

**PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

Consumer Protection and Safety Division  
Rail Transit Safety Section

Resolution ST-140  
March 22, 2012

**PUBLIC**

**RESOLUTION**

RESOLUTION ST-140 GRANTING APPROVAL OF THE FINAL REPORT  
ON 2011 TRIENNIAL SECURITY REVIEW OF SACRAMENTO  
REGIONAL TRANSIT DISTRICT

**Summary**

This resolution approves the California Public Utilities Commission Consumer Protection and Safety Division final report titled, "2011 Triennial On-Site Security Review of Sacramento Regional Transit District" dated August 26, 2011. The report compiles the results of Commission Staff review of the Sacramento Regional Transit District security program. Background information and review procedures only are included in the redacted report.

**Background**

Commission General Order No. 164-D, "Rules and Regulations Governing State Safety Oversight of Rail Fixed Guideway Systems" requires Staff to conduct on-site security reviews of transit agencies operating rail fixed guideway systems at least once every three years.

From 1996 to 2008, the Commission's Rail Transit Safety Section (RTSS) partnered with the Transportation Security Administration (TSA) in performing rail transit security reviews. However, in the later half of 2008, RTSS took over the responsibility of security reviews from the TSA. Staff

conducted an on-site security review of the Sacramento Regional Transit District (SRTD) security program in May 2011.

RTSS had the responsibility of standardizing the format of the Rail Transit Agency's Triennial Security Reviews and looked towards the Federal Transit Administration (FTA) for guidance. The undertaking of the FTA was to define and guide Commission's RTSS as to what they considered Security Sensitive Information and what was allowed for the public to view. The RTSS management worked with Commission's legal department and the FTA over the next two years to finalize an acceptable standard.

A full description of the security review, including the scope, results, and recommendations, is contained in the final security review report identified in this resolution as Attachment A.

The security review results show that SRTD is in compliance with its Security and Emergency Preparedness Plan (SEPP). Staff did not find any deficiencies or areas of non-compliance in SRTD following the guidelines of its SEPP.

Staff sent SRTD a copy of the draft security review report for a 30-day comment period on August 26, 2011. The SRTD Chief Operating Officer accepted the report's recommendations in an email letter dated December 19, 2011.

### **Discussion**

The final report, "2011 Triennial On-Site Security Review of Sacramento Regional Transit District," dated August 26, 2011, included as Attachment A, identifies Staff findings and recommendations.

- Staff recommends the Commission approve the final security review report titled, "2011 Triennial On-Site Security Review of Sacramento Regional Transit District," dated August 26, 2011.

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**Notice**

On February 21, 2012, this Resolution was published on the Commission's Daily Calendar.

**Confidential Information**

Public Utilities Code Section 583 states: "No information furnished to the commission by a public utility, or any business which is a subsidiary or affiliate of a public utility, or corporation which holds a controlling interest in a public utility, except those matters specifically required to be open to public inspection by this part, shall be open to public inspection or made public except on order of the commission, or by the commission or a commissioner in the course of a hearing or proceeding. Any present or former officer or employee of the commission who divulges any such information is guilty of a misdemeanor."

Certain findings and the confidential appendices, marked "[CONFIDENTIAL]" in the public copy of this resolution should remain confidential at this time.

**Comments**

The draft resolution of the Consumer Protection and Safety Division in this matter was mailed in accordance with Section 311 of the Public Utilities Code and Rule 14.2(c) of the Commission's Rules of Practice and Procedure. No comments were received.

**Therefore, IT IS ORDERED that:**

1. The Consumer Protection and Safety Division's request for approval of the final security review report titled, "2011 Triennial On-Site Security Review of Sacramento Regional Transit District," dated August 26, 2011, is granted.

March 22, 2012

2. This resolution is effective today.

I certify that this resolution was adopted by the Public Utilities Commission at its regular meeting held on March 22, 2012. The following Commissioners voting favorably thereon:

/s/ PAUL CLANON  
PAUL CLANON  
Executive Director

MICHAEL R. PEEVEY  
President  
TIMOTHY ALAN SIMON  
MICHEL PETER FLORIO  
CATHERINE J. K. SANDOVAL  
MARK J. FERRON  
Commissioners

**ATTACHMENT A**