |
| For 10-inch meter..... | \$1,373.28 | |
| For 12-inch meter..... | \$2,567.44 | (P) |

Larkfield Service Area

Quantity Rates:

| | <u>Base Rate</u> <u>Per 100 gal (CGL)</u> | |
|---|--|-----|
| For the first 52.4 CGL | \$0.7204 | (P) |
| For the next 52.4 CGL | \$0.7788 | |
| For the next 139.4 CGL | \$1.3849 | |
| For all water delivered over 243.9 CGL..... | \$1.8010 | (P) |

(Continued)

| (TO BE INSERTED BY UTILITY) | ISSUED BY | (TO BE INSERTED BY C.P.U.C.) |
|-----------------------------|-------------------------------|------------------------------|
| Advice 1320 | J. T. LINAM | Date Filed _____ |
| Decision | DIRECTOR - Rates & Regulatory | Effective _____ |
| | | Resolution _____ |

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CALIFORNIA-AMERICAN WATER COMPANY
655 W. Broadway, Suite 1410
San Diego, CA 92101

Cancelling Revised
Revised

Cal. P.U.C. Sheet No. XXXX-W
Cal. P.U.C. Sheet No. XXXX-W

Schedule No. CA-LIRA
California American Water
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

Sheet 4

RATES:

Central Division:

Monterey Service Area

Residential Quantity Rates:

| | Base Rate Per 100 gal (CGL) | |
|-----------------------------------|--------------------------------|-----|
| For the first 29.9 CGL..... | \$0.6260 | (P) |
| For the next 29.9 CGL..... | \$0.9389 | |
| For the next 44.9 CGL..... | \$2.1909 | |
| For the next 67.3 CGL..... | \$4.0888 | |
| For all water over 172.0 CGL..... | \$7.1539 | (P) |

Multifamily Pilot Quantity Rates:

| | Base Rate Per 100 gal (CGL) | |
|-----------------------------------|--------------------------------|-----|
| For the first 29.9 CGL..... | \$0.5959 | (N) |
| For the next 29.9 CGL..... | \$0.8939 | |
| For the next 44.9 CGL..... | \$2.0858 | |
| For the next 67.3 CGL..... | \$5.5337 | |
| For all water over 172.0 CGL..... | \$8.8107 | (N) |

Service Charge: General Metered

| | Per Meter Per Month | |
|-------------------------------|------------------------|-----|
| For 5/8 x 3/4-inch meter..... | \$15.03 | (P) |
| For 3/4-inch meter..... | \$26.32 | |
| For 1-inch meter..... | \$52.60 | |
| For 1-1/2-inch meter..... | \$164.91 | |
| For 2-inch meter..... | \$281.45 | |
| For 3-inch meter..... | \$527.71 | |
| For 4-inch meter..... | \$923.50 | |
| For 6-inch meter..... | \$1,978.93 | |
| For 8-inch meter..... | \$3,166.29 | (P) |

Central Satellite – Ambler Park, Toro, Ralph Lane, Garrapata Service Areas

Quantity Rates:

| | Base Rate Per 100 gal (CGL) | |
|-----------------------------------|--------------------------------|-----|
| For the first 59.8 CGL..... | \$0.5239 | (P) |
| For the next 74.8 CGL..... | \$0.8731 | |
| For the next 650.8 CGL..... | \$1.0478 | |
| For all water over 785.4 CGL..... | \$1.9100 | (P) |

(Continued)

(TO BE INSERTED BY UTILITY)

Advice 1320

Decision

ISSUED BY

J. T. LINAM

DIRECTOR - Rates & Regulatory

(TO BE INSERTED BY C.P.U.C.)

Date Filed

Effective

Resolution

PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

CALIFORNIA-AMERICAN WATER COMPANY
655 W. Broadway, Suite 1410
San Diego, CA 92101

Cancelling
Revised

Revised
Revised

Cal. P.U.C. Sheet No.
Cal. P.U.C. Sheet No.

XXXX-W
XXXX-W

Schedule No. CA-LIRA
California American Water
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

Sheet 8

RATES (Continued):

Rio Plaza Service Area
Quantity Rates:

| | <u>Base Rate</u> <u>Per 100 gal (CGL)</u> | |
|--|--|-----|
| For the first 45 CGL..... | \$0.1569 | (P) |
| For the next 45 CGL..... | \$0.2543 | (P) |
| For all water delivered over 90 CGL..... | \$0.4546 | (P) |

Service Charge: General Metered

| | <u>Per Meter</u> <u>Per Month</u> | |
|---------------------------|--------------------------------------|-----|
| For 3/4-inch meter..... | \$24.18 | (P) |
| For 1-inch meter..... | \$40.32 | |
| For 1-1/2-inch meter..... | \$80.61 | |
| For 2-inch meter..... | \$129.03 | |
| For 3-inch meter..... | \$241.88 | |
| For 4-inch meter..... | \$403.12 | (P) |

San Diego Service Area
Quantity Rates:

| | <u>Base Rate</u> <u>Per 100 gal (CGL)</u> | |
|---|--|-----|
| For the first 59.8 CGL..... | \$0.6199 | (P) |
| For the next 52.4 CGL..... | \$0.6966 | (P) |
| For the next 112.2 CGL..... | \$1.2821 | |
| For all water delivered over 224.4 CGL..... | \$1.7218 | (P) |

| | | |
|------------------------------|----------|-----|
| Multi-Family Pilot Customers | \$0.6884 | (N) |
|------------------------------|----------|-----|

Service Charge: General Metered

| | <u>Per Meter</u> <u>Per Month</u> | |
|-------------------------------|--------------------------------------|-----|
| For 5/8 x 3/4-inch meter..... | \$6.04 | (P) |
| For 3/4-inch meter..... | \$9.06 | |
| For 1-inch meter..... | \$15.11 | |
| For 1-1/2-inch meter..... | \$30.21 | |
| For 2-inch meter..... | \$48.34 | |
| For 3-inch meter..... | \$90.64 | |
| For 4-inch meter..... | \$151.07 | |
| For 6-inch meter..... | \$302.13 | |
| For 8-inch meter..... | \$483.41 | |
| For 10-inch meter..... | \$694.91 | |

(Continued)

| (TO BE INSERTED BY UTILITY) | | ISSUED BY | (TO BE INSERTED BY C.P.U.C.) | |
|-----------------------------|------|-------------------------------|------------------------------|-------|
| Advice | 1320 | J. T. LINAM | Date Filed | _____ |
| Decision | | DIRECTOR - Rates & Regulatory | Effective | _____ |
| | | | Resolution | _____ |

PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

CALIFORNIA-AMERICAN WATER COMPANY
655 W. Broadway, Suite 1410
San Diego, CA 92101

Cancelling Revised
Original

Cal. P.U.C. Sheet No. XXXX-W
Cal. P.U.C. Sheet No. 9755-W

Schedule No. CA-LIRA
California American Water
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

Sheet 12

SPECIAL CONDITIONS APPLICABLE TO LOW INCOME (Continued):

(L)

General Items:

(L)

3. **Low-Income Ratepayer Assistance Program (LIRA) for Nonprofit Group Living Facilities:**
(Continued)
4. Additional requirements:

Group living facilities must provide special-needs social services such as meals or rehabilitation and may have satellite facilities in the name of one licensed organization that meet the same requirements as the main facility. Group living facilities include transitional housing such as drug rehabilitation centers or halfway houses, short-or long-term — care facilities, group homes for the physically or mentally Challenged and other nonprofit group living facilities.

Homeless shelters, hospices and women's shelters must provide lodging as the primary Function, must be open for operation with at least six beds for a minimum of 180 days and/or nights per year and may also have satellite facilities in the name of one licensed organization that meet the same requirements as the main facility.

Separate applications must be filed for each type of facility (a homeless shelter, a women's shelter, a hospice or group living facility), even if they are under one licensed organization.

5. **Low-Income Ratepayer Assistance Program for Multi-Family Units:** Per Ordering Paragraph 5 of D.20-08-047, California American Water will offer discounts on water usage for low-income multifamily buildings under a pilot program as defined in the Preliminary Statement authorizing such program. The pilot program will consist of four program components:
 - a. **Multi-Family Housing in Disadvantaged Communities:** This Program component would be applicable only to master metered buildings in a disadvantaged or severely disadvantaged community ("DAC/SDAC") in the San Diego Service Area,
 1. Eligible master metered account holders would receive the Low-Income Ratepayer Assistance Discount in the applicable service area which includes a meter-based discount and a discount on volumetric charges, based on the percentage of eligible residents as compared to the total residents.
 2. This program will require building partnerships with local community-based organizations in our San Diego Service Area.

(N)

(N)

(Continued)

| (TO BE INSERTED BY UTILITY) | | ISSUED BY | (TO BE INSERTED BY C.P.U.C.) | |
|-----------------------------|------|-------------------------------|------------------------------|-------|
| Advice | 1320 | J. T. LINAM | Date Filed | _____ |
| Decision | | DIRECTOR - Rates & Regulatory | Effective | _____ |
| | | | Resolution | _____ |

PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

CALIFORNIA-AMERICAN WATER COMPANY
655 W. Broadway, Suite 1410
San Diego, CA 92101

Original Cal. P.U.C. Sheet No. XXXX-W

Schedule No. CA-LIRA
California American Water
LOW INCOME RATEPAYER ASSISTANCE PROGRAM

Sheet 13

SPECIAL CONDITIONS APPLICABLE TO LOW-INCOME RATEPAYER ASSISTANCE PROGRAM

(Continued):

General Items

5. Low-Income Ratepayer Assistance Program for Multifamily Units: (Continued)

- b. **Low-Income Joint Water and Energy Install Program:** This program component would expand existing water energy retrofit programs that are currently conducted jointly with energy providers to currently un-served multifamily buildings and mobile home parks. The program that currently extends hot and cold-water measures including appliances, fixtures, and weatherization to low-income housing is funded jointly by California American Water and the energy utility.

(N)

Fees and Surcharges

1. Please reference each district's Tariff Schedule 1 for a list of applicable fees and surcharges. Low-Income Ratepayer Assistance Program customers are exempt from the Low-Income Ratepayer Assistance Balancing Account surcharge.

(N)

(L)

(L)

(Continued)

| (TO BE INSERTED BY UTILITY) | | ISSUED BY | (TO BE INSERTED BY C.P.U.C.) | |
|-----------------------------|------|-------------------------------|------------------------------|-------|
| Advice | 1320 | J. T. LINAM | Date Filed | _____ |
| Decision | | DIRECTOR - Rates & Regulatory | Effective | _____ |
| | | | Resolution | _____ |

PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

CERTIFICATE OF SERVICE

I certify that I have electronically served all parties in these filings, or their attorneys as shown on the attached service list who have provided an e-mail address for Proposed Resolution No. W-5241. I will cause a Notice of Availability of the document to be served by U.S. Mail on all parties listed on the attached service list for whom no e-mail address is provided.

Dated April 29, 2022, at San Francisco, California.

/s/ROBIN BRYANT

Robin Bryant

Parties should notify the Water Division, Third Floor, California Public Utilities Commission, 505 Van Ness Avenue, San Francisco, CA 94102, of any change of address to ensure that they continue to receive documents. You must indicate the Resolution number on which your name appears.

PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

ALL DISTRICTS SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1320

BY MAIL:

| | | |
|--|--|---|
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PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

ALL DISTRICTS SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1320

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PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

ALL DISTRICTS SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1320

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PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

ALL DISTRICTS SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1320

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PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

ALL DISTRICTS SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1320

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PROPOSED RESOLUTION

Resolution W-5241
WD

June 2, 2022

ALL DISTRICTS SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1320

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