

**PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

**Consumer Protection and Enforcement Division  
Transportation Licensing and Analysis Branch**

**RESOLUTION TL-19147  
March 21, 2024**

**DRAFT RESOLUTION**

**RESOLUTION AMENDING COMMISSION GENERAL ORDERS 101-E AND 115-G, INSURANCE CERTIFICATE FORM PL-914, AND INSURANCE ENDORSEMENT FORM PL-912 INCORPORATING INSURANCE REQUIREMENTS FOR CONTRACT CREW TRANSPORTATION VEHICLES PURSUANT TO SENATE BILL 757 AND CLARIFYING CALCULATION OF SEATING CAPACITY AND APPROVAL TO SELF-INSURE**

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**SUMMARY**

This Resolution amends Commission General Orders 101-E and 115-G, Insurance Certificate Form PL-914, and Insurance Endorsement Form PL-912 to adopt the new insurance requirements for [Railroad] Contract Crew Transportation Vehicles established by Senate Bill 757 (Archuleta: 2023). This Resolution also clarifies the calculation of vehicle seating capacity for purposes of required minimum amounts of liability insurance coverage and replaces references to the defunct “Interstate Commerce Commission” with the “Federal Motor Carrier Safety Administration” as the agency that grants carriers the approval to self-insure.

**BACKGROUND**

State law establishes the insurance requirements for Passenger Stage Corporations (PSCs) and Transportation Charter-Party Carriers (TCPs) in California Public Utilities (Pub. Util.) Code §§ 1040 and 5391,<sup>1</sup> respectively, and for Transportation Network Companies (TNCs) in Pub. Util. Code § 5433.<sup>2</sup> The Commission has adopted these statutory requirements into Commission General Order (GO) Series 101 for PSCs and Series 115 for TCPs and TNCs.

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<sup>1</sup> Pub. Util. Code § 5391 sets the same insurance requirements for TCP to those found in Pub. Util. Code § 1040.

<sup>2</sup> TCP-C Certificate holders have their insurance levels set by Pub. Util. Code § 5391.2 and Transportation Network Companies (TNCs) in Pub. Util. Code § 5433. Neither will be affected by this Resolution.

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Commission Resolution TL-19105<sup>3</sup> requires that insurance information be filed electronically.<sup>4</sup> Pursuant to the GO Series 101 and 115 and Resolution TL-19105, the insurance carriers of applicants and carriers must certify to the terms of the “MOTOR CARRIER AUTOMOBILE BODILY INJURY LIABILITY AND PROPERTY DAMAGE LIABILITY CERTIFICATE OF INSURANCE” (Form PL-914) when filing the insurance policy information with the Commission.<sup>5</sup> By certifying to the terms found in Insurance Certificate Form PL-914, the insurance company stipulates that:

1. the Motor Carrier Automobile Bodily Injury Liability and Property Damage (PL&PD) insurance policy is amended by the attachment of Insurance Endorsement Form PL-912;
2. by attachment, the insurance policy provides liability protection as required by Pub. Util. Code §§ 1040, 5391 or 5391.2, or 5433 where applicable; by General Order 101 Series and/or General Order 115 Series, and by the pertinent rules, orders, and regulations of the Commission;
3. the protection of the insurance policy extends to the operation, maintenance, or use of any vehicle requiring a Commission certificate of permit although such vehicle may not be specifically described in the policy;
4. upon request the insurance company will provide the Commission a copy of the insurance policy and all endorsements; and
5. the insurance policy cannot be cancelled without thirty (30) days prior notice to the Commission.

Furthermore, when filing an insurance policy electronically, the insurance company certifies that the Standard Form of Endorsement Prescribed by the Public Utilities Commission of the State of California (Form PL-912) is attached to the policy which includes, in part, the following terms and conditions:

1. That the policy to which the PL-912 is attached is amended to ensure compliance of the insured as to their operations as a PSC or TCP to provide the liability protection as required by Pub. Util. Code §§ 1040, 5391 or 5391.2, or 5433 where applicable, by

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<sup>3</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M030/K511/30511292.PDF>

<sup>4</sup> Insurance Forms PL-912 and PL-914 are proposed to be updated to remove references to mailing written notices to the Commission in light of the Commission’s use of an electronic insurance filing system.

<sup>5</sup> <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M030/K511/30511292.PDF>

General Order Series 101 and/or General Order Series 115, and by the pertinent rules, orders, and regulations of the Commission;

2. That in consideration of the policy's premium, the insurance company agrees to pay any final judgment against the insured for bodily injury to or death of any person, or loss of or damage to property to others, with specific exclusions;
3. That there are no conditions or limitations in the policy to this endorsement;
4. That the limitations in coverage apply separately to each accident, and that any payment for any one accident will not reduce the liability of the insurance company for any other accident; and
5. That a schedule of insurance limits based upon the seating capacity of each motor vehicle is included.

### **SB 757 (ARCHULETA: 2023)**

On October 7, 2023, Governor Newsom approved Senate Bill (SB) 757 (Archuleta), which adds Section 5384.3 to the Public Utilities Code. The new Pub. Util. Code § 5384.3 establishes insurance requirements for [Railroad] "Crew Transportation Operators"<sup>6</sup> that operate "Contract Crew Transportation Vehicles,"<sup>7</sup> defined in Pub. Util. Code § 5384.3(a)(2) and § 5384.3(a)(1), respectively. Pub. Util. Code § 5384.3(c) requires that the [Railroad] Crew Transportation Operators furnish evidence of insurance to the Commission that there is in force: (1) at least \$5,000,000 combined single limit bodily injury and property damage insurance and (2) at least \$1,000,000 uninsured and underinsured motorist coverage.

To fully protect the public, Pub. Util. Code § 5384.3, along with its insurance requirements, should be incorporated by the Commission into Forms PL-914, PL-912, and Commission General Order Series 101 and 115.

### **VEHICLE SEATING CAPACITY**

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<sup>6</sup> "Crew transportation operator" means the person or entity that operates a contract crew transportation vehicle pursuant to a contract with a railroad corporation or its agents, contractors, subcontractors, vendors, subvendors, secondary vendors, or subcarriers."

<sup>7</sup> "Contract crew transportation vehicle" means a motor vehicle designed to transport 15 or fewer people, including the driver, that is owned, leased, operated, or maintained by a person contracting with a railroad corporation or its agents, contractors, subcontractors, vendors, subvendors, secondary vendors, or subcarriers, and used primarily to provide railroad crew transportation."

The amount of liability coverage required by the Commission is determined by vehicle seating capacity. The exceptions to this are transportation network companies, carriers that operate under TCP “C” Certificates, and the newly created Crew Transportation Operators.

Currently, in the calculation of vehicle seating capacity, GO 101-E and GO 115-G refer to the number of “passengers” in the vehicle without accounting for the “driver” of the vehicle. Commission staff have received occasional inquiries from carriers, insurance companies, and insurance brokers as to whether the driver of the vehicle counts as a passenger. The description of vehicle seating capacities is inconsistent between the Public Utilities Code, Code of Federal Regulations (CFR), Commission General Orders, and the Insurance Endorsement Form PL-912. This Resolution proposes to create consistency in these descriptions through the applicable Commission GOs and supporting Insurance Endorsement and the Public Utilities Code.

Insurance requirements for PSCs and TCPs are found in Pub. Util. Code § 1040,<sup>8</sup> which requires that the insurance levels not be set at an amount less than the amount set by the Federal Bus Regulatory Reform Act of 1982 (P.L. 97-261), as amended, and not more than \$750,000 for “...vehicles designed to carry not more than eight *persons*, including the driver...”<sup>9</sup> (emphasis added)

49 CFR § 387.33T<sup>10</sup> sets the minimum insurance requirements as:

Vehicle seating capacity	Minimum limits
(a) Any vehicle with a seating capacity of 16 passengers or more, including the driver	\$5,000,000
(b) Any vehicle with a seating capacity of 15 passengers or less, including the driver	\$1,500,000

In one of its definitions of “commercial motor vehicle,” 49 CFR § 387.33T describes it as “designed or used to transport more than 8 passengers (including the driver) for compensation...” However, as previously stated, Pub. Util. Code § 1040 clarifies the \$750,000 insurance

<sup>8</sup> The insurance requirement for TCPs is found in Pub. Util. Code § 5391, which sets insurance at the levels found in Pub. Util. Code § 1040, except for TCP-C certificates, which is Pub. Util. Code § 5391.2 and is a single amount not determined by the seating capacity of the vehicle. TNC insurance levels are found in Pub. Util. Code § 5433 and is also not determined by seating capacity.

<sup>9</sup> [https://leginfo.legislature.ca.gov/faces/codes\\_displaySection.xhtml?lawCode=PUC&sectionNum=1040](https://leginfo.legislature.ca.gov/faces/codes_displaySection.xhtml?lawCode=PUC&sectionNum=1040)

<sup>10</sup> <https://www.ecfr.gov/current/title-49/subtitle-B/chapter-III/subchapter-B/part-387/subpart-B/section-387.33T>

requirement for vehicles that seat up to eight persons and explicitly includes the driver in the calculation of vehicle seating capacity.

While the CFR and Pub. Util. Code specifically mention the driver (e.g., “Any vehicle with a seating capacity of 16 passengers or more, including the driver” requires \$5,000,000 of insurance coverage), GOs 101 and 115 and the Insurance Endorsement Form PL-912 do not contain any reference to including the driver (e.g., “Any vehicle with a seating capacity of 16 passengers or more” requires \$5,000,000 of insurance coverage). GO series 101 and 115 and Insurance Forms PL-912 and PL-914 do not use consistent terminology when referring to vehicle seating capacity and insurance requirements. They state that “[a]ny vehicle with a seating capacity of 7 passengers or less” requires \$750,000 of coverage. However, Pub. Util. Code § 1040 specifically states that that level is for vehicle with a seating capacity of eight passengers, including the driver.

To be consistent and clear, GOs 101 and 115 and Insurance Endorsement Form PL-912 should be updated to match the descriptions in the CFR and Pub. Util. Code by specifying that the driver is included in the calculation of vehicle seating capacity.

### **SELF-INSURANCE**

GOs 101-E and 115-G require that carriers that opt to be self-insured be qualified as a self-insurer with the Interstate Commerce Commission (ICC). Both GOs state:

*(6) Any charter-party carrier of passengers engaged in interstate or intrastate operations within the State of California, which is and becomes qualified as a self-insurer with the **Interstate Commerce Commission** promulgated thereunder, shall be exempt, so long as such qualification remains effective, from the foregoing provisions of this General Order. Proof of the existence and continuation of such exempt status shall be filed with the Commission annually. Such proof of such qualification shall be filed in such form and at such times as the Commission may require.*

*(7) Each charter-party carrier of passengers desiring to become qualified as a self-insurer, or to have orders or certificates issued by the **Interstate Commerce Commission** authorizing self-insurance accepted by this Commission, or to have other securities or agreements of indemnity accepted in lieu of the bond or insurance hereinabove provided, shall make application therefore to this Commission stating all of the facts which shall be required by the Commission with respect thereto. If such application is approved, such charter-party carrier of passengers, while such order of approval shall remain*

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*effective, shall be exempt from furnishing a certificate of insurance or bond hereinabove provided.*

The ICC was abolished with the ICC Termination Act of 1995 (Public Law No. 104-88, 109 Stat. 803).<sup>11</sup> The Motor Carrier Safety Improvement Act of 1999 created the “Federal Motor Carrier Safety Administration” (FMCSA).<sup>12</sup> The FMCSA has taken over granting carriers that authority to self-insure.<sup>13</sup> GOs 101 and 115 should be updated to reflect the FMCSA’s role in qualifying carriers to self-insure.

### **COMMENTS**

Public Utilities Code § 311(g)(1) provides that this Resolution must be served on all parties and subject to at least 30 days public review. Any comments are due within 20 days of the date of its mailing and publication on the Commission’s website and in accordance with any instructions accompanying the notice. Section 311(g)(2) provides that this 30-day review period and 20-day comment period may be reduced or waived upon the stipulation of all parties in the proceeding.

The 30-day review and 20-day comment period for the draft of this Resolution is neither waived nor reduced. Accordingly, this draft Resolution was emailed to all licensed PSCs and TCPs along with all insurance companies with active accounts in the Commission’s Transportation Carrier Portal for comments and will be placed on the Commission's agenda no earlier than 30 days from today.

### **FINDINGS OF FACT**

1. Insurance requirements for PSCs are set forth in Pub. Util. Code § 1040.
2. Insurance requirements for TCPs are set forth in Pub. Util. Code §§ 1040 and 5391.
3. The insurance filing requirements for PSCs and TCPs are set forth in Commission General Order Series 101 and 115.
4. Commission Resolution TL-19105 requires an insurance company to electronically file an insurance certificate for the policies they insure.
5. When an insurance company files the required insurance policy electronically, they must also file a “MOTOR CARRIER AUTOMOBILE BODILY INJURY LIABILITY AND PROPERTY DAMAGE LIABILITY CERTIFICATE OF INSURANCE” (Form PL-914), which states in part that the insurance company of the passenger carrier certifies that the insurance policy has been amended by the attachment of “STANDARD

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<sup>11</sup> <https://www.govinfo.gov/content/pkg/PLAW-104publ88/html/PLAW-104publ88.htm>

<sup>12</sup> <https://www.congress.gov/106/plaws/publ159/PLAW-106publ159.pdf>

<sup>13</sup> <https://www.govinfo.gov/content/pkg/USCODE-2008-title49/pdf/USCODE-2008-title49-subtitleIV-partB-chap139-sec13906.pdf>

FORM OF ENDORSEMENT PRESCRIBED BY THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA" (Form PL-912).

6. Form PL-912 ensures compliance of the PSC and/or TCP carrier with Pub. Util. Code §§ 1040 and 5391, where applicable, and GO 101 Series and/or GO 115 Series, and the pertinent rules, orders, and regulations of the California Public Utilities Commission.
7. SB 757 set new insurance requirements for [Railroad] Crew Transportation Operators that operate Contract Crew Transportation Vehicles.
8. Commission staff have received inquiries regarding if a driver is counted as a passenger when determining the minimum required level of insurance.
9. Passenger carriers can satisfy insurance requirements under GOs Series 101 and 115 by showing that they have been qualified as self-insurers by the Federal Government.
10. The Interstate Commerce Commission (ICC) was abolished with the ICC Termination Act of 1995 (Public Law 104-88).
11. The Federal Motor Carrier Safety Administration now qualifies carriers to self-insure pursuant to CFR Title 49 § 13906.
12. GOs Series 101 and 115 and Forms PL-912 and PL-914 should be updated to include insurance requirements of SB 757, clarify vehicle seating capacity requirements, and identify the FMCSA as the current agency that qualifies passenger carriers to self-insure.

**THEREFORE, IT IS ORDERED THAT:**

1. Amended Commission General Orders 101-E and 115-G, and Commission Insurance Forms PL-912 and PL-914, as found in Appendices 1 through 4 of this Resolution, shall be adopted.
2. The Executive Director shall cause a copy of this Resolution to be served on all licensed Passenger Stage Corporations and Charter-Party Carriers and insurance companies with active accounts in the Commission's Transportation Carrier Portal.
3. This Resolution is effective today.

I hereby certify that this Resolution was adopted by the California Public Utilities Commission at its regular meeting on \_\_\_\_\_. The following Commissioners approved it:

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Rachel Peterson  
Executive Director

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## **Appendix 1**



GENERAL ORDER 101-E

(Supersedes General Order 101-D)

**PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

**RULES REQUIRING ALL PASSENGER STAGE CORPORATIONS SUBJECT TO THE PUBLIC UTILITIES CODE TO PROVIDE AND THEREAFTER CONTINUE IN EFFECT ADEQUATE PROTECTION AGAINST LIABILITY IMPOSED BY LAW UPON SUCH CARRIERS FOR THE PAYMENT OF DAMAGES FOR PERSONAL BODILY INJURIES (INCLUDING DEATH RESULTING THEREFROM) AND DAMAGE TO OR DESTRUCTION OF PROPERTY.**

Adopted November 6, 1985. Effective November 19, 1985. (Resolution PE-2149)

Amended \_\_\_\_\_, 2024 (Resolution TL-19147)

- (1) Each passenger stage corporation, as defined in the Public Utilities Code, shall provide and thereafter continue in effect, so long as it may engage in conducting such operations, adequate protection against liability imposed by law upon such carriers for the payment of damages for personal bodily injuries (including death resulting therefrom) and for damage to or destruction of property, other than property being transported by such carrier for any shipper or consignee, whether the property of one or more than one claimant, in amounts not less than the amounts set forth in the following schedule:

<i>Vehicle Seating Capacity (including the driver)</i>	<i>Amount of Coverage</i>
Any vehicle with a seating capacity of 16 persons or more	\$5,000,000
Any vehicle with a seating capacity of 9 through 15 persons	\$1,500,000
Any vehicle with a seating capacity of 8 persons or less	\$750,000

- (2) The protection herein required shall be provided in one of the following ways:
- (a) By a certificate or certificates of public liability insurance and property damage insurance in a form approved by the Commission, issued by a company, or companies, licensed to write such insurance in the State of California, or by nonadmitted insurers subject to Section 1763 of the Insurance Code.
  - (b) By an original bond, or bonds, issued by a surety company, or companies, permitted to write surety bonds in the State of California.
  - (c) By a certificate of insurance issued on behalf of Lloyd's of London by a special lines' surplus line broker licensed as such in this State.
  - (d) By any other plan of protection for the public approved as hereinafter required.
  - (e) By a plan of self-insurance approved as hereinafter required.
  - (f) By a combination of two or more of the foregoing methods.
- (3) The certificate of insurance or bond evidencing such protection hereinabove required shall not be cancelable on less than thirty days' notice to the Public Utilities Commission, such notice to commence to run from the date the notice is actually received by the Commission.

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- (4) The Commission will give consideration to and may approve the application of a passenger stage corporation to qualify as a self-insurer provided such passenger stage corporation files an application with the Commission supported by a true and accurate statement of its financial condition and other evidence which will establish to the satisfaction of the Commission the ability of such passenger stage corporation to satisfy its obligations for public liability and property damage within the limits hereinabove prescribed, without affecting the stability and permanency of the business of such passenger stage corporation.
- (5) The Commission will also consider applications for approval of other securities or agreements of indemnity and may approve any such applications if satisfied that the security or agreement of indemnity offered will afford the security for the protection of the public hereinabove contemplated.
- (6) Any passenger stage corporation engaged in interstate or intrastate operations within the State of California, which is and becomes qualified as a self-insurer with the Federal Motor Carrier Safety Administration in accordance with the laws of the United States applicable to self-insurance by motor carriers and the rules of the Federal Motor Carrier Safety Administration promulgated thereunder, shall be exempt, so long as such qualification remains effective, from the foregoing provisions of this General Order. Proof of the existence and continuation of such exempt status shall be filed with the Commission annually. Such proof shall be made by affidavit of the carrier. Additional affidavits or other proof of such qualification shall be filed in such form and at such times as the Commission may require.
- (7) Each passenger stage corporation desiring to become qualified as a self-insurer, or to have orders or certificates issued by the Federal Motor Carrier Safety Administration authorizing self-insurance accepted by this Commission, or to have other securities or agreements of indemnity accepted in lieu of the bond or insurance hereinabove provided, shall make application therefore to this Commission stating all of the facts which shall be required by the Commission with respect thereto. If such application is approved, the passenger stage corporation, while such order of approval remains effective, shall be exempt from furnishing a certificate of insurance or bond hereinabove provided.
- (8) Every insurance certificate, surety bond, or equivalent protection to the public shall contain a provision that such certificate, surety bond, or equivalent protection shall remain in full force and effect until cancelled in the manner provided by Section (3) of this General Order.
- (9) The cancellation or suspension of a policy of insurance or surety bond or the cancellation, suspension, or surrender of a certificate of self-insurance issued by this Commission or the Federal Motor Carrier Safety Administration, or the impairment or destruction of any security, or the cancellation or termination or any agreement of indemnity, or the alteration (without first obtaining Commission authorization) of any agreement of indemnity, shall constitute good cause for suspension or revocation of the operating authority of the affected passenger stage corporation. No operation shall be conducted on any highway of the State of California unless a certificate of insurance, certificate of self-insurance coverage, bond, or the other securities or

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agreements of indemnity hereinabove specified, shall be in effect and on file with the Commission.

Approved and dated at San Francisco, California, this \_\_\_ day of \_\_\_\_\_, 2024.

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

By \_\_\_\_\_

Executive Director

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**Appendix 1A**  
**Redline Version**

GENERAL ORDER 101-E

(Supersedes General Order 101-D)

**PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

**RULES REQUIRING ALL PASSENGER STAGE CORPORATIONS SUBJECT TO THE PUBLIC UTILITIES CODE TO PROVIDE AND THEREAFTER CONTINUE IN EFFECT ADEQUATE PROTECTION AGAINST LIABILITY IMPOSED BY LAW UPON SUCH CARRIERS FOR THE PAYMENT OF DAMAGES FOR PERSONAL BODILY INJURIES (INCLUDING DEATH RESULTING THEREFROM) AND DAMAGE TO OR DESTRUCTION OF PROPERTY.**

Adopted November 6, 1985. Effective November 19, 1985. (Resolution PE-2149)

Amended \_\_\_\_\_, 2024 (Resolution TL-19147)

(1) Each passenger stage corporation, as defined in the Public Utilities Code, shall provide and thereafter continue in effect, so long as it may engage in conducting such operations, adequate protection against liability imposed by law upon such carriers for the payment of damages for personal bodily injuries (including death resulting therefrom) and for damage to or destruction of property, other than property being transported by such carrier for any shipper or consignee, whether the property of one or more than one claimant, in amounts not less than the amounts set forth in the following schedule:

<i>Vehicle Seating Capacity (including the driver)</i>	<i>Amount of Coverage</i>
Any vehicle with a seating capacity of 16 <del>passengers</del> <u>persons</u> or more	\$5,000,000
Any vehicle with a seating capacity of 9 through 15 <del>passengers</del> <u>persons, inclusive</u>	\$1,500,000
Any vehicle with a seating capacity of 8 <del>passengers</del> <u>persons</u> or less	\$750,000

(2) The protection herein required shall be provided in one of the following ways:

- (a) By a certificate or certificates of public liability insurance and property damage insurance in a form approved by the Commission, issued by a company, or companies, licensed to write such insurance in the State of California, or by nonadmitted insurers subject to Section 1763 of the Insurance Code.
- (b) By an original bond, or bonds, issued by a surety company, or companies, permitted to write surety bonds in the State of California.
- (c) By a certificate of insurance issued on behalf of Lloyd's of London by a special lines' surplus line broker licensed as such in this State.
- (d) By any other plan of protection for the public approved as hereinafter required.
- (e) By a plan of self-insurance approved as hereinafter required.
- (f) By a combination of two or more of the foregoing methods.

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(3) The certificate of insurance or bond evidencing such protection hereinabove required shall not be cancelable on less than thirty days' ~~written~~ notice to the Public Utilities Commission, such notice to commence to run from the date the notice is actually received ~~at the office of~~ by the Commission.

(4) The Commission will give consideration to and may approve the application of a passenger stage corporation to qualify as a self-insurer provided such passenger stage corporation files an application with the Commission supported by a true and accurate statement of its financial condition and other evidence which will establish to the satisfaction of the Commission the ability of such passenger stage corporation to satisfy its obligations for public liability and property damage within the limits hereinabove prescribed, without affecting the stability and permanency of the business of such passenger stage corporation.

(5) The Commission will also consider applications for approval of other securities or agreements of indemnity and may approve any such applications if satisfied that the security or agreement of indemnity offered will afford the security for the protection of the public hereinabove contemplated.

(6) Any passenger stage corporation engaged in interstate or ~~intra-state~~ intrastate operations within the State of California, which is and becomes qualified as a self-insurer with the ~~Interstate Commerce Commission~~ Federal Motor Carrier Safety Administration in accordance with the laws of the United States applicable to self-insurance by motor carriers and the rules of the ~~Interstate Commerce Commission~~ Federal Motor Carrier Safety Administration promulgated thereunder, shall be exempt, so long as such qualification remains effective, from the foregoing provisions of this General Order. Proof of the existence and continuation of such exempt status shall be filed with the Commission annually. Such proof shall be made by affidavit of the carrier. Additional affidavits or other proof of such qualification shall be filed in such form and at such times as the Commission may require.

(7) Each passenger stage corporation desiring to become qualified as a self-insurer, or to have orders or certificates issued by the ~~Inter-state-Commerce-Commission~~ Federal Motor Carrier Safety Administration authorizing self-insurance accepted by this Commission, or to have other securities or agreements of indemnity accepted in lieu of the bond or insurance hereinabove provided, shall make application therefore to this Commission stating all of the facts which shall be required by the Commission with respect thereto. If such application is approved, the passenger stage corporation, while such order of approval remains effective, shall be exempt from furnishing a certificate of insurance or bond hereinabove provided.

(8) Every insurance certificate, surety bond, or equivalent protection to the public shall contain a provision that such certificate, surety bond, or equivalent protection shall remain in full force and effect until cancelled in the manner provided by Section (3) of this General Order.

(9) The cancellation or suspension of a policy of insurance or surety bond or the cancellation, suspension, or surrender of a certificate of self-insurance issued by this Commission or the ~~Interstate-Commerce-Commission~~ Federal Motor Carrier Safety Administration, or the

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impairment or destruction of any security, or the cancellation or termination or any agreement of indemnity, or the alteration (without first obtaining Commission authorization) of any agreement of indemnity, shall constitute good cause for suspension or revocation of the operating authority of the affected passenger stage corporation. No operation shall be conducted on any highway of the State of California unless a certificate of insurance, certificate of self-insurance coverage, bond, or the other securities or agreements of indemnity hereinabove specified, shall be in effect and on file with the Commission.

Approved and dated at San Francisco, California, this ~~6th~~ day of ~~November~~, ~~1985~~2024.

PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

By ~~JOSEPH BODOVITZ~~ \_\_\_\_\_

Executive Director

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## **Appendix 2**



**PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

**RULES REQUIRING ALL CHARTER-PARTY CARRIERS OF PASSENGERS SUBJECT TO THE PUBLIC UTILITIES CODE TO PROVIDE AND THEREAFTER CONTINUE IN EFFECT ADEQUATE PROTECTION AGAINST LIABILITY IMPOSED BY LAW UPON SUCH CARRIERS FOR THE PAYMENT OF DAMAGES FOR PERSONAL BODILY INJURIES (INCLUDING DEATH RESULTING THEREFROM) AND DAMAGE TO OR DESTRUCTION OF PROPERTY.**

Adopted August 18, 2016

Effective August 18, 2016 (Resolution TL-19122)

Amended \_\_\_\_\_, 2024 (Resolution TL-19147)

- (1) Each charter-party carrier of passengers, as defined in the Public Utilities Code, shall electronically file its insurance policies under the insurance electronic filing requirements established for passenger and household carriers, to provide and thereafter continue in effect, so long as it may engage in conducting such operations, adequate protection against liability imposed by law upon such carriers for the payment of damages for personal bodily injuries (including death resulting therefrom) and for damage to or destruction of property, other than property being transported by such carrier for any shipper or consignee, whether the property of one or more than one claimant, in amounts not less than the amounts set forth in the following schedule:

<i>Vehicle Seating Capacity (including the driver)</i>	<b>Amount of Coverage</b>
Any vehicle with a seating capacity of 16 persons or more	\$5,000,000
Any vehicle with a seating capacity of 9 through 15 persons	\$1,500,000
Any vehicle with a seating capacity of 8 persons or less	\$750,000

*EXCEPT:*

Any vehicle operated under a Class C Certificate as defined by P.U. Code Section 5383	<b>Amount of Coverage</b> \$750,000
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*AND:*

Any vehicle operated under a Class P Certificate specific to transportation network companies, as defined by P.U. Code Section 5431(a)	<b>Amount of Coverage</b> \$750,000
Any vehicle operating under P.U. Code Section 5433(b)(1)	\$1,000,000 for bodily injury and property damage
Any vehicle operating under P.U. Code Section 5433(b)(2)	\$1,000,000 for uninsured and underinsured motorist coverage

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Any vehicle operating under P.U. Code Section 5433(c)	\$50,000 for bodily injury (per person)  and \$100,000 for bodily injury (per incident);  and \$30,000 for property damage; and \$200,000 excess coverage
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*AND:*

Any contract crew transportation vehicle as defined by P.U. Code Section 5384.3	Amount of Coverage \$5,000,000 for bodily injury and property damage
	\$1,000,000 for uninsured and underinsured motorist coverage

- (2) The protection herein required shall be provided in one of the following ways:
- (a) By a certificate or certificates of public liability insurance and property damage insurance in a form approved by the Commission, issued by a company, or companies, licensed to write such insurance in the State of California, or by non-admitted insurers subject to Section 1763 of the Insurance Code.
  - (b) By an original bond, or bonds, issued by a surety company, or companies, permitted to write surety bonds in the State of California.
  - (c) By a certificate of insurance issued by a special lines' surplus line broker licensed as such in this State.
  - (d) By any other plan of protection for the public approved as hereinafter required.
  - (e) By a plan of self-insurance approved as hereinafter required.
  - (f) By a combination of two or more of the foregoing methods.
- (3) The certificate of insurance or bond evidencing such protection hereinabove required shall not be cancelable on less than thirty days' notice to the Public Utilities Commission, such notice to commence to run from the date the notice is actually received by the Commission.
- (4) The Commission will give consideration to and may approve the application of a charter-party carrier of passengers to qualify as a self-insurer provided such charter-party carrier of passengers files an application with the Commission supported by a true and accurate statement of its financial condition and other evidence which will establish to the satisfaction of the Commission the ability of such charter-party carrier of passengers to satisfy its obligations for public liability and property damage within the limits hereinabove prescribed, without affecting the stability and permanency of the business of such charter-party carrier of passengers.

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- (5) The Commission will also consider applications for approval of other securities or agreements of indemnity and may approve any such applications if satisfied that the security or agreement of indemnity offered will afford the security for the protection of the public hereinabove contemplated.
- (6) Any charter-party carrier of passengers engaged in interstate or intrastate operations within the State of California, which is and becomes qualified as a self-insurer with the Federal Motor Carrier Safety Administration promulgated thereunder, shall be exempt, so long as such qualification remains effective, from the foregoing provisions of this General Order. Proof of the existence and continuation of such exempt status shall be filed with the Commission annually. Such proof of such qualification shall be filed in such form and at such times as the Commission may require.
- (7) Each charter-party carrier of passengers desiring to become qualified as a self-insurer, or to have orders or certificates issued by the Federal Motor Carrier Safety Administration authorizing self-insurance accepted by this Commission, or to have other securities or agreements of indemnity accepted in lieu of the bond or insurance hereinabove provided, shall make application therefore to this Commission stating all of the facts which shall be required by the Commission with respect thereto. If such application is approved, such charter-party carrier of passengers, while such order of approval shall remain effective, shall be exempt from furnishing a certificate of insurance or bond hereinabove provided.
- (8) Every insurance certificate, surety bond, or equivalent protection to the public shall contain a provision that such certificate, surety bond, or equivalent protection shall remain in full force and effect until cancelled in the manner provided by Section (3) of this General Order.
- (9) The cancellation or suspension of a policy of insurance or surety bond or the cancellation, suspension, or surrender of a certificate of self-insurance issued by this Commission or the Federal Motor Carrier Safety Administration, or the impairment or destruction of any security, or the cancellation or termination of any agreement or indemnity, or the alteration (without first obtaining Commission authorization) of any agreement of indemnity, shall constitute good cause for suspension or revocation of the operating authority of the affected charter-party carrier of passengers. No operation shall be conducted on any highway of the State of California unless a certificate of insurance, certificate of self-insurance coverage, bond, or the other securities or agreements of indemnity hereinabove specified, shall be in effect and on file with the Commission.

Approved and dated at San Francisco, California, this \_\_\_ day of \_\_\_\_\_, 2024.

PUBLIC UTILITIES COMMISSION  
OF THE STATE OF CALIFORNIA

By: \_\_\_\_\_  
*Executive Director*

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**Appendix 2A**  
**Redline Version**

GENERAL ORDER NO. 115-G  
(Supersedes General Order No. 115-F)

**PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

**RULES REQUIRING ALL CHARTER-PARTY CARRIERS OF PASSENGERS SUBJECT TO THE PUBLIC UTILITIES CODE TO PROVIDE AND THEREAFTER CONTINUE IN EFFECT ADEQUATE PROTECTION AGAINST LIABILITY IMPOSED BY LAW UPON SUCH CARRIERS FOR THE PAYMENT OF DAMAGES FOR PERSONAL BODILY INJURIES (INCLUDING DEATH RESULTING THEREFROM) AND DAMAGE TO OR DESTRUCTION OF PROPERTY.**

Adopted August 18, 2016  
Effective August 18, 2016 (Resolution TL-19122)  
Amended \_\_\_\_\_, 2024 (Resolution TL-19147)

(1) Each charter-party carrier of passengers, as defined in the Public Utilities Code, shall electronically file its insurance policies under the insurance electronic filing requirements established for passenger and household carriers, to provide and thereafter continue in effect, so long as it may engage in conducting such operations, adequate protection against liability imposed by law upon such carriers for the payment of damages for personal bodily injuries (including death resulting therefrom) and for damage to or destruction of property, other than property being transported by such carrier for any shipper or consignee, whether the property of one or more than one claimant, in amounts not less than the amounts set forth in the following schedule:

<i>Vehicle Seating Capacity <u>(including the driver)</u></i>	<b>Amount of Coverage</b>
Any vehicle with a seating capacity of 16 <del>passengers</del> <u>persons</u> or more	\$5,000,000
Any vehicle with a seating capacity of 9 through 15 <del>passengers</del> <u>persons</u> , <del>inclusive</del>	\$1,500,000
Any vehicle with a seating capacity of 8 <del>passengers</del> <u>persons</u> or less	\$750,000

*EXCEPT:*

Any vehicle operated under a Class C Certificate as defined by P.U. Code Section 5383	<b>Amount of Coverage</b> \$750,000
---	--

*AND:*

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Any vehicle operated under a Class P Certificate specific to transportation network companies, as defined by P.U. Code Section 5431(a)	<b>Amount of Coverage</b> \$750,000
Any vehicle operating under P.U. Code Section 5433(b)(1)	\$1,000,000 for bodily injury and property damage
Any vehicle operating under P.U. Code Section 5433(b)(2)	\$1,000,000 for uninsured and underinsured motorist coverage
Any vehicle operating under P.U. Code Section 5433(c)	\$50,000 for bodily injury (per person)  and \$100,000 for bodily injury (per incident);  and \$30,000 for property damage; and \$200,000 excess coverage

AND:

<u>Any contract crew transportation vehicle as defined by P.U. Code Section 5384.3</u>	<u>Amount of Coverage</u> <u>\$5,000,000 for bodily injury and property damage</u>
	<u>\$1,000,000 for uninsured and underinsured motorist coverage</u>

(2) The protection herein required shall be provided in one of the following ways:

- (a) By a certificate or certificates of public liability insurance and property damage insurance in a form approved by the Commission, issued by a company, or companies, licensed to write such insurance in the State of California, or by non-admitted insurers subject to Section 1763 of the Insurance Code.
- (b) By an original bond, or bonds, issued by a surety company, or companies, permitted to write surety bonds in the State of California.
- (c) By a certificate of insurance issued by a special lines' surplus line broker licensed as such in this State.
- (d) By any other plan of protection for the public approved as hereinafter required.
- (e) By a plan of self-insurance approved as hereinafter required.
- (f) By a combination of two or more of the foregoing methods.

(3) The certificate of insurance or bond evidencing such protection hereinabove required shall

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not be cancelable on less than thirty ~~written~~-days' notice to the Public Utilities Commission, such notice to commence to run from the date the notice is actually received ~~at the office of~~ by the Commission.

(4) The Commission will give consideration to and may approve the application of a charter-party carrier of passengers to qualify as a self-insurer provided such charter-party carrier of passengers files an application with the Commission supported by a true and accurate statement of its financial condition and other evidence which will establish to the satisfaction of the Commission the ability of such charter-party carrier of passengers to satisfy its obligations for public liability and property damage within the limits hereinabove prescribed, without affecting the stability and permanency of the business of such charter-party carrier of passengers.

(5) The Commission will also consider applications for approval of other securities or agreements of indemnity and may approve any such applications if satisfied that the security or agreement of indemnity offered will afford the security for the protection of the public hereinabove contemplated.

~~(6)~~ Any charter-party carrier of passengers engaged in interstate or intrastate operations within the State of California, which is and becomes qualified as a self-insurer with the ~~Interstate Commerce Commission~~Federal Motor Carrier Safety Administration promulgated thereunder, shall be exempt, so long as such qualification remains effective, from the foregoing provisions of this General Order. Proof of the existence and continuation of such exempt status shall be filed with the Commission annually. Such proof of such qualification shall be filed in such form and at such times as the Commission may require.

~~(7)~~ Each charter-party carrier of passengers desiring to become qualified as a self-insurer, or to have orders or certificates issued by the ~~Interstate Commerce Commission~~Federal Motor Carrier Safety Administration authorizing self-insurance accepted by this Commission, or to have other securities or agreements of indemnity accepted in lieu of the bond or insurance hereinabove provided, shall make application therefore to this Commission stating all of the facts which shall be required by the Commission with respect thereto. If such application is approved, such charter-party carrier of passengers, while such order of approval shall remain effective, shall be exempt from furnishing a certificate of insurance or bond hereinabove provided.

~~(6)~~~~(8)~~ Every insurance certificate, surety bond, or equivalent protection to the public shall contain a provision that such certificate, surety bond, or equivalent protection shall remain in full force and effect until cancelled in the manner provided by Section (3) of this General Order.

~~(7)~~~~(9)~~ The cancellation or suspension of a policy of insurance or surety bond or the cancellation, suspension, or surrender of a certificate of self-insurance issued by this Commission or ~~Interstate Commerce Commission~~the Federal Motor Carrier Safety

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~~Administration~~~~the Federal Motor Carrier Safety Administration~~, or the impairment or destruction of any security, or the cancellation or termination of any agreement or indemnity, or the alteration (without first obtaining Commission authorization) of any agreement of indemnity, shall constitute good cause for suspension or revocation of the operating authority of the affected charter-party carrier of passengers. No operation shall be conducted on any highway of the State of California unless a certificate of insurance, certificate of self-insurance coverage, bond, or the other securities or agreements of indemnity hereinabove specified, shall be in effect and on file with the Commission.

Approved and dated at San Francisco, California, this ~~18th~~ day of ~~August~~, ~~2016~~2024.

PUBLIC UTILITIES COMMISSION  
OF THE STATE OF CALIFORNIA

By: \_\_\_\_\_  
*Executive Director*

By ~~TIMOTHY J. SULLIVAN~~: \_\_\_\_\_  
*Executive Director*



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## **Appendix 3**

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**INSURANCE CERTIFICATE FORM PL-914 FOR INSURANCE FILED ON BEHALF OF PASSENGER STAGE CORPORATIONS, CHARTER-PARTY CARRIERS (INCLUDING TNCs):**

THIS IS TO CERTIFY that by agreeing to these terms that the policy of Automobile Bodily Injury Liability and Property Damage Liability Insurance herein described which, by the attachment of the **Standard Form of Endorsement Form PL-912 prescribed by the California Public Utilities Commission**, has been amended to provide the liability protection authorized or required for passenger stage corporations and/or charter-party carriers of passengers pursuant to California Public Utilities Code Section 1040, Section 5384.3, 5391 or 5391.2, or Section 5433 where applicable, by General Order No. 101 Series and/or General Order No. 115 Series, and by the pertinent rules, orders, and regulations of the California Public Utilities Commission with respect to the operation, maintenance, or use of any vehicle for which a certificate of public convenience and necessity or permit is required or has been issued by the California Public Utilities Commission, although such vehicle may not be specifically described in the policy.

Whenever requested by the California Public Utilities Commission, the Insurance Company agrees to furnish said Commission a duplicate original of said policy and all endorsements thereon.

This certification and the insurance evidenced hereby may not be cancelled, except by the expiration of the term for which it is written, until the Insurance Company has given thirty (30) days' notice to the insured and to the California Public Utilities Commission.

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**Appendix 3A**  
**Redline Version**

Resolution TL-19147

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**INSURANCE CERTIFICATE FORM PL-914 FOR INSURANCE FILED ON BEHALF OF PASSENGER STAGE CORPORATIONS, CHARTER-PARTY CARRIERS (INCLUDING TNCs):**

THIS IS TO CERTIFY that by agreeing to these terms that the policy of Automobile Bodily Injury Liability and Property Damage Liability Insurance herein described which, by the attachment of the Standard Form of Endorsement Form PL-912 prescribed by the California Public Utilities Commission, has been amended to provide the liability protection authorized or required for passenger stage corporations and/or charter-party carriers of passengers pursuant to California Public Utilities Code Section 1040, Section 5384.3, 5391 or 5391.2, or Section 5433 where applicable, by General Order No. 101 Series and/or General Order No. 115 Series, and by the pertinent rules, orders, and regulations of the California Public Utilities Commission with respect to the operation, maintenance, or use of any vehicle for which a certificate of public convenience and necessity or permit is required or has been issued by the California Public Utilities Commission, although such vehicle may not be specifically described in the policy.

Whenever requested by the California Public Utilities Commission, the Insurance Company agrees to furnish said Commission a duplicate original of said policy and all endorsements thereon.

This certification and the insurance evidenced hereby may not be cancelled, except by the expiration of the term for which it is written, until the ~~companies shall have~~Insurance Company has given thirty (30) days' notice ~~through this Transportation Carrier Portal~~to the insured and to the California Public Utilities Commission ~~at its office in San Francisco, California.~~

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## **Appendix 4**

**Standard Form of Endorsement Prescribed by the  
Public Utilities Commission of the State of California**

**TO BE ATTACHED TO AND MADE A PART OF ALL POLICIES  
INSURING MOTOR VEHICLES OPERATED BY "PASSENGER  
STAGE CORPORATIONS" (AS DEFINED IN SECTION 226 OF THE  
PUBLIC UTILITIES CODE) AND/OR "CHARTER PARTY  
CARRIERS OF PASSENGERS" (AS DEFINED IN SECTION 5360 OF  
THE PUBLIC UTILITIES CODE) AND/OR "TRANSPORTATION  
NETWORK COMPANIES" (AS DEFINED IN SECTION 5431 OF THE  
PUBLIC UTILITIES CODE) SUBJECT TO REGULATION BY THE  
PUBLIC UTILITIES COMMISSION OF THE STATE OF  
CALIFORNIA**

**(FORM PL 912)**

The policy to which this endorsement is attached is an Automobile Bodily Injury Liability and Property Damage Liability policy or other liability policy, as required by law, and is hereby amended to assure compliance by the insured, as a passenger stage corporation and/or as a charter party carrier of passengers and/or as a transportation network company, with California Public Utilities Code Section 1040, Sections 5384.3, 5391 or 5391.2, or Section 5433 where applicable, General Order No. 101 Series and/or General Order No. 115 Series, and the pertinent rules, orders, and regulations of the Public Utilities Commission of the State of California.

In consideration of the premium stated in the policy to which this endorsement is attached, the Company hereby agrees to pay, within the limits of liability hereinafter provided, any final judgment rendered against the insured for bodily injury to or death of any person, or loss of or damage to property of others (excluding injury to or death of the insured's employees/contractors while engaged in the course of their employment/service, and loss of or damage to property owned by, rented to, or transported as cargo by the insured), resulting from the operation, maintenance, or use of motor vehicles for which a certificate of public convenience and necessity or permit is required or has been issued to the insured by the Public Utilities Commission of the State of California, regardless of whether such motor vehicles are specifically described in the policy or not, and/or resulting from any other action by the insured and/or the insured's employees/contractors while engaged in the course of performing under the aforementioned certificate of public convenience and necessity or permit.

Within the limits of liability hereinafter provided it is further understood and agreed that no condition, provision, stipulation, or limitation contained in the policy, or any other endorsement thereon or violation thereof, or of this endorsement by the insured, shall relieve the Company from liability hereunder or from the payment of any such final judgment, irrespective of the financial responsibility or lack thereof or insolvency or bankruptcy of the insured. However, all terms, conditions, and limitations in the policy to which this endorsement is attached are to remain in full force and effect as binding between the insured and the Company, and the insured agrees to reimburse the Company for any payment made by the Company on account of any accident, claim, or suit involving a breach of the terms of the policy, and for any payment that the Company would not have been obligated to make

under the provisions of the policy except for the agreement contained in this endorsement.

It is understood and agreed that, upon failure of the Company to pay any final judgment rendered against the insured as provided herein the judgment creditor may maintain an action in any court of competent jurisdiction against the Company to compel such payment.

The liability of the Company for the amounts provided in this endorsement applies separately to each accident and any payment under the policy because any one accident shall not operate to reduce the liability of the Company for the payment of final judgments resulting from any other accident.

The liability of the company on each motor vehicle shall be as follows:

<b>Schedule of Limits: Bodily Injury Liability and Property Damage Liability</b>	
<b>Vehicle Seating Capacity (including the driver)</b>	<b>Amount of Coverage</b>
Any vehicle with a seating capacity of 16 persons or more.....	\$5,000,000
Any vehicle with a seating capacity of 8 persons through 15 persons, inclusive.....	\$1,500,000
Any vehicle with a seating capacity of 7 persons or less.....	\$750,000
EXCEPT:	
Any vehicle operated under a Class C Certificate as defined by P.U. Code Section 5383.....	\$750,000
AND:	
Any vehicle operated under a Class P permit specific to transportation network companies, as defined by P.U. Code Section 5431(a):	
Any vehicle operating under P.U. Code Section 5433(b)(1) for bodily injury and property damage.....	\$1,000,000
Any vehicle operating under P.U. Code Section 5433(b)(2) for uninsured and underinsured motorist coverage.....	\$1,000,000
Any vehicle operating under P.U. Code Section 5433(c)	
for bodily injury (per person) .....	\$50,000
for bodily injury (per incident) .....	\$100,000
for property damage.....	\$30,000
excess coverage.....	\$200,000
AND:	
Any contract crew transportation vehicle as defined by P.U. Code Section 5384.3 - for bodily injury and property damage.....	\$5,000,000
for uninsured and underinsured motorist coverage.....	\$1,000,000

The above limits of liability do not include cost of defense and/or any similar expenses that the policy may otherwise cover.

Nothing in this endorsement shall be construed to limit or restrict any coverage otherwise provided by the policy of which this endorsement is made a part.

Whenever required by the Commission, the Company agrees to furnish to the Commission a duplicate original of said policy and all endorsements thereon.

The Company further agrees that such insurance as is afforded by the policy and this endorsement against liability for injuries to or death of persons and damage to or destruction of property shall not be cancelled, rescinded, or suspended, nor shall the cancellation, rescission, or suspension of the policy or this endorsement take effect, nor shall the policy or this endorsement become void for any reason whatsoever until the company shall have first given thirty (30) days' notice to the Public Utilities Commission of the State of California said thirty (30) days' notice to commence to run from the date notice is actually received in the office of said Commission. This endorsement covers liability for all accidents that occur while this endorsement is still in effect, regardless of whether a claim is made before or after

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the effective date of any notice of cancellation, rescission, or suspension.

The Company further agrees that if the policy shall be cancelled or suspended or otherwise terminated, and shall thereafter be reinstated, notice in writing on an authorized form of such reinstatement shall immediately be given by the Company to said Commission.

This endorsement is a part of:

Policy No. \_\_\_\_\_ issued by \_\_\_\_\_

(herein called Company) of \_\_\_\_\_

to \_\_\_\_\_ (insured)

effective \_\_\_\_\_ 12:01 a.m. standard time at the address of the insured as stated in policy

Countersigned at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

By [Signature] \_\_\_\_\_ (Authorized Company Representative)

Name of \_\_\_\_\_ (PLEASE TYPE)

**(FOR EXCESS INSURANCE CARRIER USE ONLY)**

This is to certify that \_\_\_\_\_ (NAME OF EXCESS INSURANCE CARRIER)

has issued to \_\_\_\_\_ (NAME OF INSURED)

an excess automobile bodily injury liability and property damage liability insurance policy which provides the excess liability insurance coverage between the primary limits as set forth on the front of this document and the required minimum liability limits as set forth in the current General Orders No. 101-Series and/or No. 115-Series, in accordance with the terms of the Endorsement Form PL-912 of the Public Utilities Commission of the State of California.

Policy no. \_\_\_\_\_ Effective from \_\_\_\_\_ until cancelled (12:01 a.m., standard time at the address of the insured as stated in said policy)

Countersigned at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

Signature \_\_\_\_\_ (AUTHORIZED REPRESENTATIVE)

Surplus Line Broker and No. \_\_\_\_\_

Name of person signing \_\_\_\_\_ (PLEASE TYPE)



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**Appendix 4A**  
**Redline Version**

**Standard Form of Endorsement Prescribed by the  
Public Utilities Commission of the State of California**

**TO BE ATTACHED TO AND MADE A PART OF ALL POLICIES  
INSURING MOTOR VEHICLES OPERATED BY "PASSENGER  
STAGE CORPORATIONS" (AS DEFINED IN SECTION 226 OF THE  
PUBLIC UTILITIES CODE) AND/OR "CHARTER PARTY  
CARRIERS OF PASSENGERS" (AS DEFINED IN SECTION 5360 OF  
THE PUBLIC UTILITIES CODE) AND/OR "TRANSPORTATION  
NETWORK COMPANIES" (AS DEFINED IN SECTION 5431 OF THE  
PUBLIC UTILITIES CODE) SUBJECT TO REGULATION BY THE  
PUBLIC UTILITIES COMMISSION OF THE STATE OF  
CALIFORNIA**

**(FORM PL 912)**

The policy to which this endorsement is attached is an Automobile Bodily Injury Liability and Property Damage Liability policy or other liability policy, as required by law, and is hereby amended to assure compliance by the insured, as a passenger stage corporation and/or as a charter party carrier of passengers and/or as a transportation network company, with California Public Utilities Code Section 1040, ~~Section~~[Sections 5384.3](#), 5391 or 5391.2, or Section 5433 where applicable, General Order No. 101 Series and/or General Order No. 115 Series, and the pertinent rules, orders, and regulations of the Public Utilities Commission of the State of California.

In consideration of the premium stated in the policy to which this endorsement is attached, the Company hereby agrees to pay, within the limits of liability hereinafter provided, any final judgment rendered against the insured for bodily injury to or death of any person, or loss of or damage to property of others (excluding injury to or death of the insured's employees/contractors while engaged in the course of their employment/service, and loss of or damage to property owned by, rented to, or transported as cargo by the insured), resulting from the operation, maintenance, or use of motor vehicles for which a certificate of public convenience and necessity or permit is required or has been issued to the insured by the Public Utilities Commission of the State of California, regardless of whether such motor vehicles are specifically described in the policy or not, and/or resulting from any other action by the insured and/or the insured's employees/contractors while engaged in the course of performing under the aforementioned certificate of public convenience and necessity or permit.

Within the limits of liability hereinafter provided it is further understood and agreed that no condition, provision, stipulation, or limitation contained in the policy, or any other endorsement thereon or violation thereof, or of this endorsement by the insured, shall relieve the Company from liability hereunder or from the payment of any such final judgment, irrespective of the financial responsibility or lack thereof or insolvency or bankruptcy of the insured. However, all terms, conditions, and limitations in the policy to which this endorsement is attached are to remain in full force and effect as binding between the insured and the Company, and the insured agrees to reimburse the Company for any payment made by the Company on account of any accident, claim, or suit involving a breach of the terms of the policy, and for any payment that the Company would not have been obligated to make

under the provisions of the policy except for the agreement contained in this endorsement.

It is understood and agreed that, upon failure of the Company to pay any final judgment rendered against the insured as provided herein the judgment creditor may maintain an action in any court of competent jurisdiction against the Company to compel such payment.

The liability of the Company for the amounts provided in this endorsement applies separately to each accident and any payment under the policy because any one accident shall not operate to reduce the liability of the Company for the payment of final judgments resulting from any other accident.

The liability of the company on each motor vehicle shall be as follows:

<b>Schedule of Limits: Bodily Injury Liability and Property Damage Liability</b>	
Vehicle Seating Capacity <u>(including the driver)</u>	Amount of Coverage
Any vehicle with a seating capacity of 16 <del>passengers-persons</del> or more.....	\$5,000,000
Any vehicle with a seating capacity of 8 <del>passengers-persons</del> through <u>15 persons, inclusive.....</u>	<u>\$1,500,000</u>
	<del>\$1,500,000</del>
Any vehicle with a seating capacity of 7 <del>passengers-persons</del> or less.....	\$750,000
EXCEPT:	
Any vehicle operated under a Class C Certificate as defined by P.U. Code Section 5383.....	\$750,000
<u>AND:</u>	
Any vehicle operated under a Class P permit specific to transportation network companies, as defined by P.U. Code Section 5431(a):	
Any vehicle operating under P.U. Code Section 5433(b)(1) for bodily injury and property damage.....	\$1,000,000
Any vehicle operating under P.U. Code Section 5433(b)(2) for uninsured and underinsured motorist coverage.....	\$1,000,000
Any vehicle operating under P.U. Code Section 5433(c)	
for bodily injury (per person).....	\$50,000
for bodily injury (per incident) .....	\$100,000
for property damage.....	\$30,000
excess coverage.....	\$200,000
<u>AND:</u>	
<u>Any contract crew transportation vehicle as defined by P.U. Code Section 5384.3 - for bodily injury and property damage.....</u>	<u>\$5,000,000</u>
<u>for uninsured and underinsured motorist coverage.....</u>	<u>\$1,000,000</u>

The above limits of liability do not include cost of defense and/or any similar expenses that the policy may otherwise cover.

Nothing in this endorsement shall be construed to limit or restrict any coverage otherwise provided by the policy of which this endorsement is made a part.

Whenever required by the Commission, the Company agrees to furnish to the Commission a duplicate original of said policy and all endorsements thereon.

The Company further agrees that such insurance as is afforded by the policy and this endorsement against liability for injuries to or death of persons and damage to or destruction of property shall not be cancelled, rescinded, or suspended, nor shall the cancellation, rescission, or suspension of the policy or this endorsement take effect, nor shall the policy or this endorsement become void for any reason whatsoever until the company shall have first given thirty (30) days' notice ~~in writing on an authorized form~~ to the Public Utilities Commission of the State of ~~California at its office in San Francisco, California, said~~California

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said thirty (30) days' notice to commence to run from the date notice is actually received in the office of said Commission. This endorsement covers liability for all accidents that occur while this endorsement is still in effect, regardless of whether a claim is made before or after the effective date of any notice of cancellation, rescission, or suspension.

The Company further agrees that if the policy shall be cancelled or suspended or otherwise terminated, and shall thereafter be reinstated, notice in writing on an authorized form of such reinstatement shall immediately be given by the Company to said Commission-at its said office.

This endorsement is a part of:

Policy No. \_\_\_\_\_ issued by \_\_\_\_\_  
(herein called Company) of \_\_\_\_\_  
to \_\_\_\_\_ (insured)  
effective \_\_\_\_\_ 12:01 a.m. standard time at the address of the insured as stated in policy

Countersigned at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

By [Signature] \_\_\_\_\_  
(Authorized Company Representative)

Name of \_\_\_\_\_  
(PLEASE TYPE)

**(FOR EXCESS INSURANCE CARRIER USE ONLY)**

This is to certify that \_\_\_\_\_  
(NAME OF EXCESS INSURANCE CARRIER)

has issued to \_\_\_\_\_  
(NAME OF INSURED)

an excess automobile bodily injury liability and property damage liability insurance policy which provides the excess liability insurance coverage between the primary limits as set forth on the front of this document and the required minimum liability limits as set forth in the current General Orders No. 101-Series and/or No. 115-Series, in accordance with the terms of the Endorsement Form PL-912 of the Public Utilities Commission of the State of California.

Policy no. \_\_\_\_\_ Effective from \_\_\_\_\_ until cancelled  
(12:01 a.m., standard time at the address of the insured as stated in said policy)

Countersigned at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

Signature \_\_\_\_\_  
(AUTHORIZED REPRESENTATIVE)

Surplus Line  
Broker and No. \_\_\_\_\_

Name of person signing \_\_\_\_\_  
(PLEASE TYPE)